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1 **The Mechanism and Predictability of an Elevated Convection Initiation Event in**
2 **a Weak-Lifting Environment in Central Eastern China**

3
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18 **Abstract**

19 An elevated convection initiation (CI) of a quasi-linear mesoscale convective
20 system (MCS) that occurred in a weak-lifting environment in the early morning on 23
21 June 2016 in central eastern China was investigated using observational analysis and
22 convection-permitting numerical simulations. This MCS gradually developed into a
23 surface-based MCS and eventually produced a strong supercell that spawned an EF4
24 tornado in Yancheng City of Jiangsu Province and killed 98 people. This elevated MCS
25 was initiated ahead of a surface front without identifiable boundaries at the surface. An
26 elevated moist absolutely unstable layer (MAUL) was found to be conducive to the CI.
27 The MAUL provided negligible convective inhibition and contributed to CI without
28 strong lifting mechanisms. Numerical simulation results showed that the formation of
29 the elevated MAUL was mainly attributed to adiabatic cooling by weak vertical ascent
30 and sufficient horizontal moisture transport near the terminus of a low-level jet. The
31 weak vertical ascent before the CI was sloping and was likely to be relevant to the layer-
32 lifting process associated the realization of potential instability. The results showed that
33 the MAUL in this weak-lifting environment was characterized by a shallower depth, a
34 weaker lapse rate and a longer sustaining period than the conditions in a strong-lifting
35 environment. The predictability of this elevated CI case was examined using a 10-
36 member ensemble forecast. A total of 80% of the ensemble members captured the CI.
37 Rather than a difference in lifting, whether having an elevated MAUL or not was the
38 major difference between CI and non-CI members in the present case.

40 **1. Introduction**

41 Nocturnal mesoscale convective systems (MCSs) frequently occur in many places
42 in the world such as the central U.S. (e.g., Maddox 1980; Carbone et al. 2002; Parker
43 2008) and central eastern China (e.g., Zheng et al. 2013). These systems produce a
44 considerable portion of the warm-season precipitation (e.g., Wallace 1975; Bentley and
45 Mote 1998; Yu et al. 2007; Chen et al. 2010) and pose a great threat to public safety
46 and property, with hailstorms, flooding and even tornadoes occurring (e.g., Wilson et
47 al. 2018; Trier et al. 2006; Kis and Straka 2010). Nocturnal MCSs are often associated
48 with elevated convection initiation (CI) (e.g., Colman 1990; Weckwerth et al. 2004;
49 Geerts et al. 2017; Reif and Bluestein 2017), where the source of the convective updraft
50 originates above the stable nocturnal planetary boundary layer (PBL). Unlike surface-
51 based CI, elevated CI often occurs without identifiable surface boundaries (e.g., gust
52 fronts and drylines) as precursors (Wilson and Roberts 2006), presenting a great
53 challenge in the forecasting of CI and subsequent MCSs (Davis et al. 2003).

54 Elevated CI mechanisms have been widely discussed in previous studies. Wilson
55 and Roberts (2006) showed that midlevel convergence and conditional instability were
56 important in initiating nocturnal storms during IHOP_2002 (Weckwerth et al. 2004).
57 Other studies revealed that gravity waves and bores in stable environments are also
58 favorable lifting mechanisms for elevated CI (e.g., Marsham et al 2011; Wilson et al.
59 2018). Elevated CI, which produces a considerable portion of nocturnal CI (Reif and

60 Bluestein 2017), can occur in an environment with weak but persistent mesoscale ascent
61 without obvious small-scale lifting mechanisms (Trier et al. 2017).

62 Elevated CI in a weak-lifting environment is sometimes characterized by low-level
63 moist absolute unstable layers (MAULs; Bryan and Fritsch 2000), which are saturated
64 layers with lapse rates greater than moist adiabatic rates (i.e., equivalent potential
65 temperature (θ_e) decreasing with height). A MAUL can support elevated CI in the
66 absence of strong vertical motion since all parcels in the MAUL have negligible
67 convective inhibition (CIN) and are unstable in response to slight vertical displacement.
68 Based on observations from the Plains Elevated Convection At Night (PECAN) 2015
69 field campaign (Geerts et al. 2017), recent studies have qualitatively shown that the
70 formation of a MAUL associated with elevated CI may be attributed to a nocturnal low-
71 level jet (LLJ; Gebauer et al. 2018; Shapiro et al. 2018), weak but persistent vertical
72 motion (Trier et al. 2017), and warm advection (e.g., Reif and Bluestein 2017; Wilson
73 et al. 2018). However, the thermodynamic field evolutions associated with the
74 development of elevated MAULs before CI and their quantitative contributions to the
75 formation of MAULs have been less studied. In addition, although the characteristics
76 of MAULs in a strong-lifting environment have been studied in deep convection, such
77 as those in typhoon eyewalls (Barnes 2008) and squall lines (Bryan 2005), the temporal
78 and spatial scales of a MAUL in a weak-lifting environment have rarely been addressed
79 in the literature.

80 The predictability of elevated CI in a weak-lifting environment is also an important

81 issue considering the rather limited prediction capability of state-of-the-art convection-
82 permitting numerical models (Stelten and Gallus 2017). By assimilating *in situ* and
83 radar observations for a nocturnal CI event, Degelia et al. (2018) noted that nocturnal
84 CI forecast accuracy is closely related to the mesoscale preconvective environment.
85 The elevated MAUL in the preconvective environment has been observed as a common
86 feature that promotes elevated CI in the absence of strong lifting mechanisms (e.g.,
87 Trier et al. 2017). However, whether the elevated MAUL can ensure CI in a weak-lifting
88 environment remains unknown. The CI predictability and its relationship with the
89 MAUL in this scenario need to be further investigated.

90 The present work investigates the elevated CI of a linear MCS in central eastern
91 China, where there is the highest recorded rate of squall line incidents in the whole
92 country (Meng et al. 2013; Huang et al. 2017; He et al. 2017). The MCS was initiated
93 at ~0530 LST (LST=UTC+8) 23 June 2016 above a nocturnal stable boundary layer in
94 a weak-lifting environment near the terminus of a LLJ far from the surface front. This
95 linear MCS gradually developed into a surface-based MCS in the daytime and
96 eventually generated an EF4 tornado that killed 98 people, which was the deadliest
97 tornado in the past 41 years in China (Meng et al. 2018). In the current study, analyses
98 were performed based on both observations and convection-permitting simulations to
99 understand the CI, the key factors for the development of an elevated MAUL and the
100 characteristics of the MAUL in this weak-lifting environment. The CI predictability in
101 this scenario and its relationship with the MAUL were also addressed. Section 2

102 introduces data and methods used in this study. An overview of the CI environment and
103 the evolution of the elevated linear MCS are described in Section 3. Section 4 presents
104 the model configurations, the simulated CI, and the formation mechanisms and
105 characteristics of the MAUL in the weak-lifting environment. The CI predictability in
106 this case and its relationship with the MAUL are also examined using ensemble
107 forecasting. The conclusions of this study are given in Section 5.

108

109 **2. Data and methods**

110 The data used in this work included radar data, satellite images, high-resolution
111 analysis (HRES) from European Centre for Medium-Range Weather Forecasts
112 (ECMWF), surface observations, and conventional radiosonde observations. Mosaics
113 of composite radar reflectivity were operationally created every 6 min during this event
114 by the China Meteorological Administration (CMA) based on a network of Chinese
115 next-generation weather radars. The Fuyang S-band Doppler radar, which is similar to
116 the Weather Surveillance Radar 1988 Doppler (WSR-88D) (Zhu and Zhu 2004), is
117 located in Anhui Province (locations of the radar and province are denoted in Fig. 1a).
118 Composite true-color images from the Advanced Himawari Imager (AHI) onboard
119 Himawari-8, the new-generation geostationary satellite operated by the Meteorological
120 Satellite Center of the Japan Meteorological Agency, were available every 10 min with
121 a resolution of 1 km at nadir. The HRES analysis from ECMWF (detailed information
122 is available online at <https://www.ecmwf.int/en/forecasts/datasets/set-i>) is gridded at

123 0.125° × 0.125° with a 6-h time interval and was used for synoptic analysis and initial
124 and lateral boundary conditions for numerical simulations. 10-min surface observations
125 of automatic weather stations in Anhui province from Anhui Meteorological Bureau
126 and the rawinsonde observation at Fuyang (location denoted in Fig. 2d) from CMA
127 were also used to analyze the CI environment.

128

129 **3. Case overview and observational analysis**

130 A linear MCS occurred in Anhui Province in central eastern China in the early
131 morning on 23 June 2016 under the influence of a LLJ ahead of a surface front (Fig. 1).
132 At 0000 UTC 23 June 2016, this southeast–northwest-oriented linear MCS was located
133 in the warm sector approximately 250 km to the south of the northeast–southwest-
134 oriented surface front (Fig. 1). The synoptic-scale surface front was associated with an
135 ~1000-km-long quasi-linear rainband to the northwest of the target MCS (Fig. 1a). The
136 MCS occurred near the terminus of an 850-hPa strong southwesterly jet¹ without an
137 obvious trough at 500 hPa (Fig. 1b).

138 Observations of the Fuyang radar revealed the detailed initiation of the linear MCS.
139 Several convective cells were initiated (Fig. 2a) in the early morning at 2131 UTC 22
140 June (0531 LST; LST=UTC+8) in the northwest of Anhui Province. These cells then
141 gradually merged into an organized linear MCS and intensified as they moved

¹ 10 m s⁻¹ is taken as the threshold for jet region in the present study following Whiteman et al. (1997) and Du et al. (2014)

142 northeastward in the subsequent three hours (Fig. 2b–e). At 0148 UTC 23 June (Fig.
143 2f), the linear MCS moved into Jiangsu Province, gradually developed into a surface-
144 based MCS and eventually spawned a strong supercell at 0600 UTC 23 June at its
145 southern end, which led to a severe tornado (EF4) in Jiangsu Province (Meng et al.
146 2018 in their Fig. 3 and Fig. 8).

147 The composite true-color satellite images from Himawari-8 also successfully
148 captured the CI. Unlike common daytime CI in which cumulonimbus clouds often
149 explosively develop from shallow cumulus or less cloudy conditions, the sky was
150 overcast with stratiform clouds at 2100 UTC 22 June (Fig. 3a) before the CI, which was
151 likely a result of saturation due to layer lifting. At 2110 UTC 22 June, cumuliform
152 protuberances embedded in the stratiform cloud appeared in a similar manner as the
153 appearance of castellanus (Fig 3b), which was possibly associated with elevated
154 convection (Corfidi et al. 2008). These protuberances gradually developed into cumulus
155 cloud cells organized in a northwest–southeast-oriented line (Fig. 3c) and eventually
156 generated deep convection at 2130 UTC 22 June (Fig. 3d and Fig. 2a).

157 Both the surface observations and sounding profiles near the CI location suggested
158 that the initiation of the linear MCS was likely elevated. In the warm sector to the south
159 of the surface front, the near-surface environment was characterized by weak
160 southeasterly wind ($\sim 2 \text{ m s}^{-1}$) and relatively uniform temperature and humidity fields
161 (Fig. 4a–d), which indicated the absence of identifiable surface boundaries.
162 Observational evidences of gravity wave aiding CI in the current case were lacking. No

163 obvious wave-like surface pressure patterns (Fig. 4a–d) were found near CI locations.
164 The surface pressure oscillations at stations near CI were also very weak (Fig. 4e)
165 compared with typical mesoscale gravity waves (e.g., Koch and O’Handley 1997, the
166 red curve in Fig. 4e). No alternating bands of radial velocity from Fuyang radar were
167 found either at all elevation angles during 2100–2200 UTC 22 June (figures not shown).
168 The Fuyang radiosonde (location denoted in Fig. 2d) released at 0000 UTC 23 June
169 (Fig. 5) could represent the CI environment even though the CI occurred 150 min
170 previously since the radiosonde was located upstream of the convective line and was
171 not contaminated by convections. There was a temperature inversion near the surface
172 that was likely due to the radiative cooling at night (Fig. 5a). This inversion resulted in
173 a surface-based CIN of 50 J kg^{-1} , and the parcel had to be lifted by 1284 m to reach
174 its LFC. However, the elevated moist layer located between 850 hPa and 600 hPa
175 reduced the CIN for parcels lifted above the stable boundary layer. A parcel lifted from
176 850 hPa had a CIN of 11 J kg^{-1} and a CAPE of 1417 J kg^{-1} , which represented a
177 favorable environment for elevated CI (Fig. 5a). The hodograph (Fig. 5b) revealed the
178 LLJ at 850 hPa and the strong vertical wind shear below 3 km with the 0–3 km AGL
179 layer bulk wind difference of 9.69 m s^{-1} , which were beneficial for the development
180 of an MCS.

181

182 **4. Numerical simulation results**

183

184 *a. Model configurations*

185 To understand the CI and its environmental conditions, a 24-h convection-
186 permitting numerical simulation was conducted from 1200 UTC 22 June to 1200 UTC
187 23 June 2016 using version 3.7.1 of the WRF-ARW model (Skamarock et al. 2008).
188 Initial and lateral boundary conditions were obtained from HRES analysis (gridded at
189 $0.125^\circ \times 0.125^\circ$), and the lateral boundary conditions were updated every 6 hours.
190 Three two-way nested domains (Fig. 6) with 27-, 9- and 3-km horizontal grid spacing
191 and 41 vertical levels were used. The Single-Moment 6-Class Microphysics scheme
192 (WSM6; Hong and Lim 2006), the Yonsei State University PBL scheme (YSU; Hong
193 et al. 2006), and the rapid radiative transfer model (RRTM) longwave radiation scheme
194 (Mlawer et al. 1997) were used for all three domains. The Grell-Devenyi ensemble
195 cumulus scheme (Grell and Devenyi 2002) was used for D01 and D02. No cumulus
196 parameterization was used for D03. In addition, a 10-member ensemble forecast was
197 performed with the same physical parameterization schemes and domains as the control
198 simulation. The ensemble was generated by adding perturbations randomly sampled
199 from the default background error covariance of “cv3” in the WRF three-dimensional
200 variational data assimilation system (3DVar, Barker et al. 2004), similar to Meng and
201 Zhang (2007, 2008a, b, 2011). The domain-averaged standard deviations of perturbed
202 variables (0.56 m s^{-1} for u and v , 0.10 g kg^{-1} for q_v , 0.25 K for T , and 11 Pa for p')
203 were much smaller than the observational uncertainties.

204

205 *b. CI in the control simulation*

206 The control simulation successfully captured the initiation of the linear MCS and
207 the near-CI environment with acceptable timing and location errors. Following widely
208 used CI definitions based on radar observations (e.g., Kingsmill 1995; Weckwerth 2000;
209 Roberts and Rutledge 2003; Huang et al. 2017), in the current study, CI was defined
210 when radar echo first reached 35 dBZ, which then developed into a linear MCS. A weak
211 echo of 10 dBZ appeared at 2220 UTC 22 June (Fig. 7a) and reached 35 dBZ at 2240
212 UTC (Fig. 7b), which represented the initiation of convection. Newly initiated
213 convective cells merged together as they moved northeastward (Fig. 7c–e) and
214 gradually developed into a northwest–southeast-oriented convective line (Fig. 7f)
215 similar to the observations (Fig. 2e). In addition, the simulated sounding profile S2 (Fig.
216 8b, location denoted in Fig. 7f) at 0000 UTC 23 June located upstream of the linear
217 MCS closely resembled the Fuyang sounding (Fig. 5a). A prominent near-surface
218 temperature inversion and an elevated saturated layer (679–628 hPa) were revealed by
219 S2 in the simulation (Fig. 8b). The surface-based CAPE at S2 was 1538 J kg^{-1} , and
220 the inversion near the surface resulted in a surface-based CIN of 115 J kg^{-1} . Similar to
221 the Fuyang sounding, the elevated saturated layer greatly reduced the CIN of the parcel
222 lifted above the stable boundary layer (e.g., 12 J kg^{-1} at 850 hPa). The CI timing in the
223 control simulation was approximately 1 hour later than that in the observation, and the
224 CI location was approximately 100 km east of the observation. However, considering
225 the limitations of convection-permitting models in predicting the timing and location

226 of pristine elevated CIs shown by Stelten and Gallus (2017) and the similar convection
227 morphology between simulation and observation during the CI, we believe that this
228 simulation can reasonably be used to investigate CI mechanisms.

229 Similar to the observation, the simulated surface environment was unfavorable for
230 surface-based CI. The simulated CI occurred within the weak uniform southerlies, and
231 the surface temperature gradient was rather small before the CI (Fig. 9a, b). The
232 magnitude of divergence on the bottom model level was less than 10^{-4} s^{-1} , which was
233 much weaker than the convergence in a surface-based CI environment [e.g., 5×10^{-4}
234 s^{-1} in Su and Zhai (2017)], indicating the absence of strong updrafts near the surface.
235 Considering the weak near-surface lifting and the surface-based CIN of $50\text{--}100 \text{ J kg}^{-1}$
236 that resulted from the temperature inversion near the surface, the convection could
237 hardly originate from the surface. Due to the diurnal variation and the weakened
238 temperature inversion, CIN reduced slightly at 2240 UTC 22 June (Fig. 9c, CI timing)
239 but was still $\sim 50 \text{ J kg}^{-1}$. Surface cold pools are often associated with surface-based
240 convection initiation (Weckwerth and Parsons 2006), but in the present case, no strong
241 cold pool was observed near the surface even 20 min after the CI (Fig. 9d). All the
242 evidences suggested that the initiation in this case was elevated.

243 The simulated sounding at the CI location 20 minutes before the CI (S1 at 2220
244 UTC denoted in Fig. 7a) revealed a MAUL with a depth of 30 hPa (between 809 hPa
245 and 778 hPa, $\sim 2.0 \text{ km AGL}$) and a lapse rate of 6.4 K km^{-1} (Fig. 8a), which was greater
246 than the moist adiabatic lapse rate. The vertical velocity averaged within the MAUL

247 over the red box denoted in Fig. 10a was 2.60 cm s^{-1} , which was broadly consistent
248 with the weak vertical motion of -3 to $-10 \mu\text{b s}^{-1}$ at low levels observed in Trier et al.
249 (2017). In S1, the parcel lifted from the base of the MAUL (809 hPa) had a CAPE of
250 748 J kg^{-1} and an approximately negligible CIN (Fig. 8a). Based on parcel theory, this
251 indicates that weak and even imperceptible updrafts within the MAUL could overcome
252 the CIN and release the conditional instability. At the altitude of the MAUL ($\sim 2.0 \text{ km}$
253 AGL), there was a northwest–southeast-oriented nearly saturated (relative humidity
254 greater than 95%) band at 2200 UTC 22 June perpendicular to the strong low-level
255 southwesterly winds (Fig. 10a). This elevated nearly saturated band resulted in a narrow
256 area with negligible CIN (magnitude smaller than 0.5 J kg^{-1} , white contour) with a
257 length of $\sim 150 \text{ km}$. The elevated nearly saturated band expanded at 2220 UTC, which
258 led to a wider area with negligible CIN (Fig. 10b). Several convective cells were
259 initiated in this area at 2240 UTC (Fig. 10c), and the associated nearly saturated band
260 gradually broke up due to the condensation from the convection (Fig. 10d).

261 Back trajectories of parcels released from the location of the first 10-dBZ echo at
262 2220 UTC at altitudes between 0.3 km and 2.3 km AGL were computed for 4 h using
263 the 5-min-resolution model output from the control simulation (Fig. 11). Trajectories
264 above $\sim 1 \text{ km}$ AGL were characterized as having sloping mesoscale ascent, whereas
265 there was no obvious lifting in near-surface trajectories, which reconfirmed that the
266 convection did not originate from the surface. Moreover, the horizontal distribution of
267 the trajectories revealed that the southwesterly winds were mainly above $\sim 1 \text{ km}$ AGL,

268 while the near-surface trajectories were more southerly, which was corroborated by the
269 observation (Fig. 1b and Fig. 4a).

270 The difference between 1–2.3 km AGL and 0.3–1 km AGL trajectories (Fig. 11a)
271 resulted in distinct evolutions of the diagnostic variables along the trajectories. CIN
272 along the trajectories above 1 km AGL gradually decreased to negligible values as the
273 CI was approached, while the CIN along near-surface trajectories only slightly
274 decreased (Fig. 12a). For example, the CIN along the 1.7-km trajectory decreased from
275 30 J kg^{-1} to approximately 0 J kg^{-1} during the 4 h before CI, which suggested the
276 formation of an elevated MAUL; however, the CIN along the 0.3-km AGL trajectory
277 decreased only from 60 J kg^{-1} to 50 J kg^{-1} (Fig. 12a). The increase in relative humidity
278 was broadly consistent with the decrease in CIN. During 2020–2220 UTC, the relative
279 humidity along trajectories between 1.2–2.3 km AGL rapidly increased to near
280 saturation (over 90%), while the near-surface relative humidity slightly increased but
281 remained unsaturated (Fig. 12b). During the 4 hours prior to CI, CAPE along most
282 trajectories slightly increased (Fig. 12c), indicating the relatively steady conditional
283 instability before CI.

284 Notably, although the mesoscale vertical motion generally increased with height
285 (Fig. 12d), the vertical velocity along trajectories did not vary monotonically with time
286 as did other diagnostic variables. The vertical velocity varied between -2 and 10 cm s^{-1}
287 without identifiable patterns, which indicated that the updraft underwent no regular
288 change as initial convection was approached (before 2200 UTC). Without obvious

289 lifting mechanisms, CI seemed to be more dependent on the thermodynamic field that
290 was becoming increasingly conducive to CI. Given sufficient conditional instability, CI
291 could be largely dependent on the formation of the elevated MAUL.

292

293 *c. Formation mechanisms and characteristics of MAUL*

294 The formation of the elevated MAUL was further examined on a vertical cross
295 section taken along the low-level southwesterly winds perpendicular to the linear MCS
296 (cross-section path shown in Fig. 10b). The horizontal wind speed field along the cross
297 section featured a typical LLJ structure (Fig. 13a). There was cooling of ~ -0.6 K
298 between 2 and 3 km AGL, possibly related to the weak lifting (vertical velocity of ~ 5
299 cm s^{-1}) near the terminus of the LLJ. The cooling increased and extended both upward
300 and downward as the LLJ between 2–3 km AGL intensified (Fig. 13b). Moreover, the
301 high-moisture area signified by the surge of the equivalent potential temperature (θ_e)
302 near 2.0 km AGL was also gradually moving northeastward (Fig. 13c, d). The saturated²
303 layer located in the potential unstable environment (Fig. 13e, f) indicated the existence
304 of the elevated MAUL, especially when the initial convection was still absent (at 2100
305 UTC in Fig. 13e). This suggested that the elevated MAUL in the current case was an
306 important precondition for initial convection rather than simply the result of the
307 convection. Both the cooling and the moisture surge near the terminus of the LLJ

² Following the metrics in Bryan and Fritsch (2000), a grid point is considered saturated if the cloud water mixing ratio exceeds 0.01 g kg^{-1} .

308 contributed to the formation of MAUL, and convective cells were eventually generated
309 in the elevated MAUL (Fig. 13b, d).

310 The contributions to the formation of the elevated MAUL from the budget of
311 thermodynamic variables were quantitatively diagnosed by using equations of potential
312 temperature and water vapor mixing ratio:

$$313 \quad \frac{\partial \theta}{\partial t} = -\mathbf{V} \cdot \nabla_h \theta - w \frac{\partial \theta}{\partial z} + D_\theta \quad (1)$$

$$314 \quad \frac{\partial q_v}{\partial t} = -\mathbf{V} \cdot \nabla_h q_v - w \frac{\partial q_v}{\partial z} + D_{q_v} \quad (2),$$

315 where D_θ and D_{q_v} are the diabatic forcing terms of potential temperature and water
316 vapor mixing ratio. All terms in the equations were averaged horizontally over the
317 domain indicated by the red box in Fig. 10a and vertically over model levels within the
318 MAUL shown in Fig. 8a. Since the magnitude of the adiabatic term (sum of horizontal
319 and vertical transport) was much larger than the diabatic term in both equations (Fig.
320 14), the thermodynamic process was nearly adiabatic in most of the time prior to CI
321 (e.g., from 2120 to 2200 UTC). During the 1-h period before the first 10-dBZ echo
322 appeared (2120–2220 UTC), there was a potential temperature decrease of 0.47 K (not
323 shown), a water vapor increase of 0.47 g kg⁻¹ (not shown) and a relative humidity
324 increase from 92% to 97.5% (Fig. 14b). During 2120–2140 UTC, horizontal advection
325 of potential temperature was the main contributor to the local cooling, while the vertical
326 transport of potential temperature became stronger than the horizontal advection of
327 potential temperature after 2140 UTC as the layer became saturated (Fig. 14a). In the
328 local change of moisture (Fig. 14b), the horizontal advection of water vapor outweighed

329 the vertical transport most of the time (until 2200 UTC), during which the relative
330 humidity rapidly increased, which indicated that the local moisture accumulation was
331 mainly contributed by the horizontal moisture transport from upstream. This result was
332 somewhat different from cases in the U.S. Plains (Trier et al. 2014) where the vertical
333 moisture transport is typically more important in reducing negative buoyancy in the
334 near inflow to convection than the horizontal moisture transport. During the Meiyu
335 season in central eastern China from mid-June to mid-July, the southwesterly LLJ often
336 appears on the northwest edge of the subtropical high over the northwest Pacific Ocean
337 (Tao and Chen 1987), transporting rich moisture mainly horizontally from South China
338 Sea or East China Sea. It likely contains more moisture than the summertime LLJ air
339 in the U.S. plains originating in a hotter and drier region which makes the U.S. cases
340 require greater upward moisture transport to create a MAUL. To summarize, the
341 adiabatic cooling from the weak vertical ascent and the horizontal moisture transport
342 mainly contributed to the formation of the elevated saturated layer in the current case.

343 Near the CI location, the layer between 1–2 km AGL was potentially unstable
344 ($\partial\theta_e/\partial z < 0$, Fig. 13e, f). The weak ascent within the layer was likely to facilitate the
345 realization of the potential instability and eventually led to the formation of MAUL.
346 The layer-lifting process related to the release of potential instability was also
347 corroborated with the shallow stratiform clouds before CI observed in satellite images
348 (Fig. 3). Moreover, the moist static unstable environment near CI (Fig. 13e, f) suggested
349 that gravity waves or bores might not be lifting mechanisms.

350 Characteristics of the simulated MAUL in the weak-lifting environment of the
351 present case were quite different from those in deep and intense updraft. Fig. 15a
352 displays the horizontal distribution of the MAUL (a saturated³ layer within which θ_e
353 decreases with height). At 2200 UTC on 2 km AGL, the MAUL extended horizontally
354 for approximately 200 km in length and 50 km in width, with the same northwest–
355 southeast orientation as the convective line that developed downstream later. The
356 horizontal width of 50 km was comparable to that of the MAUL examined near the gust
357 front induced by a squall line (Bryan and Fritsch 2000). However, the vertical scale of
358 the MAUL in this weak-lifting environment was quite different. Fig. 15b reveals the
359 vertical thermodynamic conditions as the MAUL passed the grid point upstream of the
360 CI location (the location of the grid point is denoted in Fig. 15a). The grid point was
361 chosen upstream of the CI location to avoid the influence of strong updrafts associated
362 with deep convection. The vertical distribution of $\frac{\partial \theta_e}{\partial z}$ reconfirmed the existence of the
363 potential unstable layer above 1 km AGL and the MAUL located between 2 and 3 km
364 AGL. The MAUL depth of 1 km was much shallower than the MAUL depth in a strong-
365 lifting environment, which is generally ~3 km (Bryan and Fritsch 2000). Moreover, the
366 lapse rate of temperature within the MAUL was -4 to -6 K km⁻¹ (not shown) and was
367 weaker than the lapse rate of -5 to -8 K km⁻¹ near the gust front (Bryan and Fritsch
368 2000). With regard to the temporal scale, the MAUL persisted for nearly 80 min from

³ Following the metrics in Bryan and Fritsch (2000), a grid point is considered saturated if the cloud water mixing ratio exceeds 0.01 g kg⁻¹.

369 2150 to 2310 UTC on an individual grid (Fig. 15b), which was longer than the duration
370 of 30–45 min in a strong-lifting environment (Bryan and Fritsch 2000). In the early
371 stage of the MAUL, there was weak vertical ascent ($0\text{--}5\text{ cm s}^{-1}$) within the MAUL,
372 which might lead to the lifting of the saturated layer during 2220–2230 UTC.
373 Subsequently, the vertical ascent became weaker and, as a result, the MAUL began to
374 weaken with a smaller magnitude of the lapse rate of θ_e and eventually collapsed at
375 2310 UTC.

376 It is interesting that the MAUL could be sustained even without strong mesoscale
377 ascent, which has been considered an essential factor in the maintenance of MAULs.
378 Two factors were favorable for sustaining the MAUL in the present case. One is the
379 sufficient horizontal moisture transport associated with the LLJ. The other is the small
380 depth of the MAUL; a MAUL can be sustained only when the environmental mesoscale
381 ascent generates instability faster than it can be removed by convective overturning as
382 a result of buoyancy-driven velocity (Bryan and Fritsch 2000). Deeper MAULs, which
383 induce a stronger buoyancy-driven vertical velocity, require stronger environmental
384 vertical ascents to keep the MAULs from being destroyed (Barnes 2008). This behavior
385 suggests that when the MAUL depth is shallow, weak vertical ascent will be sufficient
386 to balance the buoyancy-driven vertical velocity and sustain the MAUL.

387

388 *d. CI predictability*

389 To understand the predictability of the elevated CI in the weak-lifting environment,

390 the variability of CI timing and location in a 10-member ensemble forecast was
391 examined. Similar to the CI definition mentioned in section 4b, for each ensemble
392 member the CI timing for the target MCS was defined as the time when the radar echo
393 first reached 35 dBZ, which then developed into a contiguous or quasi-contiguous band
394 of over 40-dBZ reflectivity with a northwest–southeast orientation and a length of at
395 least 50 km before 0130 UTC 23 June in the area of interest (the area of Fig. 16). The
396 CI was reproduced in eight out of ten ensemble members (members 01, 02, 04, 06, 07,
397 08, 09 and 10), which indicated the high predictability (80%) of CI in this case (Fig.
398 16). There was considerable variability in the timing and location of CI members.
399 Including the control simulation, the CI timing ranged from 1930 UTC (member 10) to
400 2300 UTC (member 01), with a median of CI timing at 2220 UTC, and the CI location
401 uncertainty was generally within ~100 km.

402 Whether having an elevated MAUL or not was the major difference between CI
403 and non-CI members. At 20 min before CI timing, all CI members exhibited an elevated
404 MAUL at 2 km AGL (Fig. 17a, b, d, and f–j). The MAULs in CI members generally
405 had a depth of 1 km, similar to the control simulation, and persisted for 40–120 min on
406 individual grids (not shown). These shallow but long-lasting MAULs in CI members
407 were vastly important since CI could possibly occur at any location within the MAUL
408 during its lifetime. In contrast, all of the non-CI ensemble members failed to produce
409 MAULs (Fig. 17c and e). This finding supported the possibility that CI could be mainly
410 determined by the existence of a MAUL. Strong fine-scale dynamic lifting may not be

411 necessary in this elevated CI event. A comparison between typical CI members
412 (members 02 and 08) with similar CI timing and non-CI members (member 03 and 05)
413 (Fig. 18) showed that no obvious difference of vertical velocity in the general weak-
414 lifting environment over the exit region of LLJ (approximately 1–3 km AGL, 50–170
415 km in SW-NE distance) could be identified to distinguish CI members from non-CI
416 members.

417

418 **5. Summary**

419 This study investigated an elevated CI event in central eastern China in the early
420 morning on 23 June 2016. The CI occurred near the terminus of an LLJ in a weak-
421 lifting environment far from a surface front. This elevated CI resulted in a linear MCS
422 that gradually developed into a surface-based MCS and spawned an intensive supercell
423 in the afternoon, which produced a severe EF4 tornado that killed 98 people.

424 Both observations and simulations suggested that this CI event was elevated and
425 that the MAUL greatly facilitated CI by providing approximately negligible CIN.
426 Surface observations and the sounding environment showed that there was no obvious
427 surface boundary near CI locations and that the near-surface CIN was larger than that
428 at ~2 km above the ground due to the near-surface temperature inversion at night and
429 an elevated nearly saturated layer (MAUL). Back-trajectory analysis based on
430 numerical simulation demonstrated the sloping ascent path before the onset of CI and
431 reconfirmed that the convective updraft did not originate from the surface and the

432 existence of weak vertical ascent before the elevated CI. Along the parcel trajectories
433 at low levels, CIN gradually decreased to negligible values and relative humidity
434 increased nearly to saturation, which led to the formation of the elevated MAUL.
435 However, the vertical velocity did not increase monotonically with time as CI was
436 approached; rather, it was quite weak (lower than 12 cm s^{-1}) and oscillated without an
437 identifiable pattern. This condition suggested that the CI was more likely determined
438 by the formation of the elevated MAUL than by the evolution of vertical velocity.

439 The formation mechanisms and characteristics of the MAUL in this case were
440 investigated using numerical simulations. The elevated saturation was promoted by
441 moisture surge and cooling near the LLJ terminus. Specifically, the increase in moisture
442 was mainly contributed by horizontal moisture transport associated with the LLJ, while
443 the cooling was mainly from adiabatic cooling associated with weak vertical motion
444 rather than horizontal transport of potential temperature. Compared with MAULs in
445 strong-lifting environments, the simulated MAUL in this weak-lifting environment had
446 a shallower depth of 1 km, a much weaker vertical ascent of $0\text{--}5 \text{ cm s}^{-1}$, a weaker lapse
447 rate of temperature of -4 to -6 K km^{-1} , and a longer duration of 80 min. The shallow
448 depth of the MAUL and the sufficient horizontal moisture transport were substantial
449 factors in sustaining the MAUL in the weak-lifting environment.

450 The predictability of this elevated CI in the weak-lifting environment was
451 investigated using a 10-member ensemble forecast with an ensemble spread much
452 smaller than the observational error. The probability of CI was approximately 80%. The

453 elevated MAUL, which was generally long lasting, was possibly a decisive condition
454 for the CI in this event.

455

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460

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619 **Figure captions**

620

621 Fig.1 (a) Mosaics of composite radar reflectivity (dBZ) at 0000 UTC 23 June in central
622 eastern China; the location of the Fuyang radar is marked with a blue cross; the target
623 MCS and the provinces discussed in the text are shown on the map; the distance length
624 scale is given at the bottom left. (b) Synoptic environment reflected by ECMWF HRES
625 analysis at 0000 UTC 23 June including 500-hPa geopotential height (contoured in blue
626 every 40 gpm) and 850-hPa horizontal wind speed (shaded), 850-hPa wind vector
627 (barb= 5 m s^{-1} , half barb= 2.5 m s^{-1}), locations of the surface front and surface high
628 and low pressure (denoted by the front symbols “H” and “L”); the red line indicates the
629 location of the linear convective system at 0000 UTC 23 June.

630

631 Fig.2 Composite radar reflectivity (shaded in dBZ) of the Fuyang radar at (a) 2131 UTC,
632 (b) 2228 UTC, (c) 2257 UTC, and (d) 2354 UTC 22 June and (e) 0051 UTC and (f)
633 0148 UTC 23 June. The location of the Fuyang radiosonde is given in (d). Initial
634 convective cells discussed in the text are circled in red in (a). Anhui and Jiangsu
635 provinces (discussed in the text) are marked in (a) and (f). The time of local sunrise was
636 2109 UTC (0509 LST).

637

638 Fig.3 Composite true-color images from Himawari-8 at (a) 2100 UTC, (b) 2110 UTC,
639 (c) 2120 UTC and (d) 2130 UTC 22 June. The linear-organized cumulus cloud cells are
640 circled in red in (c) and (d).

641

642 Fig.4 Surface pressure (contoured in blue every 0.5 hPa), surface wind vector (barb= 2
643 m s^{-1} , half barb= 1 m s^{-1}), surface temperature (text in red) and surface dewpoint
644 temperature (text in blue) at (a) 2050 UTC, (b) 2110 UTC, (c) 2130 UTC, and (d) 2150
645 UTC 22 June. The shading denotes the composite reflectivity from the Fuyang radar
646 (dBZ). Time series of surface pressure at station I2570 (green) and I2571 (purple) with
647 locations given in (c) are shown in (e) with CI timing marked on the lower time axis.
648 The pressure trace of a gravity wave from Koch and O’Handley (1997) adapted from
649 their Fig. 18e is also shown in (e) in red with corresponding time (UTC) shown on the
650 upper time axis for reference.

651

652 Fig. 5 Skew T–log p (a) and hodograph (b) diagrams of the Fuyang radiosonde (the red
653 cross in Fig. 2d) at 0000 UTC 23 June 2016. (a) The ambient temperature and dewpoint
654 are represented by the solid black and blue lines, respectively; parcels that ascend
655 undiluted from the surface and 850 hPa are shown by the solid and dashed red lines,
656 respectively; the wind profile is shown on the right side (barb= 4 m s^{-1} , half barb= 2 m
657 s^{-1} , flag= 20 m s^{-1}). (b) The velocities at certain pressure levels (hPa) are marked in
658 red circles in the hodograph. CAPE, CIN and LFC of parcels lifted from different levels
659 are given on the left in (a).

660

661 Fig.6 Terrain height (shaded; unit: m) and domains used for the control simulation as

662 well as ensemble forecasts.

663

664 Fig.7 Model-derived composite radar reflectivity (shaded in dBZ) in domain 3
665 (horizontal resolution of 3 km) of the control simulation at (a) 222
666 0 UTC, (b) 2240 UTC, (c) 2300 UTC, (d) 2320 UTC, and (e) 2340 UTC 22 June and
667 (f) 0000 UTC 23 June. The simulated results hereafter are all from domain 3 (horizontal
668 resolution of 3 km). The weak echo discussed in the text is circled in red in (a). Black
669 crosses in (a) and (f) indicate locations of sounding profiles analyzed in Fig. 8. The area
670 of radar observation in Fig. 2a is given as the gray box in (a).

671

672 Fig. 8 Skew T–log p diagrams of sounding derived from the control simulation at (a)
673 2220 UTC 22 June at S1 (black cross in Fig. 7a) and (b) 0000 UTC 23 June at S2 (black
674 cross in Fig.7f). The ambient temperature and dewpoint are represented by the solid
675 black and blue lines, respectively. In (a) S1, parcels that ascend undiluted from the
676 surface and the base of MAUL are shown by the solid and dashed red lines, respectively;
677 In (b) S2, parcels that ascend undiluted from the surface and 850 hPa are shown by the
678 solid and dashed red lines, respectively. The wind profile is shown on the right side
679 (barb=4 m s⁻¹, half barb=2 m s⁻¹, flag=20 m s⁻¹). CAPE, CIN and LFC of parcels
680 lifted from different levels are given on the left.

681

682 Fig.9 Divergence (shaded every 10⁻⁵ s⁻¹; blue for convergence and red for
683 divergence), CIN (contoured in dashed blue every 50 J kg⁻¹) and horizontal wind
684 vector (barb=5 m s⁻¹, half barb=2.5 m s⁻¹) at the bottom model level, surface
685 temperature (contoured in solid black every 1°C) and 35-dBZ contour of composite
686 radar reflectivity (solid red) in the control simulation at (a) 2200 UTC, (b) 2220 UTC,
687 (c) 2240 UTC and (d) 2300 UTC 22 June. The first convective cell reaching 35 dBZ is
688 denoted in (c) as CI. The location of S1 given in (b) is the same as in Fig. 7a.

689

690 Fig.10 Relative humidity (shaded every 5%), 0.5 J kg⁻¹ CIN (contoured in white),
691 horizontal wind vector (barb=5 m s⁻¹, half barb=2.5 m s⁻¹) and potential temperature
692 (contoured in dash black every 0.5 K) at 2.0 km AGL and the 35-dBZ contour (solid
693 red) of composite radar reflectivity (solid red) in the control simulation at (a) 2200 UTC,
694 (b) 2220 UTC, (c) 2240 UTC and (d) 2300 UTC 22 June. The location of S1 is the same
695 as in Fig. 7a, and the cross section in Fig. 11a and Fig. 13 is given in (b). The red box
696 denotes the area used for diagnosing the area-averaged transport of water vapor mixing
697 ratio and potential temperature in Fig. 14.

698

699 Fig.11 (a) Vertical distributions of 4-h back trajectories of parcels released from the 10-
700 dBZ radar echo at 2220 UTC with different heights (0.3–2.3 km AGL; colors
701 correspond to different heights as shown in the top-right box) along the plane of the
702 cross section in Fig. 10b; also shown are potential instability ($\partial\theta_e/\partial z$, shaded every 2 K
703 km⁻¹), model-derived composite radar reflectivity (contoured in green at 5-dBZ
704 intervals) and horizontal wind speed along the plane of the cross section (contoured in

705 solid black every 1 m s^{-1}) at 2220 UTC 22 June. (b) Horizontal distributions of the
 706 same parcel trajectories as in (a); also shown are relative humidity (shaded at 5%
 707 intervals), model-derived composite radar reflectivity (contoured in red at 5-dBZ
 708 intervals) and potential temperature (contoured in black dashes every 0.5 K) at 2.0 km
 709 AGL at 2220 UTC 22 June.

710

711 Fig.12 (a) CIN (J kg^{-1}), (b) relative humidity (%), (c) CAPE (J kg^{-1}) and (d) vertical
 712 velocity (cm s^{-1}) along the color-coded trajectories plotted in Fig. 11a. The black
 713 dashed line indicates the time (2200 UTC 22 June) when the first 5-dBZ echo appeared.

714

715 Fig.13 Vertical cross sections along the SW–NE transect in Fig. 10b of temperature
 716 perturbation (shaded at 0.2-K intervals), model-derived radar reflectivity (contoured in
 717 green at 5-dBZ intervals), horizontal wind speed along the plane of the cross section
 718 (contoured in solid black every 1 m s^{-1}) and circulation vectors in the plane of the
 719 cross section at (a) 2100 UTC and (b) 2220 UTC 22 June; the reference state used to
 720 compute the temperature perturbation has the same lapse rate (0.0065 K m^{-1} below 11
 721 km) as the U.S. Standard Atmosphere and a sea-level temperature of 301 K from this
 722 current case. The second row shows the relative humidity (shaded at 5% intervals),
 723 model-derived radar reflectivity (contoured in green at 5-dBZ intervals), equivalent
 724 potential temperature (contoured in red every 2 K) and circulation vectors in the plane
 725 of the cross section at (c) 2100 UTC and (d) 2220 UTC 22 June. The third row shows
 726 the potential instability ($\partial\theta_e/\partial z$, shaded every 2 K km^{-1}), model-derived radar
 727 reflectivity (contoured in green at 5-dBZ intervals), cloud water mixing ratio (0.01 g
 728 kg^{-1} contour in solid brown), horizontal wind speed along the plane of the cross section
 729 (contoured in solid black every 1 m s^{-1}) and circulation vectors in the plane of the cross
 730 section at (e) 2100 UTC and (f) 2220 UTC 22 June. The reference vector is given at the
 731 bottom right and denotes a horizontal velocity of 10 m s^{-1} and a vertical velocity of
 732 10 cm s^{-1} . The jet axes of the LLJ mentioned in the text are qualitatively denoted by the
 733 bold black dashed lines in (a) and (b).

734

735 Fig. 14 (a) Evolution of the area-averaged horizontal advection ($-\mathbf{V} \cdot \nabla_h \theta$, solid blue),
 736 vertical transport ($-w \frac{\partial \theta}{\partial z}$, solid red), diabatic term (D_θ , solid orange) and adiabatic term

737 ($-\mathbf{V} \cdot \nabla_h \theta - w \frac{\partial \theta}{\partial z}$, solid black) of potential temperature within the subsequent MAUL

738 (unit: 10^{-5} K s^{-1}) on 22 June. (b) is similar to (a) except for the water vapor mixing
 739 ratio (unit: $10^{-4} \text{ g kg}^{-1} \text{ s}^{-1}$). The evolution of the area-averaged relative humidity
 740 within the subsequent MAUL is also plotted as the dashed green line in (b). The area
 741 used for averaging is denoted as the red box in Fig. 10a.

742

743 Fig.15 Temporal and spatial scale of the simulated MAUL. (a) Potential instability
 744 ($\partial\theta_e/\partial z$, shaded every 2 K km^{-1}), cloud water mixing ratio (0.01 g kg^{-1} contour in
 745 solid brown) and horizontal wind vector (barb= 5 m s^{-1} , half barb= 2.5 m s^{-1}) at 2 km

746 AGL at 2200 UTC 22 June. The CI location (first 35-dBZ echo at 2240 UTC 22 June)
747 is denoted by the green cross. (b) Time series of vertical conditions at the grid point
748 denoted by the brown cross in (a), potential instability ($\partial\theta_e/\partial z$, shaded every 2 K km^{-1}),
749 cloud water mixing ratio (0.01 g kg^{-1} contour in solid brown) and 20-min-averaged
750 vertical velocity (contoured in solid black for positive and negative in black dashes
751 every 0.05 m s^{-1}). The CI timing (2240 UTC 22 June) is marked in black dashes in (b).
752

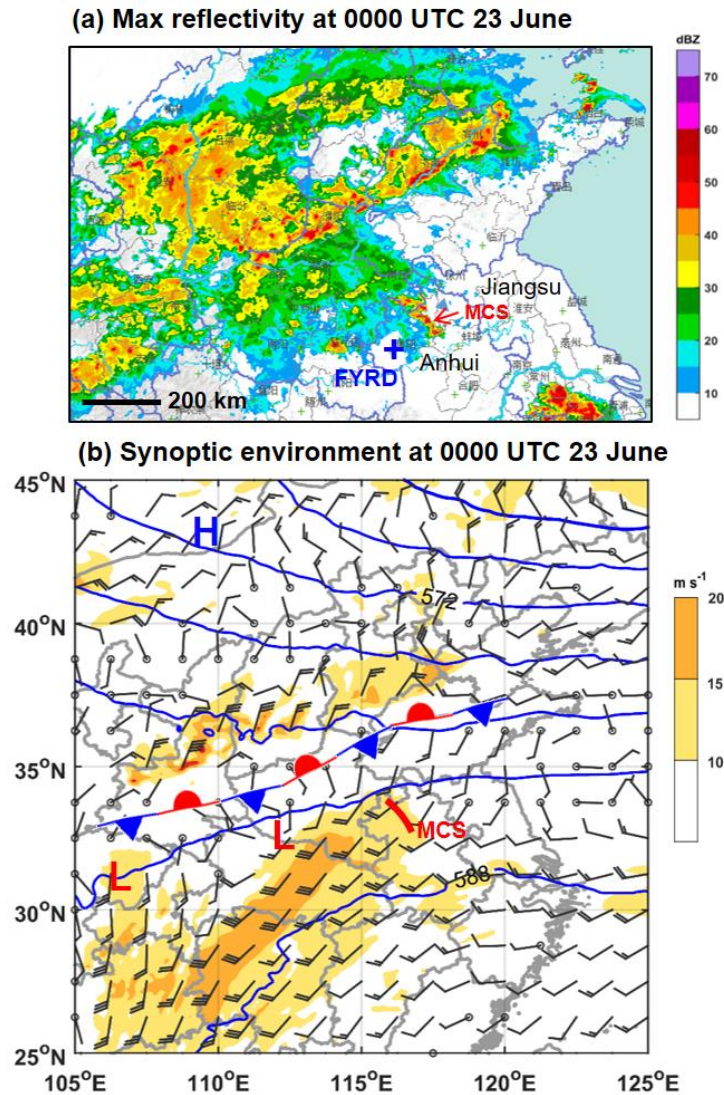
753 Fig.16 Model-derived (d03) composite radar reflectivity (shaded in dBZ) of the 10
754 ensemble members (a)–(j). CI members (red label) are plotted at respective CI timing,
755 and non-CI members (blue label) are plotted at 2220 UTC 22 June for comparison.
756

757 Fig. 17 Distribution of elevated saturated area 20 min before CI including relative
758 humidity (shaded every 5%), horizontal wind vector (barb= 5 m s^{-1} , half barb= 2.5 m s^{-1}),
759 potential instability ($\partial\theta_e/\partial z$, contoured in solid black every 2 K km^{-1}) and cloud
760 water mixing ratio (solid white, contours of 0.01 g kg^{-1}) at 2.0 km AGL in 10 ensemble
761 members (a)–(j). The solid black lines in (b), (c), (e) and (h) indicate the SW–NE path
762 of the cross section in Fig. 18. The CI locations shown in Fig. 16 are marked as red
763 crosses.
764

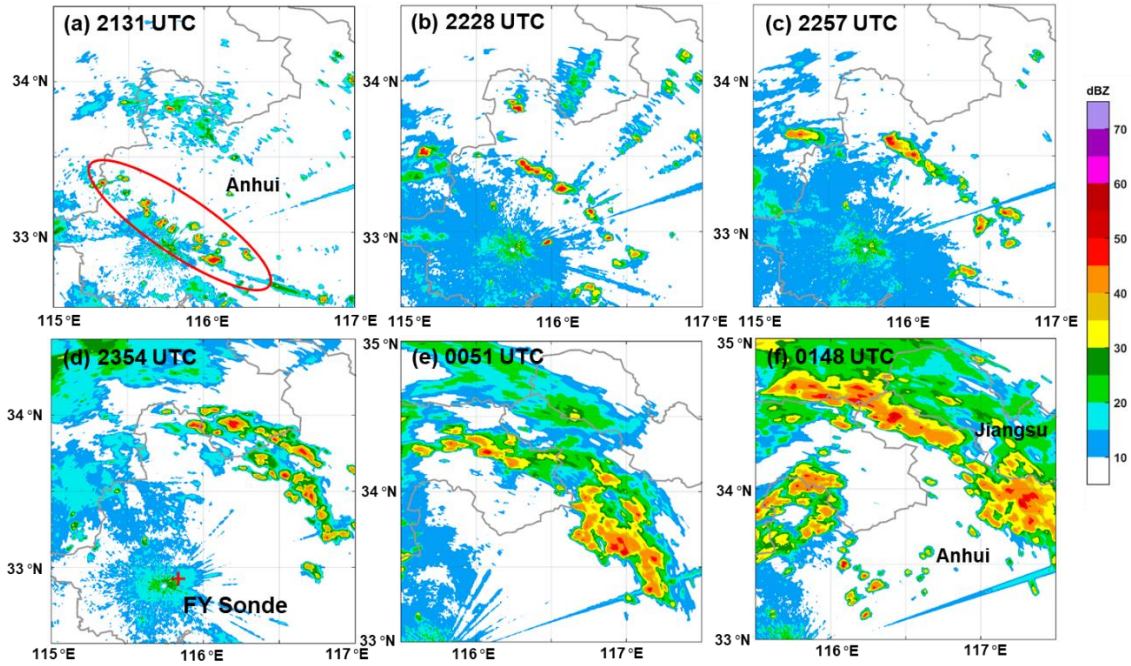
765 Fig. 18 Vertical cross sections along the SW–NE transect of typical CI and non-CI
766 members in Fig. 17b, c, e and h, including relative humidity (shaded every 5%),
767 horizontal wind speed along the plane of the cross section (contoured in solid black
768 every 2 m s^{-1}), and 20-min-averaged vertical velocity (contoured in blue with positive
769 ($> 2 \text{ cm s}^{-1}$) in solid lines and negative ($< -2 \text{ cm s}^{-1}$) in dashes every 4 cm s^{-1}) in (a)
770 member 02 at 2210 UTC 22 June, (b) member 03 at 2200 UTC 22 June, (c) member 08
771 at 2200 UTC 22 June and (d) member 05 at 2200 UTC 22 June.
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784 **Figures**
785

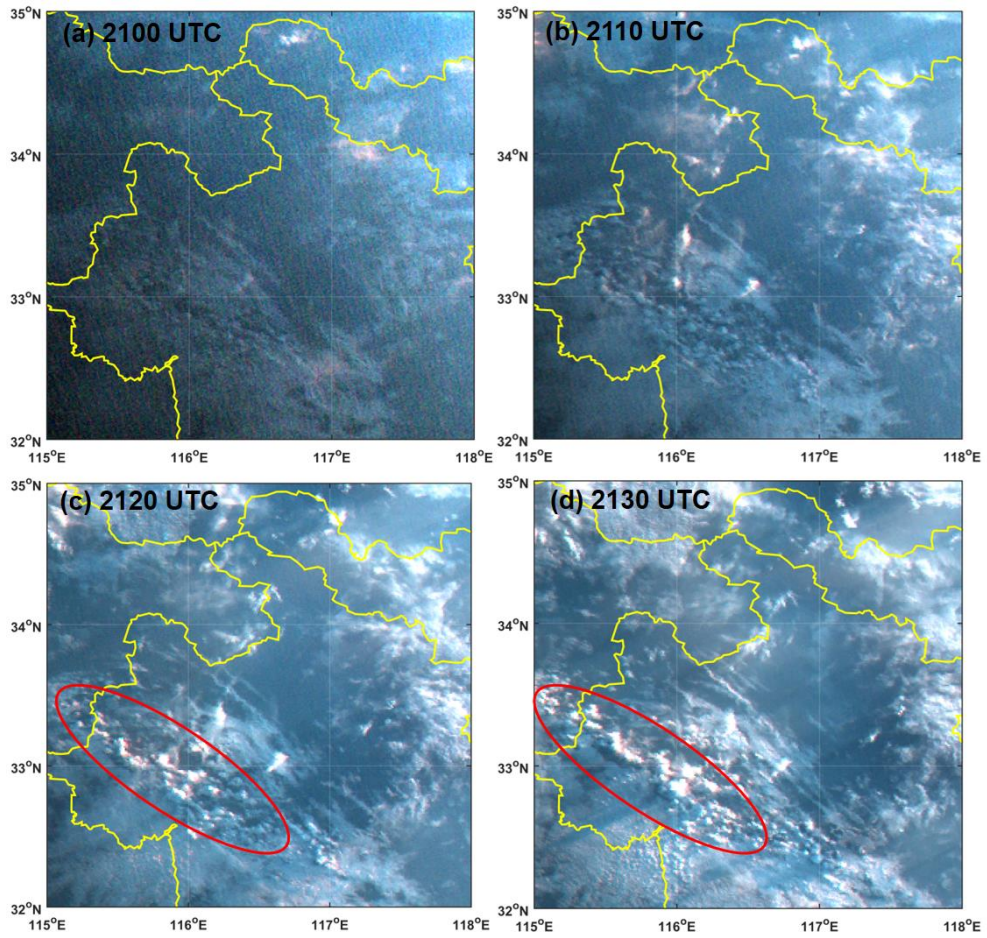


786
787 Fig.1 (a) Mosaics of composite radar reflectivity (dBZ) at 0000 UTC 23 June in central
788 eastern China; the location of the Fuyang radar is marked with a blue cross; the target
789 MCS and the provinces discussed in the text are shown on the map; the distance length
790 scale is given at the bottom left. (b) Synoptic environment reflected by ECMWF HRES
791 analysis at 0000 UTC 23 June including 500-hPa geopotential height (contoured in blue
792 every 40 gpm) and 850-hPa horizontal wind speed (shaded), 850-hPa wind vector
793 (barb= 5 m s^{-1} , half barb= 2.5 m s^{-1}), locations of the surface front and surface high
794 and low pressure (denoted by the front symbols “H” and “L”); the red line indicates the
795 location of the linear convective system at 0000 UTC 23 June.
796



797

798 Fig.2 Composite reflectivity (shaded in dBZ) of the Fuyang radar at (a) 2131 UTC, (b)
 799 2228 UTC, (c) 2257 UTC, and (d) 2354 UTC 22 June and (e) 0051 UTC and (f) 0148
 800 UTC 23 June. The location of the Fuyang radiosonde is given in (d). Initial convective
 801 cells discussed in the text are circled in red in (a). Anhui and Jiangsu provinces
 802 (discussed in the text) are marked in (a) and (f). The time of local sunrise was 2109
 803 UTC (0509 LST).



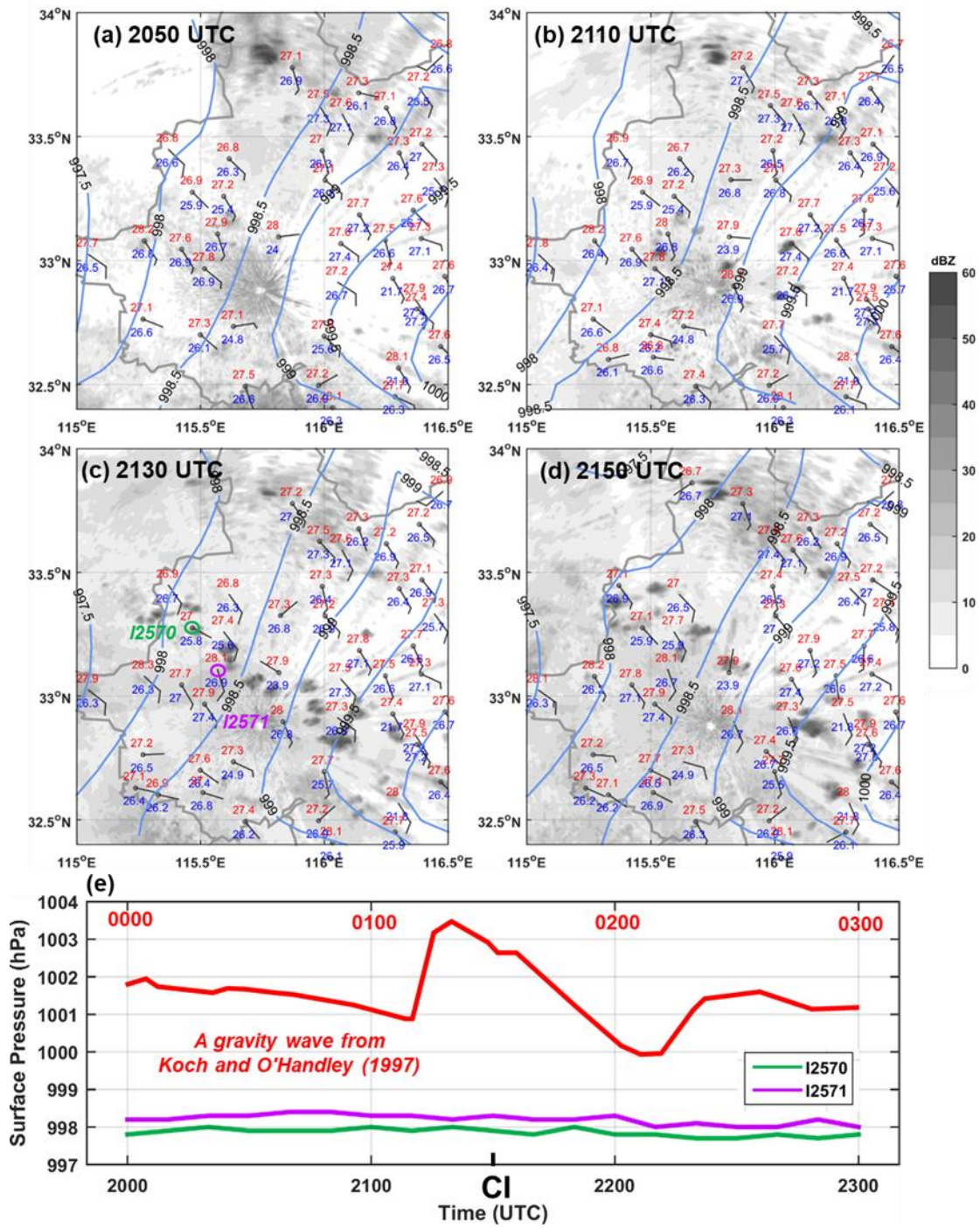
804

805 Fig.3 Composite true-color images from Himawari-8 at (a) 2100 UTC, (b) 2110 UTC,

806 (c) 2120 UTC and (d) 2130 UTC 22 June. The linear-organized cumulus cloud cells are

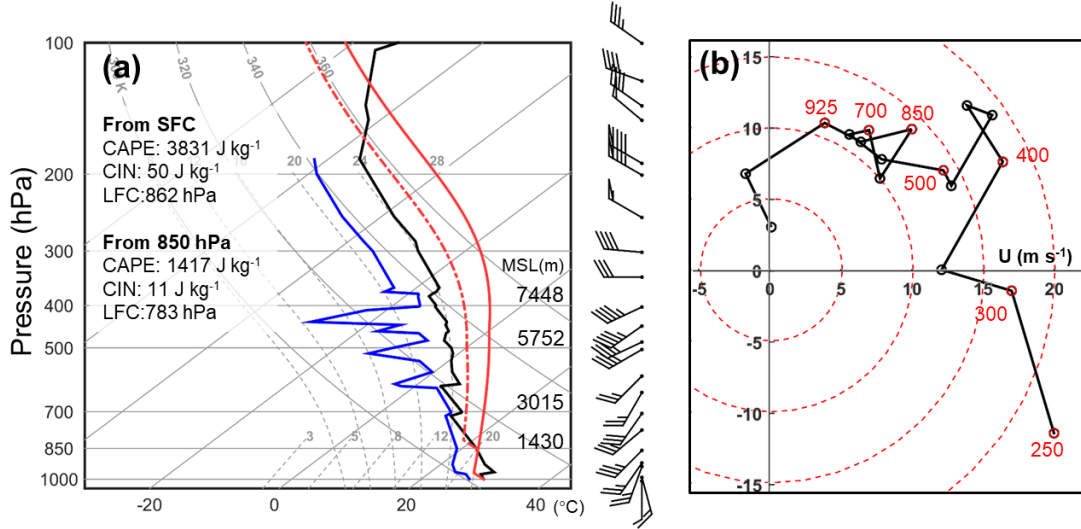
807 circled in red in (c) and (d).

808



809

810 Fig.4 Surface pressure (contoured in blue every 0.5 hPa), surface wind vector (barb=2
 811 m s^{-1} , half barb=1 m s^{-1}), surface temperature (text in red) and surface dewpoint
 812 temperature (text in blue) at (a) 2050 UTC, (b) 2110 UTC, (c) 2130 UTC, and (d) 2150
 813 UTC 22 June. The shading denotes the composite reflectivity from the Fuyang radar
 814 (dBZ). Time series of surface pressure at station I2570 (green) and I2571 (purple) with
 815 locations given in (c) are shown in (e) with CI timing marked on the lower time axis.
 816 The pressure trace of a gravity wave from Koch and O'Handley (1997) adapted from
 817 their Fig. 18e is also shown in (e) in red with corresponding time (UTC) shown on the
 818 upper time axis for reference.

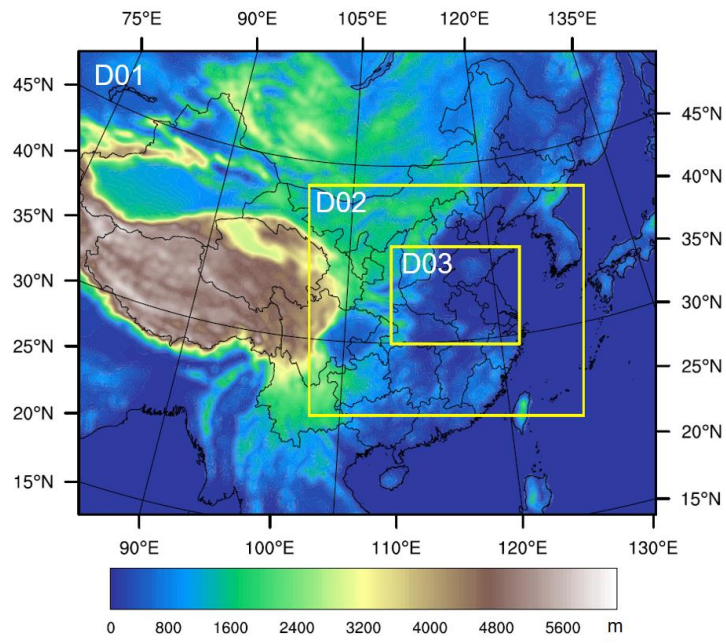


820

821 Fig. 5 Skew T–log p (a) and hodograph (b) diagrams of the Fuyang radiosonde (the red
 822 cross in Fig. 2d) at 0000 UTC 23 June 2016. (a) The ambient temperature and dewpoint
 823 are represented by the solid black and blue lines, respectively; parcels that ascend
 824 undiluted from the surface and 850 hPa are shown by the solid and dashed red lines,
 825 respectively; the wind profile is shown on the right side (barb=4 m s⁻¹, half barb=2 m
 826 s⁻¹, flag=20 m s⁻¹). (b) The velocities at certain pressure levels (hPa) are marked in
 827 red circles in the hodograph. CAPE, CIN and LFC of parcels lifted from different levels
 828 are given on the left in (a).

829

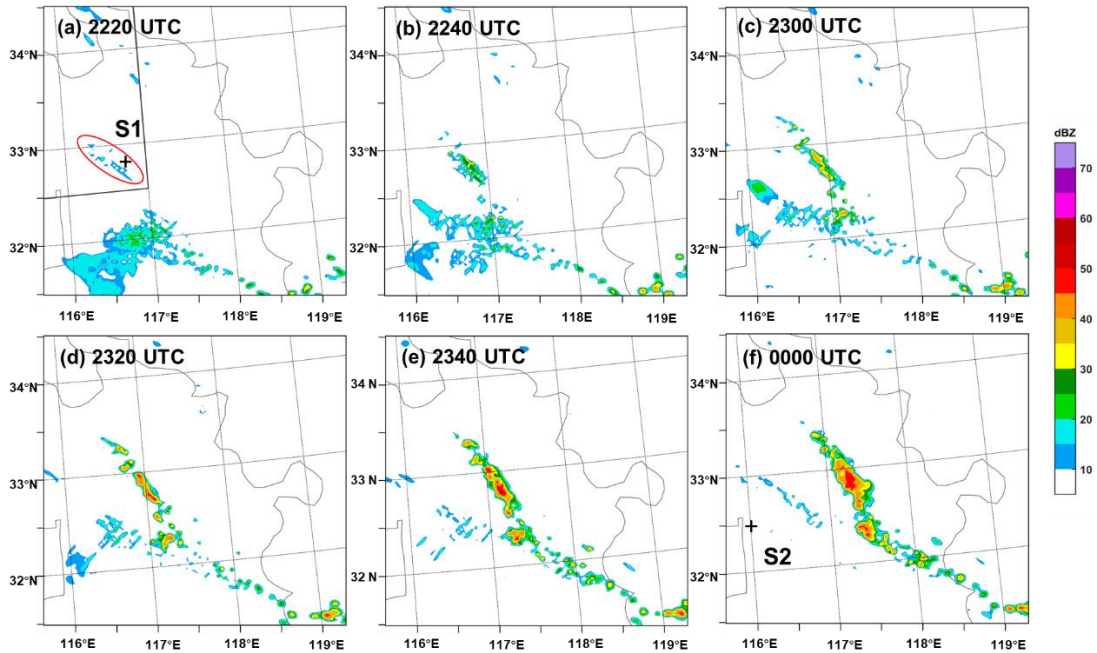
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831

832 Fig.6 Terrain height (shaded; unit: m) and domains used for the control simulation as
833 well as ensemble forecasts.

834

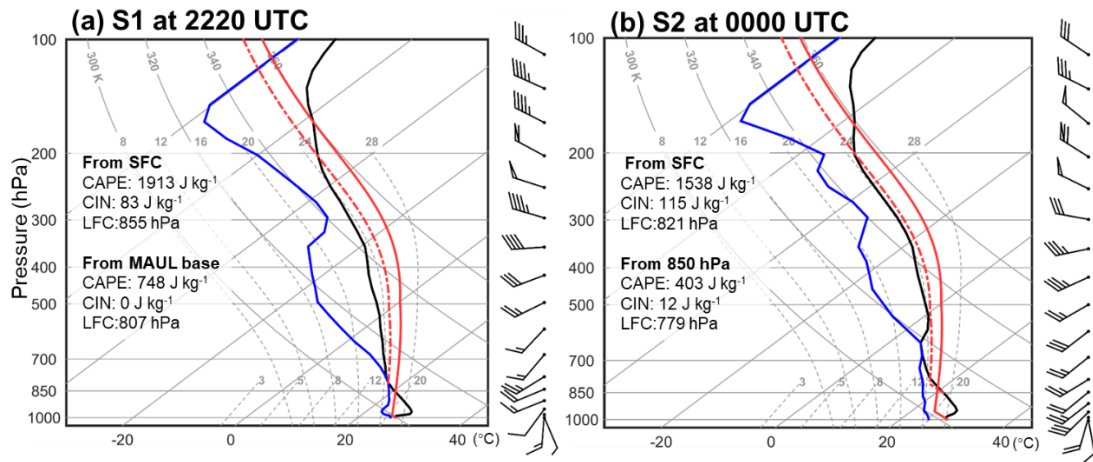


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837 Fig.7 Model-derived composite radar reflectivity (shaded in dBZ) in domain 3
 838 (horizontal resolution of 3 km) of the control simulation at (a) 222
 839 0 UTC, (b) 2240 UTC, (c) 2300 UTC, (d) 2320 UTC, and (e) 2340 UTC 22 June and
 840 (f) 0000 UTC 23 June. The simulated results hereafter are all from domain 3 (horizontal
 841 resolution of 3 km). The weak echo discussed in the text is circled in red in (a). Black
 842 crosses in (a) and (f) indicate locations of sounding profiles analyzed in Fig. 8. The area
 843 of radar observation in Fig. 2a is given as the gray box in (a).

844

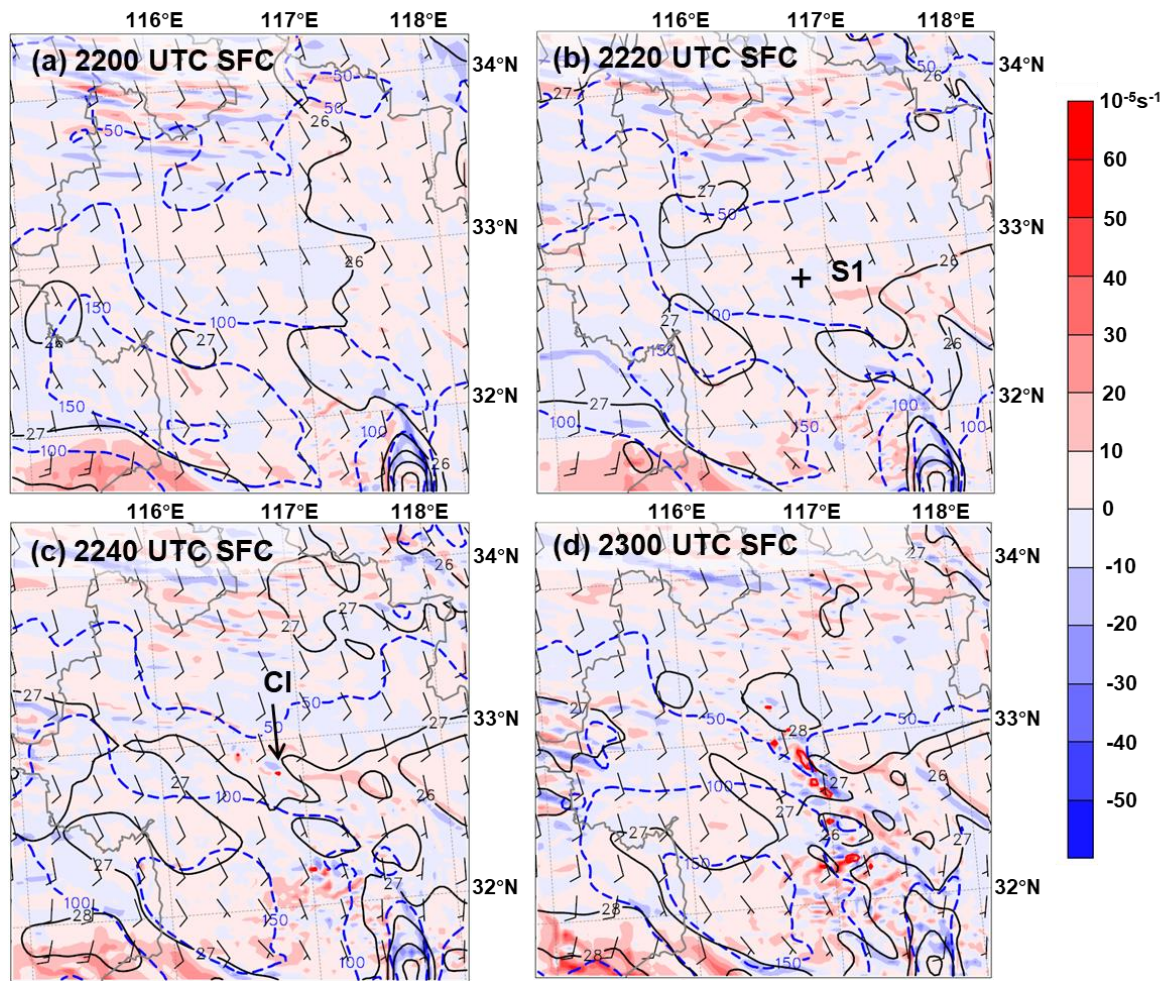
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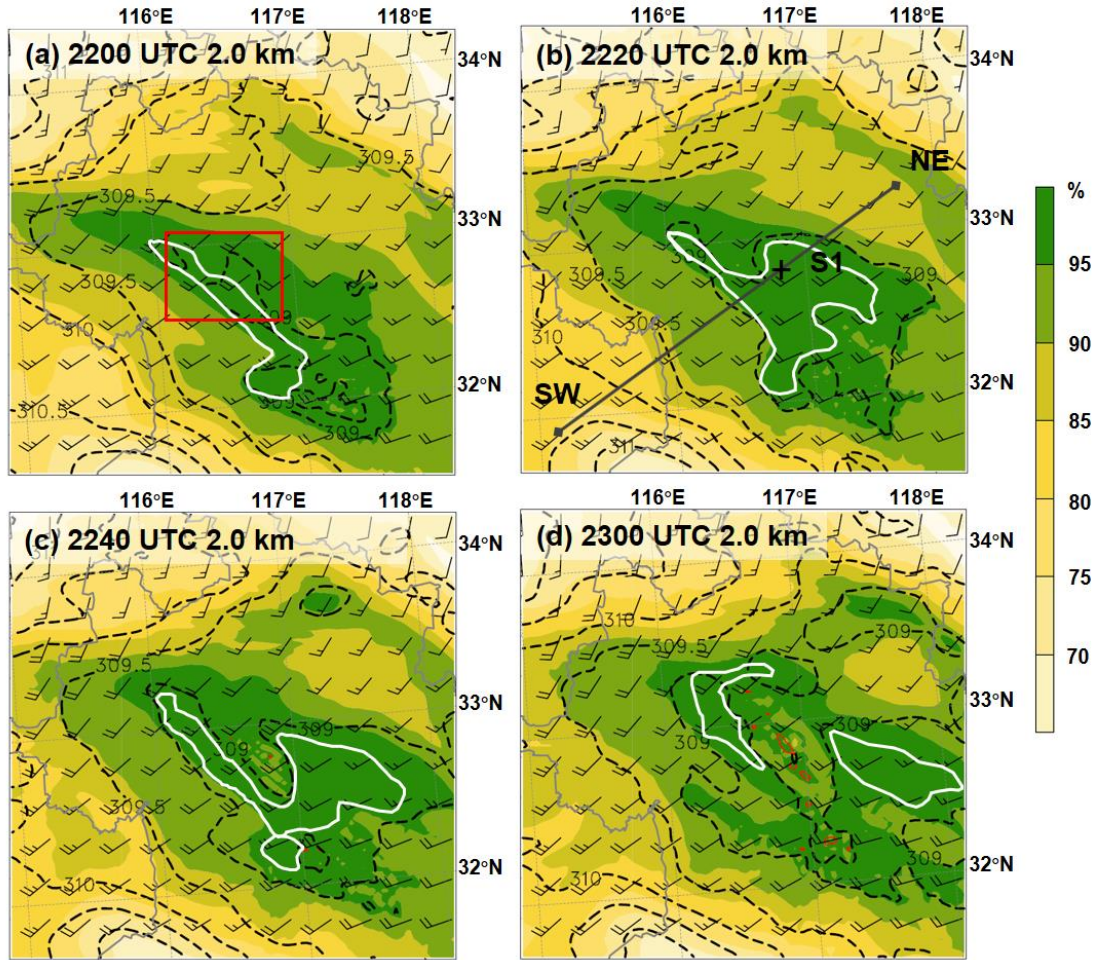
847 Fig. 8 Skew T–log p diagrams of sounding derived from the control simulation at (a)
 848 2220 UTC 22 June at S1 (black cross in Fig. 7a) and (b) 0000 UTC 23 June at S2 (black
 849 cross in Fig.7f). The ambient temperature and dewpoint are represented by the solid
 850 black and blue lines, respectively. In (a) S1, parcels that ascend undiluted from the
 851 surface and the base of MAUL are shown by the solid and dashed red lines, respectively;
 852 In (b) S2, parcels that ascend undiluted from the surface and 850 hPa are shown by the
 853 solid and dashed red lines, respectively. The wind profile is shown on the right side
 854 (barb= 4 m s^{-1} , half barb= 2 m s^{-1} , flag= 20 m s^{-1}). CAPE, CIN and LFC of parcels
 855 lifted from different levels are given on the left.

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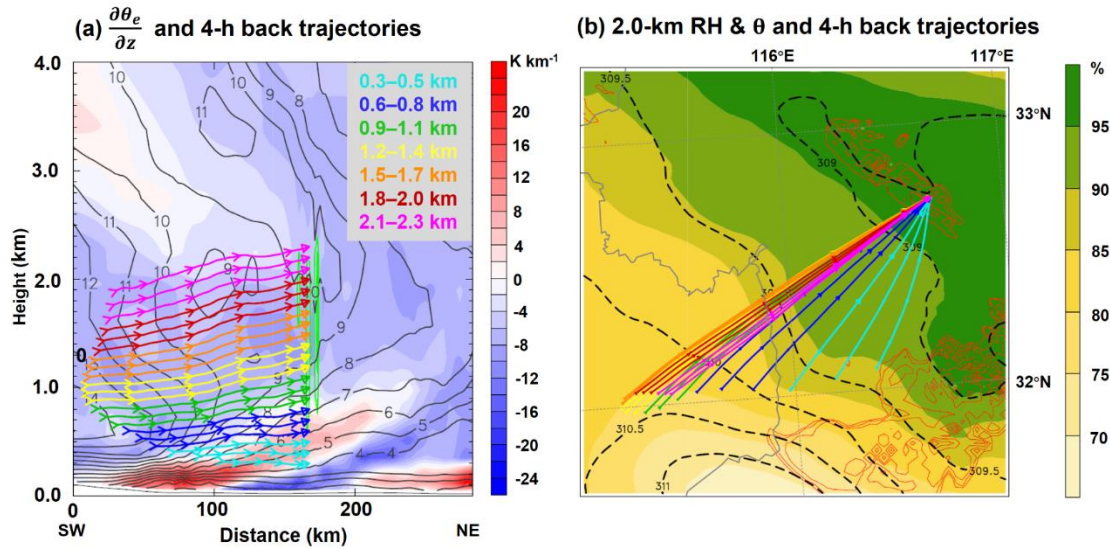
859 Fig.9 Divergence (shaded every 10^{-5} s^{-1} ; blue for convergence and red for
 860 divergence), CIN (contoured in dashed blue every 50 J kg^{-1}) and horizontal wind
 861 vector (barb= 5 m s^{-1} , half barb= 2.5 m s^{-1}) at the bottom model level, surface
 862 temperature (contoured in solid black every 1°C) and 35-dBZ contour of composite
 863 radar reflectivity (solid red) in the control simulation at (a) 2200 UTC, (b) 2220 UTC,
 864 (c) 2240 UTC and (d) 2300 UTC 22 June. The first convective cell reaching 35 dBZ is
 865 denoted in (c) as CI. The location of S1 given in (b) is the same as in Fig. 7a.



866

867 Fig.10 Relative humidity (shaded every 5%), 0.5 J kg^{-1} CIN (contoured in white),
 868 horizontal wind vector (barb= 5 m s^{-1} , half barb= 2.5 m s^{-1}) and potential temperature
 869 (contoured in dash black every 0.5 K) at 2.0 km AGL and the 35-dBZ contour (solid red
 870 of composite radar reflectivity (solid red) in the control simulation at (a) 2200 UTC,
 871 (b) 2220 UTC, (c) 2240 UTC and (d) 2300 UTC 22 June. The location of S1 is the same
 872 as in Fig. 7a, and the cross section in Fig. 11a and Fig. 13 is given in (b). The red box
 873 denotes the area used for diagnosing the area-averaged transport of water vapor mixing
 874 ratio and potential temperature in Fig. 14.

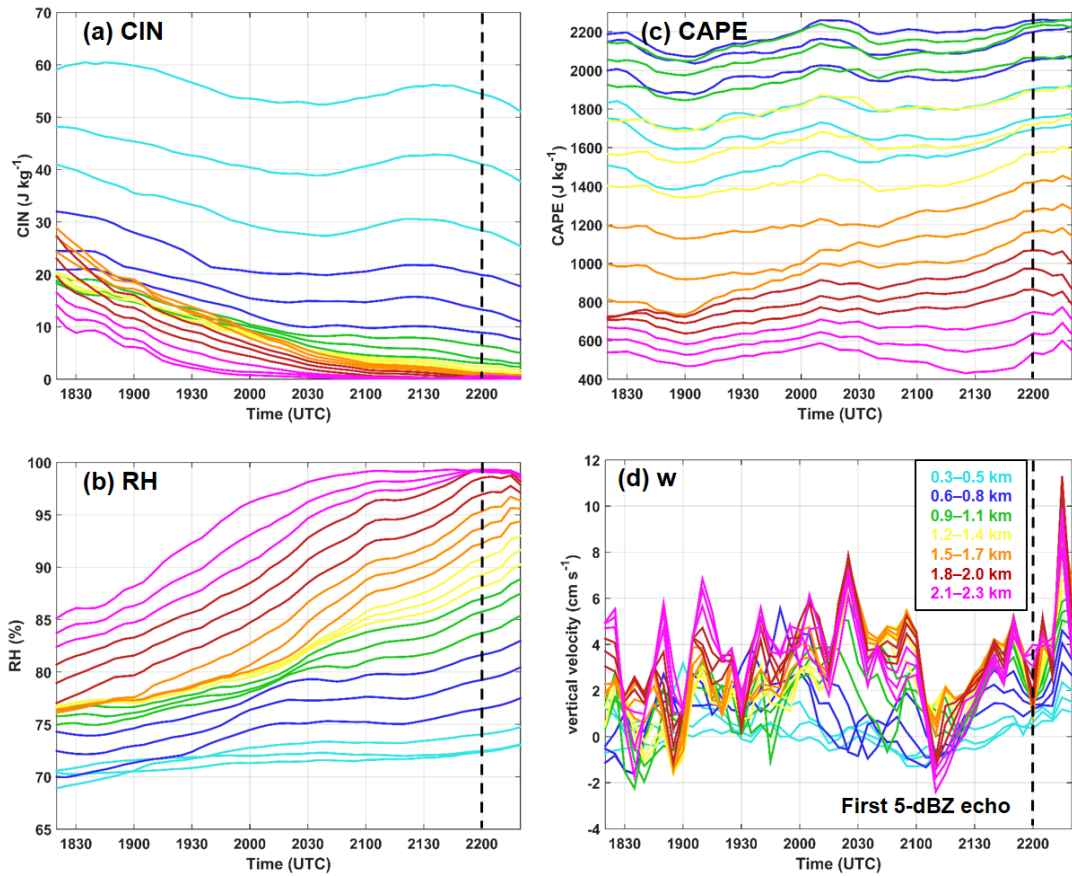
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876

877 Fig. 11 (a) Vertical distributions of 4-h back trajectories of parcels released from the 10-
 878 dBZ radar echo at 2220 UTC 22 June with different heights (0.3–2.3 km AGL; colors
 879 correspond to different heights as shown in the top-right box) along the plane of the
 880 cross section in Fig. 10b; also shown are potential instability ($\partial \theta_e / \partial z$, shaded every 2 K
 881 km^{-1}), model-derived composite radar reflectivity (contoured in green at 5-dBZ
 882 intervals), and horizontal wind speed along the plane of the cross section (contoured in
 883 solid black every 1 m s^{-1}) at 2220 UTC 22 June. (b) Horizontal distributions of the
 884 same parcel trajectories as in (a); also shown are relative humidity (shaded at 5%
 885 intervals), model-derived radar reflectivity (contoured in red at 5-dBZ intervals) and
 886 potential temperature (contoured in black dashes every 0.5 K) at 2.0 km AGL at 2220
 887 UTC 22 June.

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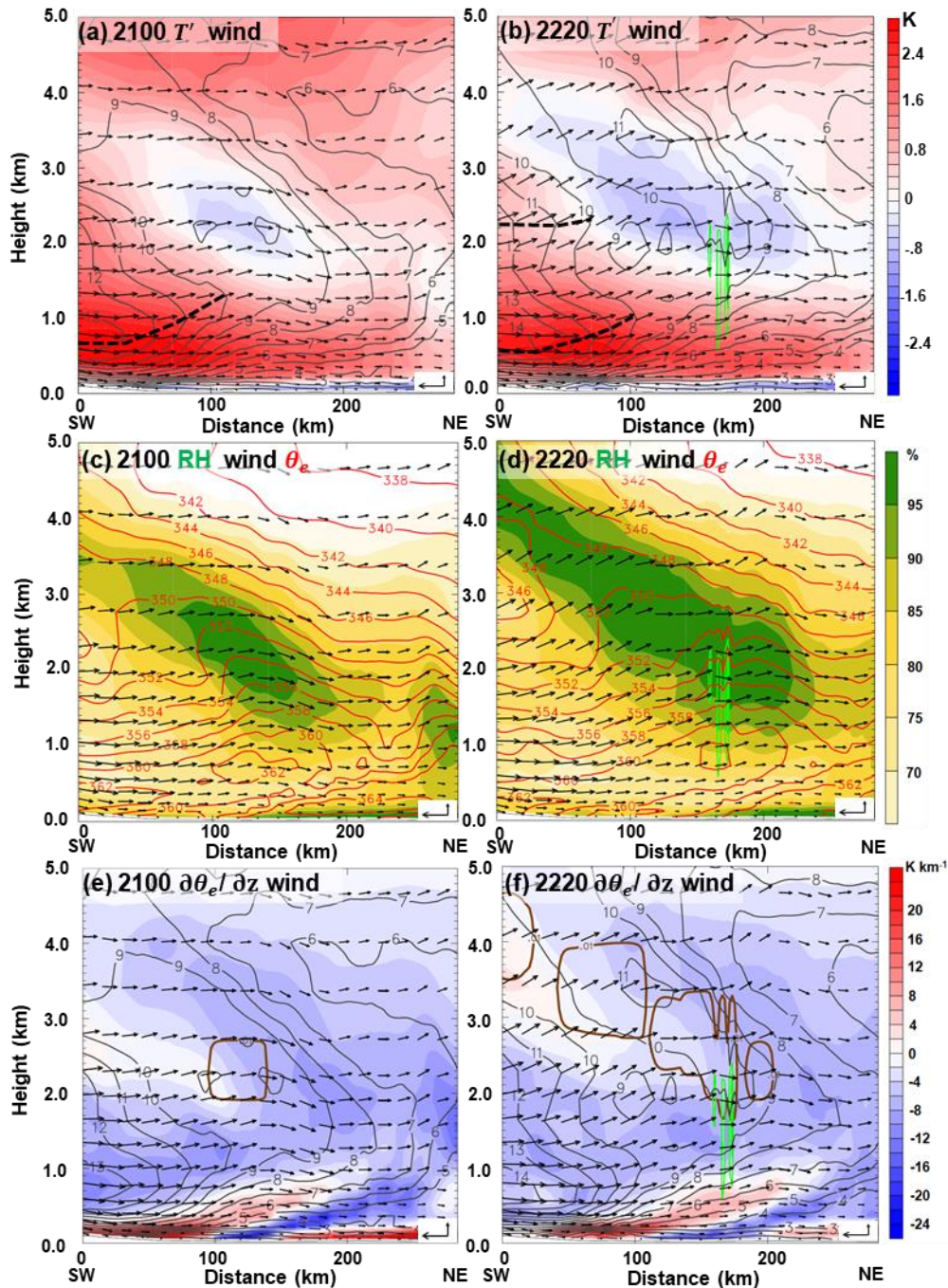


889

890 Fig.12 (a) CIN (J kg^{-1}), (b) relative humidity (%), (c) CAPE (J kg^{-1}) and (d) vertical
 891 velocity (cm s^{-1}) along the color-coded trajectories plotted in Fig. 11a. The black
 892 dashed line indicates the time (2200 UTC 22 June) when the first 5-dBZ echo appeared.

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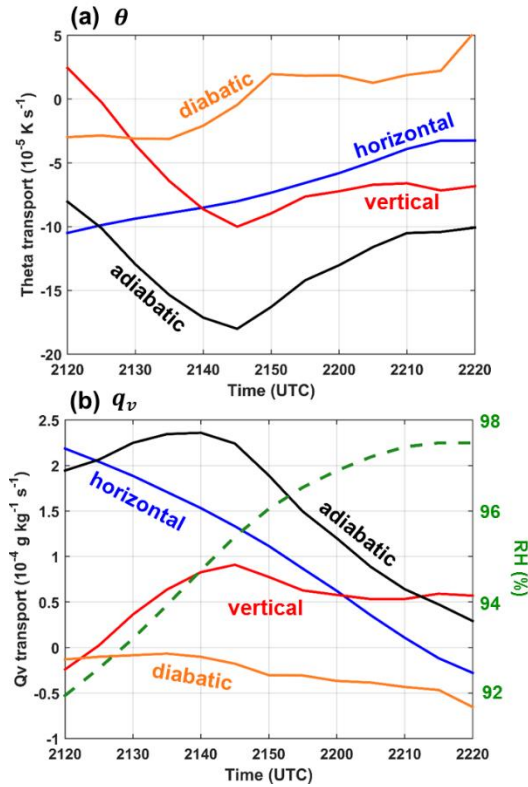
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895

896 Fig.13 Vertical cross sections along the SW–NE transect in Fig. 10b of temperature
 897 perturbation (shaded at 0.2-K intervals), model-derived radar reflectivity (contoured in
 898 green at 5-dBZ intervals), horizontal wind speed along the plane of the cross section
 899 (contoured in solid black every 1 m s^{-1}) and circulation vectors in the plane of the
 900 cross section at (a) 2100 UTC and (b) 2220 UTC 22 June; the reference state used to
 901 compute the temperature perturbation has the same lapse rate (0.0065 K m^{-1} below 11
 902 km) as the U.S. Standard Atmosphere and a sea-level temperature of 301 K from this
 903 current case. The second row shows the relative humidity (shaded at 5% intervals),
 904 model-derived radar reflectivity (contoured in green at 5-dBZ intervals), equivalent

905 potential temperature (contoured in red every 2 K) and circulation vectors in the plane
906 of the cross section at (c) 2100 UTC and (d) 2220 UTC 22 June. The third row shows
907 the potential instability ($\partial\theta_e/\partial z$, shaded every 2 K km⁻¹), model-derived radar
908 reflectivity (contoured in green at 5-dBZ intervals), cloud water mixing ratio (0.01 g
909 kg⁻¹ contour in solid brown), horizontal wind speed along the plane of the cross section
910 (contoured in solid black every 1 m s⁻¹) and circulation vectors in the plane of the cross
911 section at (e) 2100 UTC and (f) 2220 UTC 22 June. The reference vector is given at the
912 bottom right and denotes a horizontal velocity of 10 m s⁻¹ and a vertical velocity of 10
913 cm s⁻¹. The jet axes of the LLJ mentioned in the text are qualitatively denoted by the
914 bold black dashed lines in (a) and (b).



915

916 Fig. 14 (a) Evolution of the area-averaged horizontal advection ($-\mathbf{V} \cdot \nabla_h \theta$, solid blue),

917 vertical transport ($-w \frac{\partial \theta}{\partial z}$, solid red), diabatic term (D_θ , solid orange) and adiabatic term

918 ($-\mathbf{V} \cdot \nabla_h \theta - w \frac{\partial \theta}{\partial z}$, solid black) of potential temperature within the subsequent MAUL

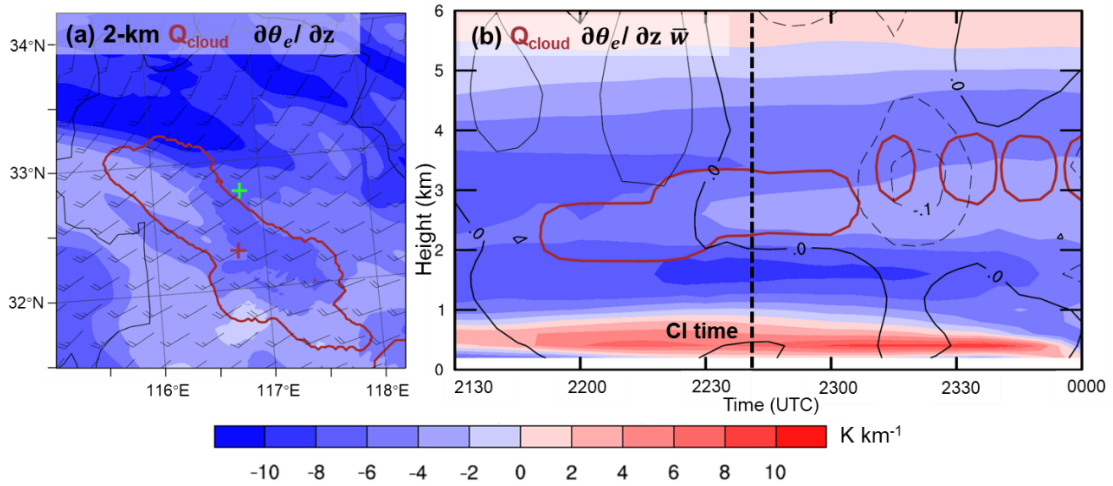
919 (unit: 10^{-5} K s^{-1}) on 22 June. (b) is similar to (a) except for the water vapor mixing

920 ratio (unit: $10^{-4} \text{ g kg}^{-1} \text{ s}^{-1}$). The evolution of the area-averaged relative humidity

921 within the subsequent MAUL is also plotted as the dashed green line in (b). The area

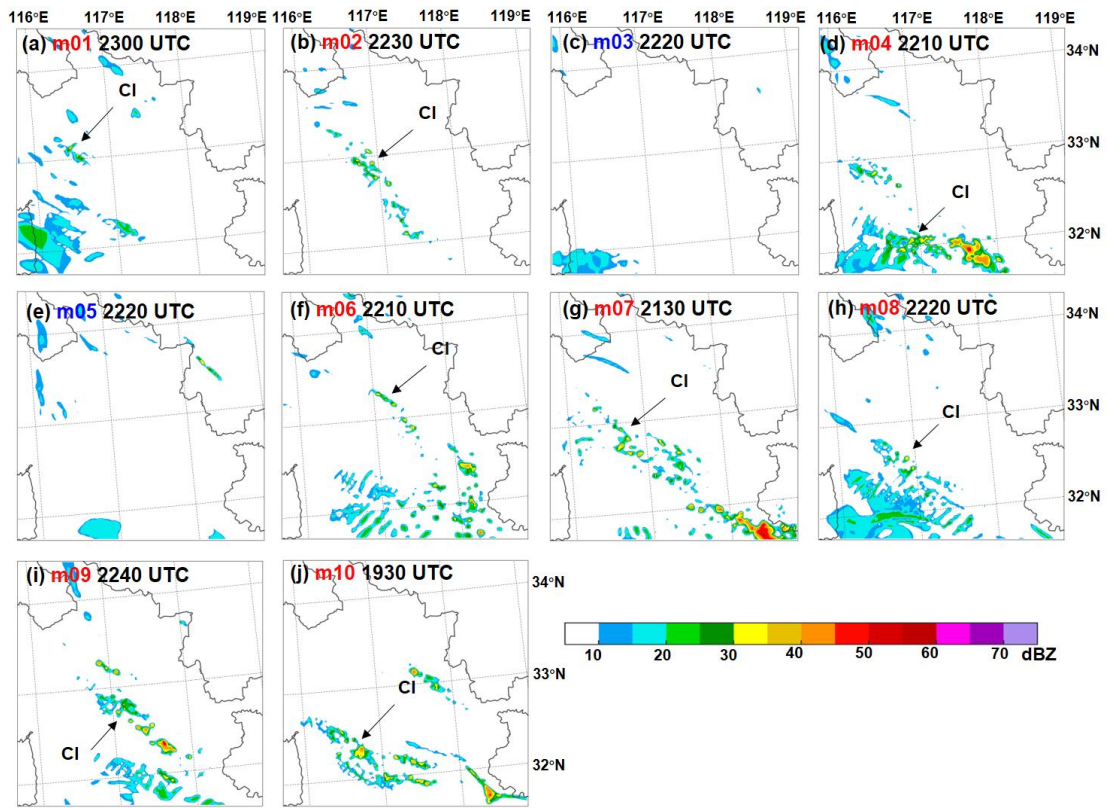
922 used for averaging is denoted as the red box in Fig. 10a.

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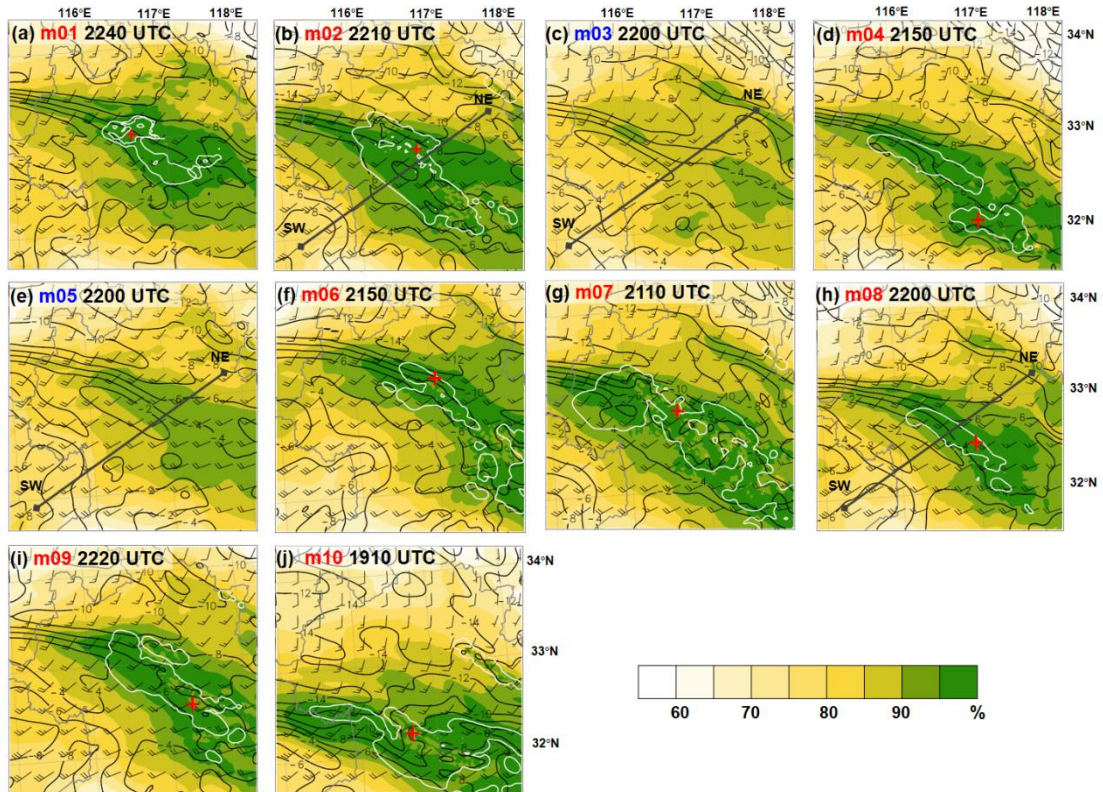
925

926 Fig.15 Temporal and spatial scale of the simulated MAUL. (a) Potential instability
 927 ($\partial\theta_e/\partial z$, shaded every 2 K km^{-1}), cloud water mixing ratio (0.01 g kg^{-1} contour in
 928 solid brown) and horizontal wind vector (barb= 5 m s^{-1} , half barb= 2.5 m s^{-1}) at 2 km
 929 AGL at 2200 UTC 22 June. The CI location (first 35-dBZ echo at 2240 UTC 22 June)
 930 is denoted by the green cross. (b) Time series of vertical conditions at the grid point
 931 denoted by the brown cross in (a), potential instability ($\partial\theta_e/\partial z$, shaded every 2 K km^{-1}),
 932 cloud water mixing ratio (0.01 g kg^{-1} contour in solid brown) and 20-min-averaged
 933 vertical velocity (contoured in solid black for positive and negative in black dashes
 934 every 0.05 m s^{-1}). The CI timing (2240 UTC 22 June) is marked in black dashes in (b).
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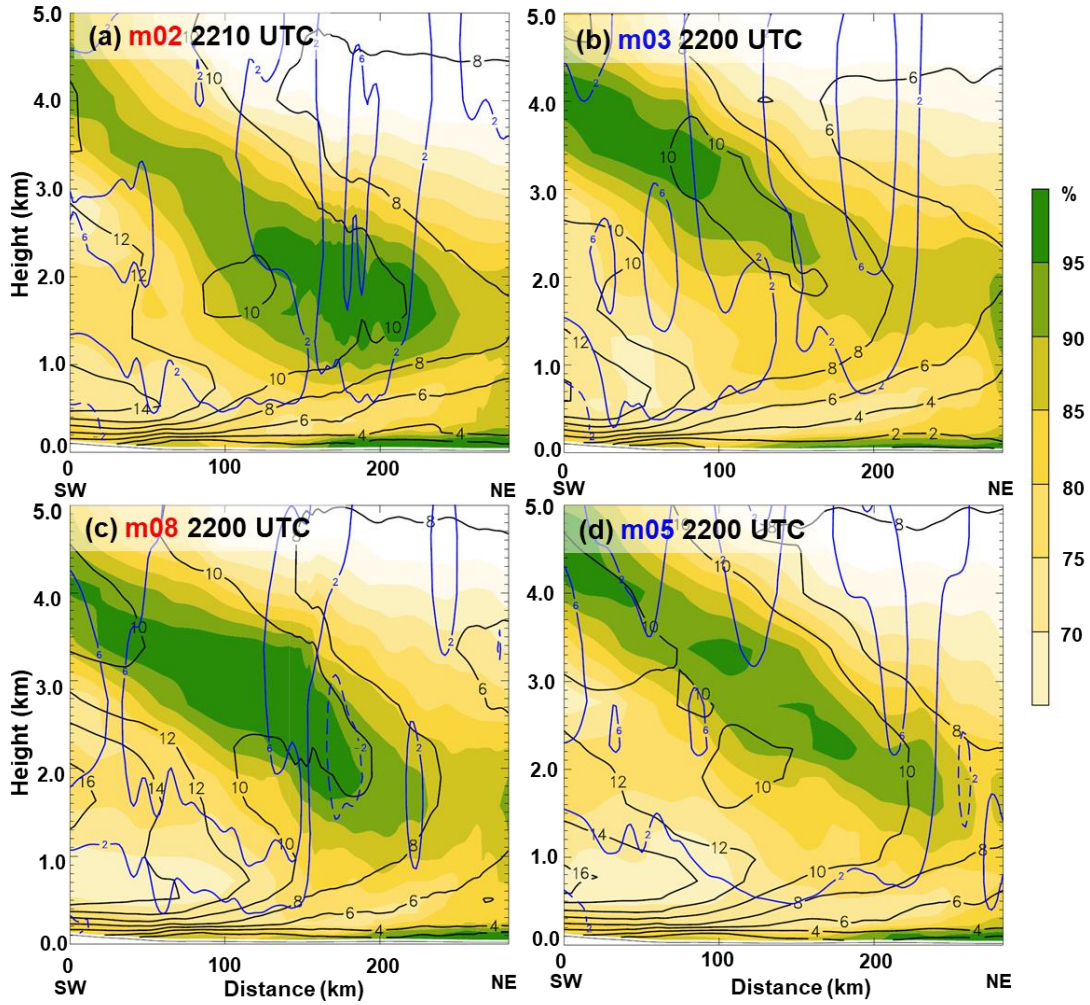
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938 Fig.16 Model-derived (d03) composite radar reflectivity (shaded in dBZ) of the 10
 939 ensemble members (a)–(j). CI members (red label) are plotted at respective CI timing,
 940 and non-CI members (blue label) are plotted at 2220 UTC 22 June for comparison.
 941



943

944 Fig. 17 Distribution of elevated saturated area 20 min before CI including relative
 945 humidity (shaded every 5%), horizontal wind vector (barb=5 m s⁻¹, half barb=2.5 m
 946 s⁻¹), potential instability ($\partial\theta_e/\partial z$, contoured in solid black every 2 K km⁻¹) and cloud
 947 water mixing ratio (solid white, contours of 0.01 g kg⁻¹) at 2.0 km AGL in 10 ensemble
 948 members (a)–(j). The solid black lines in (b), (c), (e) and (h) indicate the SW–NE path
 949 of the cross section in Fig. 18. The CI locations shown in Fig. 16 are marked as red
 950 crosses.



951

952 Fig. 18 Vertical cross sections along the SW–NE transect of typical CI and non-CI
 953 members in Fig. 17b, c, e and h, including relative humidity (shaded every 5%),
 954 horizontal wind speed along the plane of the cross section (contoured in solid black
 955 every 2 m s⁻¹), and 20-min-averaged vertical velocity (contoured in blue with positive
 956 (> 2 cm s⁻¹) in solid lines and negative (< -2 cm s⁻¹) in dashes every 4 cm s⁻¹) in (a)
 957 member 02 at 2210 UTC 22 June, (b) member 03 at 2200 UTC 22 June, (c) member 08
 958 at 2200 UTC 22 June and (d) member 05 at 2200 UTC 22 June.

959