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Geophysical Research Letters

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Supporting Information for

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Anthropogenic Aerosols Significantly Reduce

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Mesoscale Convective System Occurrences and Precipitation

6

over Southern China in April

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25

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32 **Text S1.**

33 **WRF-Chem model configuration, emission inventories, experimental design, and**
34 **model validation**

35 Simulations with the Weather Research and Forecasting Model coupled to
36 Chemistry (WRF-Chem, v3.6.1) [Grell *et al.*, 2005] were performed using three nested
37 domains (Figure S2), with horizontal resolutions of 27 km, 9 km, and 3 km, respectively.
38 Initial and boundary conditions for meteorological variables were from the NCEP FNL
39 Operational Global Analysis data (<https://rda.ucar.edu/datasets>) and updated every 6
40 hours. To maintain stability of the model for longer simulations, meteorological fields
41 above 2.6 km (level 10) in the outer-most domain was nudged toward the NCEP FNL
42 data every 5 days. Initial and boundary concentrations of chemical species in the outer-
43 most domain were from a global simulation using the MOZART-4 model [Emmons *et*
44 *al.*, 2010] and updated every 6 hours. All simulations were conducted from March 25
45 to May 1 of the corresponding year. The first 7 days spun up the simulations. Results
46 from the inner-most domain between April 1 and May 1 were analyzed.

47 We configured the WRF-Chem model to simulate the direct and indirect radiative
48 effects of aerosols. Aerosol microphysics were simulated with the MADE/SORGAM
49 scheme [Ackermann *et al.*, 1998; Schell *et al.*, 2001]. Cloud microphysics was
50 simulated using a double-moment bulk microphysics scheme [Morrison *et al.*, 2005,

51 2009], which calculates the mixing ratios and the number concentrations of five
52 hydrometeors: cloud droplets, rain drops, ice crystals, snow, and graupel. The activation
53 of CCN was simulated using the κ -Köhler theory [Petters and Kreidenweis, 2007],
54 which accounted for the different hygroscopicity of different aerosol species. The
55 standard Morrison scheme in WRF-Chem considers the impacts of aerosols on CCN
56 activation, but the IN-activation is independent of aerosol number. We modified the
57 IN-activation scheme in WRF-Chem, such that the number of activated IN was
58 dependent on temperature and the number concentrations of particles larger than 0.5
59 μm in diameter [DeMott *et al.*, 2010]. Radiative scattering and absorption by aerosol
60 and clouds were simulated using the Goddard shortwave radiation scheme [Chou and
61 Suarez, 1994], which explicitly calculated the liquid cloud optical thickness using
62 liquid cloud water content and liquid cloud droplet numbers. Other physical and
63 chemical parameterizations in our WRF-Chem simulations were as summarized in
64 Table S1.

65 Chinese emissions of aerosols and precursors from anthropogenic sources
66 (including power generation, industry, residential, transportation, and agriculture) were
67 from the Multi-resolution Emission Inventory for China (MEIC) [Liu *et al.*, 2015],
68 developed for the year 2010 at a native resolution of 0.25° . Anthropogenic emissions
69 for the rest of Asia were from Zhang *et al.* [2009], developed for the year 2006 at a
70 native resolution of 0.5° . Biomass burning emissions were taken from the Fire

71 Inventory from NCAR (FINN) [*Wiedinmyer et al.*, 2011], developed for the year 2009
72 at 1-km resolution. All emission data were interpolated to model resolutions to drive
73 WRF-Chem. The same anthropogenic and biomass burning emissions were used for
74 both 2009 and 2010 simulations. Biogenic emissions were calculated online in WRF-
75 Chem using the MEGAN algorithm version 2 [*Guenther et al.*, 2006].

76 All emissions were included in the ‘polluted’ simulations, while Chinese
77 anthropogenic emissions were excluded in the ‘clean’ simulations. In the
78 “Polluted_NoADE” simulations, the direct radiative effects of aerosols were turned
79 off. In the “Polluted_0.5LCOT” simulations, we reduced the liquid cloud optical
80 thickness (LCOT) in the inner-most domain by 50% only in the radiative calculations.
81 The microphysical calculations were not manually altered. The effect of reducing the
82 LCOT by 50% in the radiative calculations in our simulation was approximately
83 equivalent to turning off the Twomey effect of aerosols on warm clouds. In the
84 “Polluted_0.5LCOT_NoADE” simulations, the direct radiative effects of aerosols were
85 turned off and the LCOT used in the radiative calculations were reduced by 50% in the
86 inner-most domain. All other model configurations were the same as those in the
87 polluted simulations.

88 The modeled accumulated rainfall and rainfall intensity over Southern China in the
89 “polluted” simulations compared well with surface rain gauge observations in April

90 2009 (Figure S4), indicating that the model was able to capture the general regional
91 climate. The average number concentrations of fine aerosols at the surface over
92 Southern China were $3 \times 10^4 \text{ cm}^{-3}$ in the polluted simulations and $2 \times 10^3 \text{ cm}^{-3}$ in the clean
93 simulations. These numbers are respectively similar to the fine aerosol concentrations
94 observed at urban (1.4×10^4 to $2.6 \times 10^4 \text{ cm}^{-3}$) and background (approximately $2 \times 10^3 \text{ cm}^{-3}$)
95 sites in Southern China [Huang *et al.*, 2017]. The monthly domain-averaged clear-
96 sky aerosol optical depth (AOD) over Southern China in the polluted simulations of
97 April 2009 and April 2010 were 0.54 and 0.61, respectively, comparable to the clear-
98 sky AOD observed by MODIS (<https://modis.gsfc.nasa.gov/>) of 0.4-0.8. The domain-
99 average monthly mean $\text{PM}_{2.5}$ concentrations over Southern China in the polluted
100 simulations of April 2009 and April 2010 were $21.1 \mu\text{g m}^{-3}$ and $20.7 \mu\text{g m}^{-3}$,
101 respectively, comparable to the observed $23.3 \pm 4.5 \mu\text{g m}^{-3}$ over Southern China in April
102 [Lai *et al.* 2016]. In the polluted simulations of both April 2009 and April 2010, the
103 sum of sulfate, nitrate, and ammonium accounted for 30% to 57% of surface $\text{PM}_{2.5}$,
104 similar to the 33% to 66% observed in Southern China in April 2009 [Tao *et al.* 2014].
105 These evidences indicated that the model was able to represent the aerosol and
106 microphysical conditions over Southern China in April.

107

108 **Text S2.**

109 **Objective diagnosis of MCS occurrences**

110 Based on widely-accepted definitions of MCSs [*Parker and Johnson, 2000*], we
111 developed an automated algorithm to objectively identify the occurrence of an MCS as
112 the presence of a strictly contiguous surface area satisfying the following criteria: (1)
113 all surface grids within the area had RADAR reflectivity ≥ 40 dBZ somewhere in the
114 vertical column of air above it; (2) some model grids within that contiguous area had
115 ≥ 45 dBZ RADAR reflectivity; (3) the contiguous area extended ≥ 100 km in at least one
116 horizontal direction (4) but extended ≤ 250 km in all horizontal directions. The total
117 number-hours of MCS occurrences calculated the sum of hours that each individual
118 MCS was present. MCS rainfall was defined as the precipitation that fell within the
119 MCS area, including both MCS stratiform rainfall and MCS convective rainfall. MCS
120 convective rainfall was defined as the MCS rainfall which fall over grids with RADAR
121 reflectivity ≥ 35 dBZ somewhere in the vertical column of air above it.

122 We found that MCS occurrences were suppressed in the polluted simulations
123 relative to those in the clean simulations (Section 3 in the main text). We further
124 confirmed that this suppression of MCS occurrences by aerosols was not affected by
125 changes in the threshold criteria used in the automated algorithm:

126 • If we changed the threshold criteria in (1) from ≥ 40 dBZ to ≥ 35 dBZ: the

127 number-hours of MCS occurrences were 890 hours under clean conditions and 687
128 hours under polluted conditions for April 2009 (-22% reduction under polluted
129 conditions relative to clean conditions). The number-hours of MCS occurrences were
130 1214 hours under clean conditions and 1032 hours under polluted conditions for
131 April 2010 (-15% reduction under polluted conditions relative to clean conditions).

132 • If we changed the threshold criteria in (2) from ≥ 45 dBZ to ≥ 40 dBZ: the
133 number-hours of MCS occurrences were 742 hours under clean conditions and 509
134 hours under polluted conditions for April 2009 (-31% reduction under polluted
135 conditions). The number-hours of MCS occurrences were 995 hours under clean
136 conditions and 783 hours under polluted conditions for April 2010 (-21% reduction
137 under polluted conditions).

138 • If we changed the threshold criteria in (4) from ≤ 250 km to ≤ 200 km: the
139 number-hours of MCS occurrences were 584 hours under clean conditions and 406
140 hours under polluted conditions for April 2009 (-30% reduction under polluted
141 conditions). The number-hours of MCS occurrences were 832 hours under clean
142 conditions and 661 hours under polluted conditions for April 2010 (-21% reduction
143 under polluted conditions).

144

145 **Text S3.**

146 **Composite normalized contoured frequency of RADAR reflectivity**

147 We composited the model results of the RADAR reflectivity (Z) for all simulated

148 MCSs to construct the composite normalized contoured frequency by altitude diagram

149 [Yuter and Houze, 1995] (CFAD, Figure 3):

150

$$CFAD_{ij} = \frac{\int_{H_i}^{H_i+\Delta H} \int_{Z_j}^{Z_j+\Delta Z} \frac{\partial^2 N(H, Z)}{\partial H \partial Z} dZ dH}{\Delta Z \Delta H \int_0^{H_{top}} \int_{-\infty}^{\infty} \frac{\partial^2 N(H, Z)}{\partial H \partial Z} dZ dH}$$

151 where $N(H, Z)$ is the frequency distribution function defined as the number of Z in the

152 range of Z to $Z+\Delta Z$ at a height(H) above ground ranging from H to $H+\Delta H$. The index

153 i goes from 1 to 120 in intervals of 0.1 km. The index j goes from -10 to 65 in intervals

154 of 2.5 dBZ.

155

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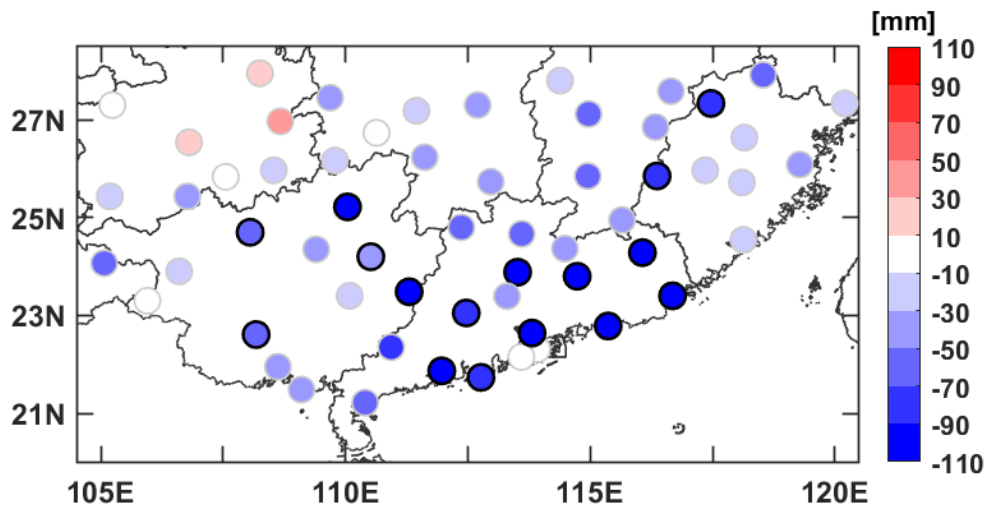
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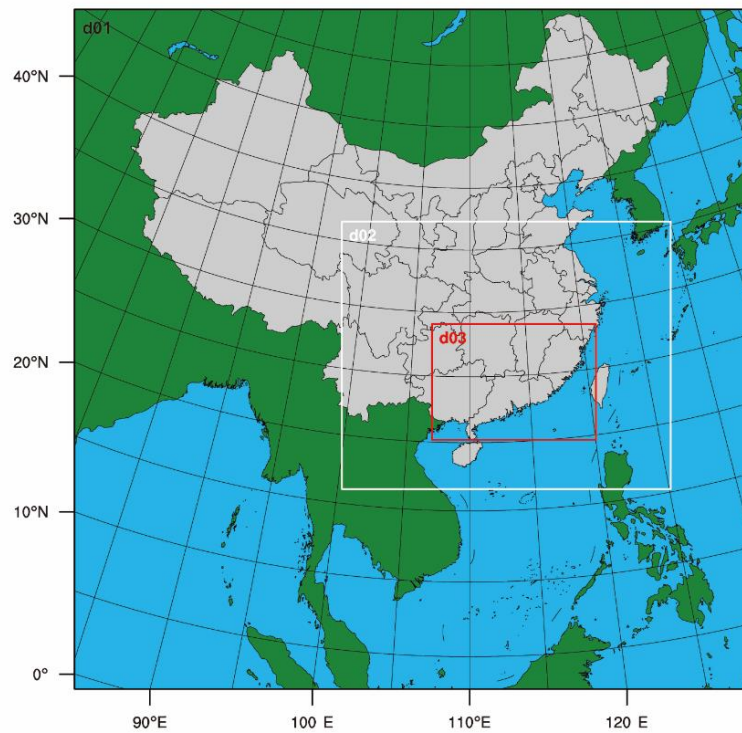
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223

224 **Figure S1.** Observed differences in April precipitation at 59 surface meteorological
 225 stations over Southern China between the years 2001-2011 versus the years 1979-1989.
 226 Red and blue symbols indicate increases and decreases of precipitation, respectively.
 227 Symbols with black circles indicate stations where the difference in precipitation was
 228 significant at the 90% confidence level.

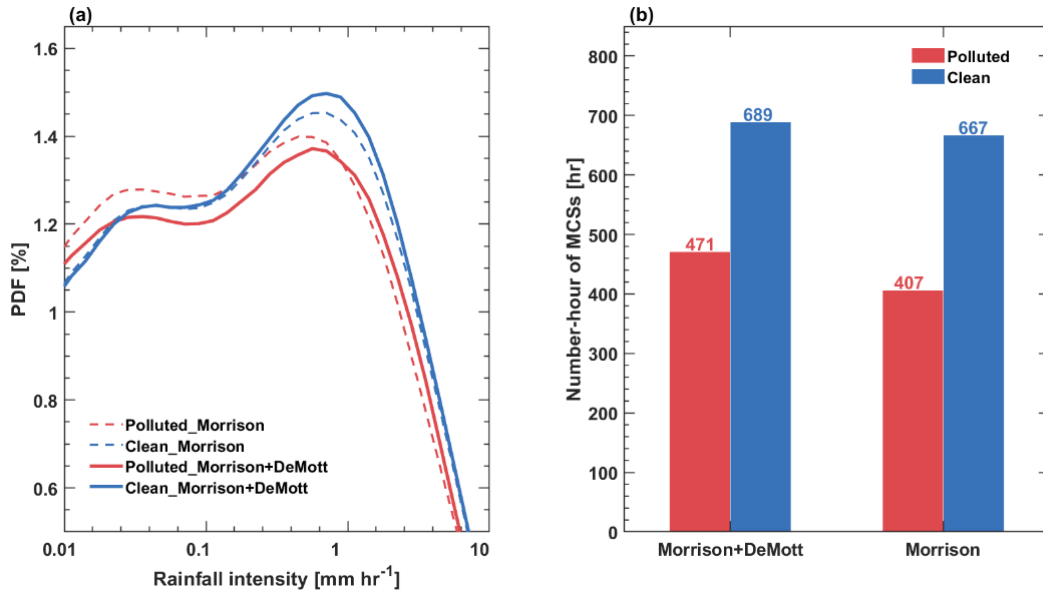
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230

231 **Figure S2.** The three nested domains used in our WRF-Chem simulations, with
232 horizontal resolutions of 27 km (d01), 9 km (d02), and 3 km (d03), respectively. The
233 red box indicates the Southern China region. The grey color indicates the Chinese area
234 where anthropogenic emissions were turned off for the 'clean' simulations.

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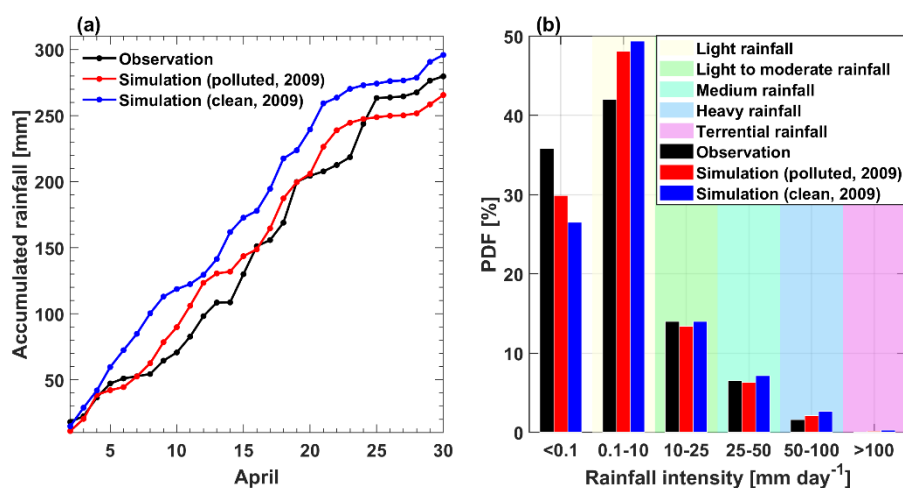


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237 **Figure S3.** Comparison of the rainfall intensity and MCS occurrences simulated for
 238 April 2009 using the standard Morrison scheme (labeled as ‘Morrison’) against those
 239 simulated using the Morrison scheme with the addition of aerosol number-dependent
 240 IN-activation (labeled as ‘Morrison+DeMott’): (a) probability distribution functions of
 241 rainfall intensity in the sensitivity simulations of April 2009; (b) the total number-hours
 242 of MCSs over Southern China in April 2009 parsed from the hourly model outputs of
 243 the sensitivity simulations. Color codes for the sensitivity simulations are shown inset.

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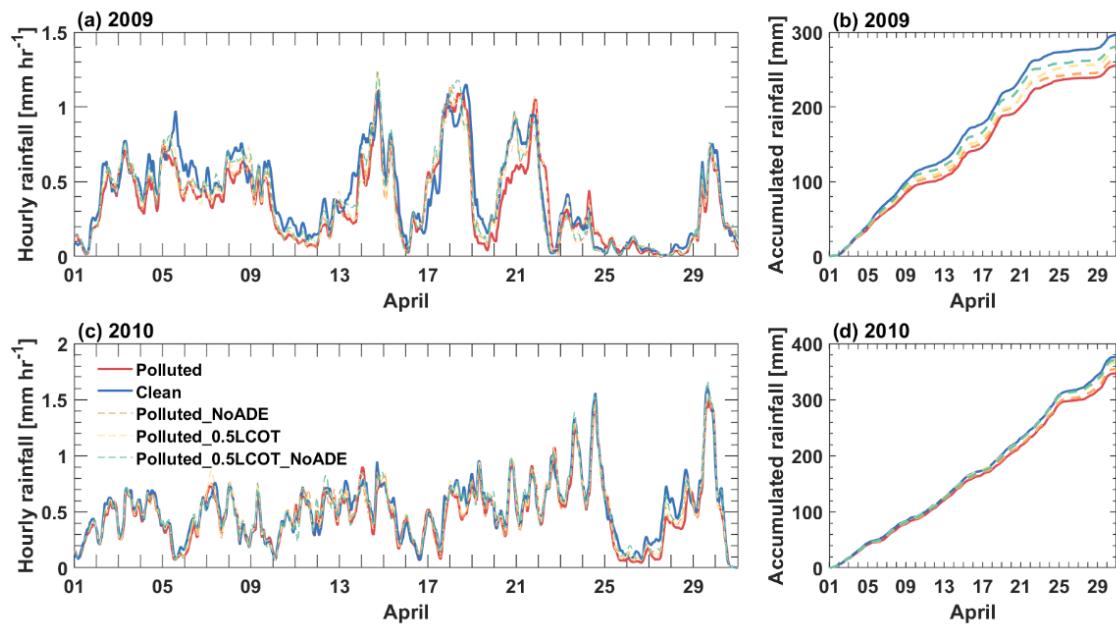
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247 **Figure S4.** (a) Observed (black) and simulated (polluted, red; clean, blue) accumulated
248 daily rainfall averaged for 59 surface stations (shown in Figure S1) in Southern China
249 for April 2009. (b) Observed (black) and simulated (polluted, red; clean, blue)
250 probability distribution function of daily rainfall intensity at 59 surface stations in
251 Southern China for April 2009. The color codes for categories of rainfall intensities, as
252 defined by the Chinese Meteorological Administration, are shown in colors.

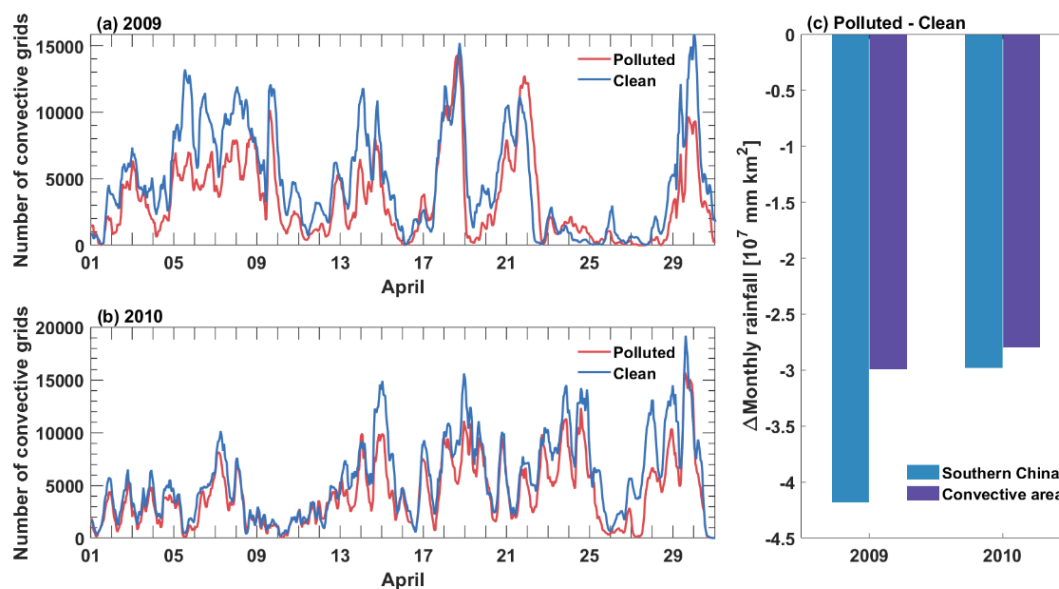
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255 **Figure S5.** Time series of simulated hourly and accumulated rainfall from the
 256 sensitivity experiments of (a, b) April 2009 and (c, d) April 2010, respectively. The
 257 color legends for the sensitivity experiments are shown inset.

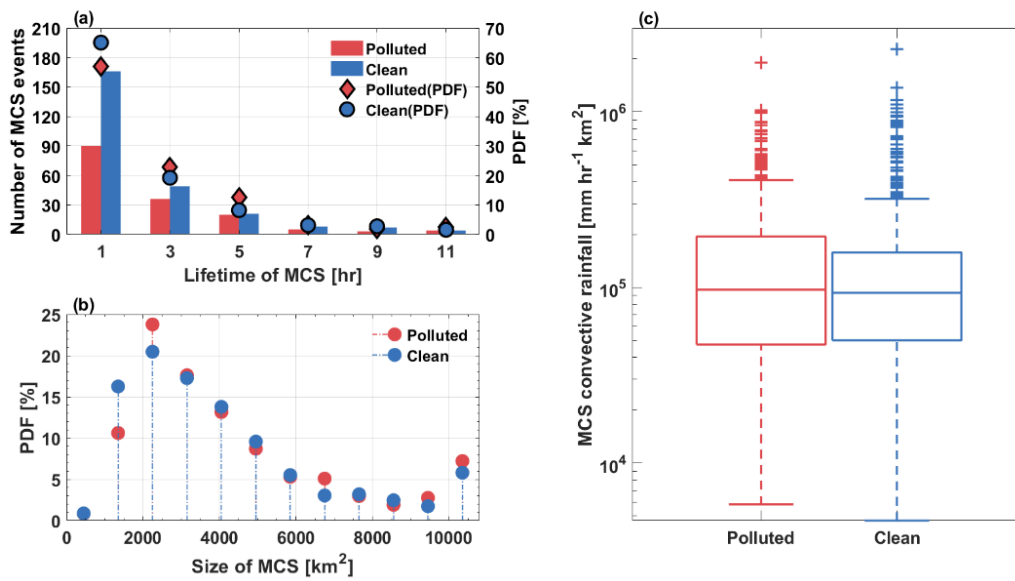
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260

261 **Figure S6.** Time series of the simulated number of surface grids with convective
 262 rainfall, defined as surface grids with ≥ 35 dBZ RADAR reflectivity in the vertical
 263 column above, for (a) 2009 and (b) 2010 under polluted (red) and clean (blue)
 264 conditions. (c) The differences in monthly rainfall between the polluted simulations and
 265 the clean simulations for the entire domain of Southern China (blue bars) and for only
 266 the convective area within Southern China (purple bars).

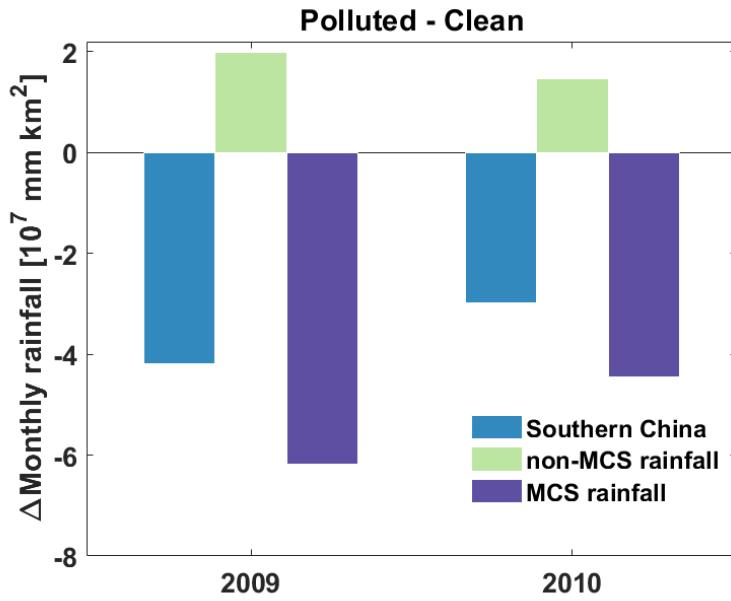
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269

270 **Figure S7.** (a) Lifetimes of simulated MCSs. Vertical bars indicate the numbers of
 271 MCSs with specific lifetimes. Symbols indicate the probability distribution function of
 272 MCS lifetime, i.e., the number of MCSs with a specific lifetime, normalized by the total
 273 number of simulated MCSs. (b) The probability distribution function of MCS size, i.e.,
 274 the number of MCSs with a specific size, normalized by the total number of simulated
 275 MCSs. (c) Box plots of the simulated MCS convective rainfall volume intensity, i.e.,
 276 the hourly convective rainfall volume associated with each individual MCS. Results
 277 from the polluted and clean simulations are shown in red and blue, respectively.

278



279

280 **Figure S8.** Differences in the monthly rainfall between the polluted simulations and
 281 clean simulations for: total rainfall over the domain of Southern China (blue bars),
 282 rainfall not associated with MCSs ('non-MCS rainfall', green bars), and rainfall
 283 associated with MCSs ('MCS rainfall', purple bars).

284 **Table S1.** Physical and chemical configurations for the WRF-Chem simulations in this study.

<i>Physical and chemical schemes</i>	<i>Options</i>
Gas-phase chemistry	CBMZ
Aerosol microphysics and chemistry	MADE/SORGAM
Cloud microphysics	Morrison + DeMott et al. (2010) for ice nuclei activation
Cumulus physics	Grell 3D
Shortwave radiation	Goddard
Longwave radiation	RRTM
Surface layer exchange coefficients	Monin-Obukhov
Land surface (land-atmosphere interaction)	Noah
Planetary boundary layer	Yonsei University
Biogenic emissions	MEGAN

285

286 **Table S2.** Diagnostics of simulated surface and atmospheric thermodynamic variables in the sensitivity simulations for April 2010. Values are
 287 averages over the land areas in the simulated Southern China domain.
 288

	Sensitivity simulations					Percent impacts of aerosols (Polluted -Clean)/Polluted
	Polluted	Polluted _NoADE	Polluted _0.5 LCOT	Polluted _NoADE _0.5 LCOT	Clean	
AOD (all sky)	0.386	0.360	0.361	0.334	0.0625	+84%
LCOT	86.2	84.6	42.3	41.4	45.4	+47%
April accumulated precipitation [mm]	346	354	366	370	376	-8.5%
Downward shortwave radiation at the surface [W m⁻²]	191	197	207	213	217	-13%
T at 2 m [°C]	20.3	20.4	20.6	20.7	20.9	-3.1%
Convective available potential energy (CAPE) [J]	386	388	395	395	435	-13%
Cloud top temperature [°C]	-14.7	-15.1	-15.6	-15.8	-15.8	+6.9%
Vertical velocity [m s⁻¹]	0.0790	0.0808	0.0816	0.0831	0.0858	-8.7%
Moisture convergence [10⁻⁶ g cm⁻² hPa⁻¹ s⁻¹]	2.15	2.22	2.20	2.23	2.53	-18%
Precipitable water [mm]	39.5	39.5	39.6	39.7	39.8	-0.80%

289 **Table S3.** Diagnostics of the simulated microphysical variables in the sensitivity simulations for April 2009 and 2010. Unless otherwise
 290 indicated, values are averages over the land areas in the simulated Southern China domain.
 291

<i>Microphysical variables</i>		<i>2009</i>		<i>2010</i>	
		Polluted	Clean	Polluted	Clean
Liquid cloud (below 750 hPa)	Droplet number concentration [cm⁻³]	21.2	5.00	32.0	7.69
	Mixing ratio [10⁻³ g kg⁻¹]	27.5	20.0	39.5	28.5
Ice cloud (750 hPa to 100 hPa)	Ice crystal number concentration [cm⁻³]	0.0150	0.0174	0.0199	0.0212
	Mixing ratio [10⁻³ g kg⁻¹]	5.46	6.57	7.26	7.88
Rain (below 500 hPa)	Raindrop number concentration [cm⁻³]	0.0148	0.0293	0.0168	0.0319
	Mixing ratio [10⁻³ g kg⁻¹]	17.8	22.7	22.7	27.1
Snow and graupel (750 hPa to 100 hPa)	Number concentration [10⁻³ cm⁻³]	0.744	0.837	0.917	0.938
	Mixing ratio [10⁻³ g kg⁻¹]	13.3	14.2	19.2	18.7