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PKU – 2016

# A short course in effective theories

# Introduction

The basic ideas behind effective field theory

# Introduction

The SM is incomplete

- No u masses
- No DM
- No gravity

Presumably due to new physics

... but who knows where it lurks.

Two possibilities: look for new physics:

- directly  $\rightarrow$  energy limited
- in deviations form the SM ightarrow luminosity limited



The goal is to find the  $\mathcal{L}_{\text{NP}}$ – easier if the NP is observed directly

SM deviations usually restrict but do not fix the NP.

In particular, two interesting possibilities:

- NP = SM extension: The SM fields  $\in \mathcal{L}_{NP}$  (example: SUSY)
- NP = UV realization: the SM fields are generated in the IR (example: Technicolor)

# Basic EFT for the SM idea

- Begin with S<sub>light</sub>[light-fields]
- Assume the NP is not directly observable  $\Rightarrow$  virtual NP effects will generate deviations from  $S_{light}$  predictions
- The EFT approach is a way of studying this possibility systematically

# THE GENERAL EFT RECIPE

- Choose the light symmetries
- Choose the light fields (& their transformation properties)
- Write down *all* local operators *O* obeying the symmetries using these fields & their derivatives

$$\mathcal{L}_{eff} = \sum c_{\mathcal{O}} \mathcal{O}$$

The sum is infinite; yet the problem is *not* renormalizablity, but predictability

 $\mathcal{L}_{eff}$  is renormalizable. Any divergence:

- polynomial in the external momenta
- obeys the symmetries
- $\Rightarrow$  corresponds to an  ${\cal O}$
- $\Rightarrow$  renormalizes the corresponding c $_{\mathcal{O}}$

The real problem: at first sight,  $\mathcal{L}_{eff}$  has no predictive power  $\infty$  coefficients  $\Rightarrow \infty$  measurements However, there is a hierarchy:

 $\{\mathcal{O}\} = \{\mathcal{O}\}_{\text{leading}} \cup \{\mathcal{O}\}_{\text{subleading}} \cup \{\mathcal{O}\}_{\text{subsubleading}} \cdots$ 

Eventually the effects of the  $\mathcal{O}$  are below the experimental sensitivity.

The hierarchy depends on classes of NP:
UV completions: a derivative expansion
Weakly-coupled SM extensions: dimension

Example 2016	EFT course - PKU	9
Imagine QED with a heavy fermion $\Psi$ of mass $M$	$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \bar{\Psi} (i\partial \!\!\!/ - M + eA\!\!\!/) \Psi$	
All processes at energies below <i>M</i> are	$e^{iS_{\Psi}} = \int [d\Psi] [d\bar{\Psi}] e^{i\int d^4x \bar{\Psi}(i\partial\!\!\!/ -M + eA\!\!\!/)\Psi}$	
etc.	$S_{\Psi} = \ln \det[i\partial - M + eA] + \text{const}$ $= -i \text{tr} \left( \ln \left[ \mathbbm{1} + \frac{1}{i\partial - M} eA \right] \right)$ $= i \sum_{n=1}^{\infty} \frac{(-e)^n}{nM^n} \text{tr} \left( \left[ \frac{1}{i\partial / M} - \mathbbm{1} A \right]^n \right)$	
<ul> <li>Each term is separately gauge invariant</li> </ul>	$n = 1  n = 1  ( \lfloor i \psi / M - 1 \rfloor ) $ $n = 2:  \frac{i}{2} e^2 \int d^4 x  d^4 y  A^{\mu}(x) G_{\mu\nu}(x - y) A^{\nu}(y)  ,$	
<ul> <li>There are no unitarity cuts since energies &lt; M</li> </ul>	$G_{\mu\nu} = G_{\nu\mu} , \ \partial^{\mu}G_{\mu\nu} = 0$	

#### Example (cont.)

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Since the full theory is known  $G_{\mu \nu}$  can be obtained explicitly

There is a divergent piece  $\propto$  C<sub>UV</sub> = 1/(d-4) + finite

The divergent piece is unobservable: absorbed in WF renormalization

Observable effects are:

- $\propto 1/M^{2n} \Rightarrow \underline{Hierarchy}$
- $\propto e^{2n}/(16 \pi^2)$

 $\Rightarrow$  all observable effects vanish as  $M \rightarrow \infty$ 

The expansion is useful only if energy < M

Loop suppression factor: relevant since the theory is weakly coupled

$$G_{\mu\nu}(x) = \int d_4 k e^{-ik \cdot x} (k^2 \eta_{\mu\nu} - k_{\mu} k_{\nu}) \mathcal{G}(k^2)$$
Required by gauge invariance
$$\mathcal{G}(k^2) = \frac{1}{2\pi^2} \left[ \frac{1}{6} C_{UV} - \int_0^1 du \, u(1-u) \ln \left[ 1 - u(1-u) \frac{k^2}{M^2} \right] \right]$$

$$= \frac{1}{12\pi^2} C_{UV} + \frac{1}{60\pi^2} \frac{k^2}{M^2} + \frac{1}{560\pi^2} \left( \frac{k^2}{M^2} \right)^2 - \frac{1}{3780\pi^2} \left( \frac{k^2}{M^2} \right)^3 + \cdots$$

$$S_{\text{eff}} = \int d^4 x \left[ -\frac{1 + 2\alpha C_{UV}/3}{4} F_{\mu\nu} F^{\mu\nu} + \frac{\alpha}{30M^2} F^{\mu\nu} \Box F_{\mu\nu} - \frac{\alpha}{280M^4} F^{\mu\nu} \Box^2 F_{\mu\nu} + \cdots \right] + O(e^4)$$

#### Example (concluded)

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If we don't know the NP:

- Symmetries: U(1) & SO(3,1)
- Fields:  $A_{\mu}$

U(1):  $A_{\mu} \rightarrow F_{\mu\nu}$ [Wilson loops: non-local]

F<sup>2</sup> terms: change the refraction index

F<sup>4</sup> terms ⊃ Euler-Heisenberg Lagrangian (light-by-light scattering).

NP chiral  $\Rightarrow \mathcal{L}_{eff} \supset \epsilon_{\mu\nu\rho\sigma}$ 

NP known:  $c_{\mathcal{O}}$  are predicted

NP unknown:  $c_{\mathcal{O}}$  parameterize all possible new physics effects

EFT fails: energies  $\geq \Lambda$ 

$$\mathcal{L}_{\text{eff}}^{(2)} = \sum \frac{c_n^{(2)}}{\Lambda^{2n}} F_{\mu\nu} \Box^n F^{\mu\nu} \qquad \left[ F_{\mu\nu} \Box^n \tilde{F}^{\mu\nu} = \partial_\mu \left( 2A_\nu \Box^n \tilde{F}^{\mu\nu} \right) \to \text{drop} \right]$$



Construct all  $\mathcal{O}$  assuming:

- low-energy Lagrangian =  $\mathcal{L}_{SM}$
- The O are gauge invariant
- The O hierarchy is set by the canonical dimension
- Exclude  ${\cal O}'$  if  ${\cal O}' \propto {\cal O}$  on shell (justified later)

("on shell" means when the equations of motion are imposed)

# CONVENTIONS

Gauge fields				
group	symbol	generator		
$SU(3)_c$	$G^A_\mu$	$T^A$		
$SU(2)_L$	$W^I_\mu$	$ au^{I}$		
$U(1)_Y$	$B_{\mu}$			

#### Indices

group	symbol
$SU(3)_c$	$A, B, \ldots$
$SU(2)_L$	$I, J, \ldots$
family	$p, q, r, \ldots$

#### Matter fields

fields	symbol.	$SU(3)_c$ irrep	$SU(2)_L$ irrep	$U(1)_Y$ irrep
LH lepton doublet	l	1	2	-1/2
RH charged lepton	e	1	1	-1
LH quark doublet	q	3	2	1/6
RH up - type quark	u	3	1	2/3
RH down - type quark	d	3	1	-1/3
scalar doublet	$\phi$	1	2	1/2

### Dimension 5 :

 $\mathcal{O}^{(5)} = \left(\bar{l}_p \tilde{\phi}\right) \left(\phi^{\dagger} l_q^c\right)$ Family index

#### 1 operator L-violating

### Dimension 6:

	$X^3$		$\phi^6$ and $\phi^4 D^2$		$\psi^2 \phi^3$
$\mathcal{O}_G$	$f^{ABC}G^{A\nu}_{\mu}G^{B\rho}_{\nu}G^{C\mu}_{\rho}$	$\mathcal{O}_{\phi}$	$(\phi^{\dagger}\phi)^3$	$\mathcal{O}_{e\phi}$	$(\phi^{\dagger}\phi)(ar{l}_{p}e_{r}\phi)$
$\mathcal{O}_{\widetilde{G}}$	$f^{ABC} \tilde{G}^{A\nu}_{\mu} G^{B\rho}_{\nu} G^{C\mu}_{\rho}$	$\mathcal{O}_{\phi\square}$	$(\phi^{\dagger}\phi)\Box(\phi^{\dagger}\phi)$	$\mathcal{O}_{u\phi}$	$(\phi^{\dagger}\phi)(ar{q}_{p}u_{r}\widetilde{\phi})$
$\mathcal{O}_W$	$\varepsilon^{IJK} W^{I\nu}_{\mu} W^{J\rho}_{\nu} W^{K\mu}_{\rho}$	$\mathcal{O}_{\phi D}$	$\left(\phi^{\dagger}D^{\mu}\phi ight)^{\star}\left(\phi^{\dagger}D_{\mu}\phi ight)$	$\mathcal{O}_{d\phi}$	$(\phi^{\dagger}\phi)(ar{q}_{p}d_{r}\phi)$
$\mathcal{O}_{\widetilde{W}}$	$\varepsilon^{IJK}\widetilde{W}^{I\nu}_{\mu}W^{J\rho}_{\nu}W^{K\mu}_{\rho}$				
$X^2 \phi^2$		$\psi^2 X \phi$		$\psi^2 \phi^2 D$	
$\mathcal{O}_{\phi G}$	$\phi^{\dagger}\phiG^{A}_{\mu\nu}G^{A\mu\nu}$	$\mathcal{O}_{eW}$	$(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I \phi W^I_{\mu\nu}$	$\mathcal{O}_{\phi l}^{(1)}$	$(\phi^{\dagger}i \stackrel{\leftrightarrow}{D}_{\mu} \phi)(\bar{l}_p \gamma^{\mu} l_r)$
$\mathcal{O}_{\phi\widetilde{G}}$	$\phi^{\dagger}\phi\widetilde{G}^{A}_{\mu u}G^{A\mu u}$	$\mathcal{O}_{eB}$	$(\bar{l}_p \sigma^{\mu\nu} e_r) \phi B_{\mu\nu}$	$\mathcal{O}_{\phi l}^{(3)}$	$\left[ (\phi^{\dagger} i D^{I}_{\mu} \phi) (\bar{l}_{p} \tau^{I} \gamma^{\mu} l_{r}) \right]$
$\mathcal{O}_{\phi W}$	$\phi^{\dagger}\phiW^{I}_{\mu u}W^{I\mu u}$	$\mathcal{O}_{uG}$	$(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \widetilde{\phi}  G^A_{\mu\nu}$	$\mathcal{O}_{\phi e}$	$(\phi^{\dagger}i \overset{\leftrightarrow}{D}_{\mu} \phi)(\bar{e}_{p} \gamma^{\mu} e_{r})$
$\mathcal{O}_{\phi \widetilde{W}}$	$\phi^{\dagger}\phi\widetilde{W}^{I}_{\mu u}W^{I\mu u}$	$\mathcal{O}_{uW}$	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \widetilde{\phi} W^I_{\mu\nu}$	$\mathcal{O}_{\phi q}^{(1)}$	$(\phi^{\dagger}i \overset{\leftrightarrow}{D}_{\mu} \phi)(\bar{q}_{p} \gamma^{\mu} q_{r})$
$\mathcal{O}_{\phi B}$	$\phi^{\dagger}\phiB_{\mu u}B^{\mu u}$	$\mathcal{O}_{uB}$	$(\bar{q}_p \sigma^{\mu u} u_r) \widetilde{\phi}  B_{\mu u}$	$\mathcal{O}_{\phi q}^{(3)}$	$\left[ (\phi^{\dagger} i \overset{\leftrightarrow}{D_{\mu}} \phi) (\bar{q}_{p} \tau^{I} \gamma^{\mu} q_{r}) \right]$
$\mathcal{O}_{\phi\widetilde{B}}$	$\phi^{\dagger}\phi\widetilde{B}_{\mu u}B^{\mu u}$	$\mathcal{O}_{dG}$	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) \phi  G^A_{\mu\nu}$	$\mathcal{O}_{\phi u}$	$(\phi^{\dagger}i \overleftrightarrow{D}_{\mu} \phi)(\bar{u}_p \gamma^{\mu} u_r)$
$\mathcal{O}_{\phi WB}$	$\phi^{\dagger}\tau^{I}\phiW^{I}_{\mu\nu}B^{\mu\nu}$	$\mathcal{O}_{dW}$	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I \phi W^I_{\mu\nu}$	$\mathcal{O}_{\phi d}$	$(\phi^{\dagger}i\overleftrightarrow{D}_{\mu}\phi)(\bar{d}_{p}\gamma^{\mu}d_{r})$
$\mathcal{O}_{\phi \widetilde{W}B}$	$\phi^{\dagger}\tau^{I}\phi\widetilde{W}^{I}_{\mu\nu}B^{\mu\nu}$	$\mathcal{O}_{dB}$	$(\bar{q}_p \sigma^{\mu u} d_r) \phi  B_{\mu u}$	$\mathcal{O}_{\phi ud}$	$i(\widetilde{\phi}^{\dagger}D_{\mu}\phi)(\bar{u}_{p}\gamma^{\mu}d_{r})$

	$(\bar{L}L)(\bar{L}L)$		$(\bar{R}R)(\bar{R}R)$		$(\bar{L}L)(\bar{R}R)$
$\mathcal{O}_{ll}$	$(\bar{l}_p \gamma_\mu l_r) (\bar{l}_s \gamma^\mu l_t)$	$\mathcal{O}_{ee}$	$(\bar{e}_p \gamma_\mu e_r) (\bar{e}_s \gamma^\mu e_t)$	$\mathcal{O}_{le}$	$(\bar{l}_p \gamma_\mu l_r) (\bar{e}_s \gamma^\mu e_t)$
$\mathcal{O}_{qq}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r) (\bar{q}_s \gamma^\mu q_t)$	$\mathcal{O}_{uu}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$	$\mathcal{O}_{lu}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$
$\mathcal{O}_{qq}^{(3)}$	$(\bar{q}_p \gamma_\mu \tau^I q_r) (\bar{q}_s \gamma^\mu \tau^I q_t)$	$\mathcal{O}_{dd}$	$(\bar{d}_p \gamma_\mu d_r) (\bar{d}_s \gamma^\mu d_t)$	$\mathcal{O}_{ld}$	$(\bar{l}_p \gamma_\mu l_r) (\bar{d}_s \gamma^\mu d_t)$
$\mathcal{O}_{lq}^{(1)}$	$(\bar{l}_p \gamma_\mu l_r) (\bar{q}_s \gamma^\mu q_t)$	$\mathcal{O}_{eu}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$	$\mathcal{O}_{qe}$	$(\bar{q}_p \gamma_\mu q_r) (\bar{e}_s \gamma^\mu e_t)$
$\mathcal{O}_{lq}^{(3)}$	$(\bar{l}_p \gamma_\mu \tau^I l_r) (\bar{q}_s \gamma^\mu \tau^I q_t)$	$\mathcal{O}_{ed}$	$(\bar{e}_p \gamma_\mu e_r) (\bar{d}_s \gamma^\mu d_t)$	$\mathcal{O}_{qu}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{u}_s \gamma^\mu u_t)$
		$\mathcal{O}_{ud}^{(1)}$	$(\bar{u}_p \gamma_\mu u_r) (\bar{d}_s \gamma^\mu d_t)$	$\mathcal{O}_{qu}^{(8)}$	$\left  (\bar{q}_p \gamma_\mu T^A q_r) (\bar{u}_s \gamma^\mu T^A u_t) \right $
		$\mathcal{O}_{ud}^{(8)}$	$(\bar{u}_p \gamma_\mu T^A u_r) (\bar{d}_s \gamma^\mu T^A d_t)$	$\mathcal{O}_{qd}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r) (\bar{d}_s \gamma^\mu d_t)$
				$\mathcal{O}_{qd}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r) (\bar{d}_s \gamma^\mu T^A d_t)$
$(\bar{L}R)$	$(\bar{R}L)$ and $(\bar{L}R)(\bar{L}R)$				
$\mathcal{O}_{ledq}$	$(ar{l}_p^j e_r)(ar{d}_s q_t^j)$				
$\mathcal{O}_{quqd}^{(1)}$	$(\bar{q}_p^j u_r) \varepsilon_{jk} (\bar{q}_s^k d_t)$				
$\mathcal{O}_{quqd}^{(8)}$	$(\bar{q}_p^j T^A u_r) \varepsilon_{jk} (\bar{q}_s^k T^A d_t)$			50	operators
$\mathcal{O}_{lequ}^{(1)}$	$(\bar{l}_p^j e_r) \varepsilon_{jk} (\bar{q}_s^k u_t)$				
$\mathcal{O}_{lequ}^{(3)}$	$(\bar{l}_p^j \sigma_{\mu\nu} e_r) \varepsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$	(assuming B conservation)			

### Dimension 7:

 $\begin{aligned} &(\overline{l^c} \epsilon D^{\mu} \phi)(l \epsilon D_{\mu} \phi), \\ &(\overline{N^c} l) \epsilon(\overline{e} l), \\ &(\overline{d} q) \epsilon(\overline{N^c} l), \\ &(\overline{d} N)(u^T C e), \\ &(\overline{q^c} \epsilon q)(\overline{N} d), \end{aligned}$ 

 $\begin{aligned} &(\overline{e^c}\gamma^{\mu}N)(\phi\epsilon D_{\mu}\phi),\\ &(\overline{N^c}N)|\phi|^2,\\ &[(\overline{q^c}\phi)\epsilon l)(\bar{d}l),\\ &(\overline{N^c}l)(\bar{q}u),\\ &(\bar{d}d^c)(\bar{d}E), \end{aligned}$ 

 $\begin{aligned} &(\overline{l^c} \epsilon D_{\mu} l)(\phi \epsilon D^{\mu} \phi), \\ &[\overline{N^c} \sigma^{\mu\nu} (\phi \epsilon \mathbf{W}_{\mu\nu} l)], \\ &(\overline{N^c} q) \epsilon(\overline{d} l), \\ &(\overline{u} d^c)(\overline{d} N), \\ &(\overline{e} \phi^{\dagger} q)(\overline{d^c} d), \end{aligned}$ 

 $\overline{N^{c}}(D_{\mu}\phi\epsilon D^{\mu}l)$  $(\overline{N^{c}}\sigma^{\mu\nu}N)B_{\mu\nu}$  $(\overline{l^{c}}\epsilon q)(\overline{d}N)$  $[\overline{q^{c}}(\phi^{\dagger}q)]\epsilon(\overline{l}d)$  $(\overline{u}N)(\overline{d}d^{c})$ 

### where

$$N = \tilde{\phi}^T l, \qquad E = \phi^{\dagger} l, \qquad \mathbf{W}_{\mu\nu} = W^I_{\mu\nu} \tau^I$$

### 20 operators All violate B-L

# **Formal Developments**

Hierarchies Gauge invariance Decoupling thm. PTG operators

Equivalence thm.

# Hierarchies

### For a generic operator

 $\mathcal{O} \sim D^d \phi^b \psi^f$ 

### Define the index of $\mathcal{O}$ :

$$s_{\mathcal{O}}(u) = d + \left(\frac{u}{2} - 1\right)b + \frac{1}{2}(u - 1)f - u$$
$$= \Delta_{\mathcal{O}} + \frac{u - 4}{2}N_{\mathcal{O}}$$
$$= \# \text{ of matter fields}$$

= # of matter fields – 2 = b + f - 2

= mass dimension - 4

A divergent *L*-loop graph generated by  $\mathcal{O}_a$  with indices  $s_a$  and renormalizing  $\mathcal{O}$ :



### Naïve degree of divergence

$$(4-u)L + \sum s_a - s_{\mathcal{O}}$$

a

Then, for divergent graphs, if  $s_a \ge 0$   $s_a \ge 0$   $\Rightarrow s_a \ge s_0$  $4 - U \ge 0$ 

So the  $\mathcal{O}_a$  renormalize operators with lower or equal index

In this sense the hierarchy imposed by *s(u)* is consistent with the loop expansion

### Special cases

$$s_{\mathcal{O}}(u) = d + \left(\frac{u}{2} - 1\right)b + \frac{1}{2}(u - 1)f - u$$

■ U=1,  $d \ge 1$  & b=o (theory w/o scalars): s=d-1

Hierarchy: derivative expansion

Hierarchy: derivative & fermion # expansion

•  $u = 4: s = d + b + (3/2)f - 4 = \dim O - 4.$ 

Hierarchy: heavy mass expansion

# Strongly interacting theories

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- Loop corrections are all of the same order
- Corrections from operators  $\mathcal{O}_a$  in an L-loop graph:
- Integration momenta  $\rightarrow \Lambda$
- Each loop gives  $\sim 1/(16\pi^2)$
- Loop integral ~  $\Lambda^n/(4\pi)^{2L}$

Use the topological relations

All corrections of the same order iff

In terms of

- $\Delta$  = mass dimension -4
- N = #of matter fields 2

$$\mathcal{O} \sim \lambda \Lambda^4 \left(\frac{\phi}{\Lambda_\phi}\right)^b \left(\frac{\psi}{\Lambda_\psi}\right)^f \left(\frac{D}{\Lambda}\right)^d$$
$$n = \sum d_a - d + 4L - 2I_\phi - I_\psi$$

$$\sum b_a = b + 2I_{\phi} \qquad \sum f_a = f + 2I_{\psi}$$

$$\Lambda \sim \frac{1}{16\pi^2} \qquad \Lambda_{\phi} \sim \frac{\Lambda}{4\pi} \qquad \Lambda_{\psi} \sim \frac{\Lambda}{(4\pi)^{2/3}}$$

$$\mathcal{O} \sim \frac{1}{\Lambda^{\Delta} (4\pi)^N} \phi^b \psi^f D^d$$

# Strongly interacting theories (concluded)

#### EFT course - PKU

#### For u=1, d≥1, and b=o:

- s=d-1
- $\Lambda_{\psi}$ : natural scale
- Suppression factor  $(4\pi)^{-2/3}$
- Higher  $s \rightarrow$  subdominant

#### For u=2:

- s = d + f/2 2
- $\Lambda_{\phi}$ : natural scale
- Suppression factor  $(4\pi)^{-1}$
- Higher  $s \rightarrow$  subdominant

#### For u=4:

- s= d + b + (3/2)f 4
- A: natural scale
- No suppression factor

 $\mathcal{O} \sim \frac{1}{\Lambda_{\psi}^{\Delta}(4\pi)^{2s/3}} \psi^f D^d$ s independent of f

 $\mathcal{O} \sim \frac{1}{\Lambda_{\phi}^{\Delta} (4\pi)^s} \phi^b \psi^f D^d$ 

s independent of b

 $\mathcal{O} \sim \frac{1}{\Lambda\Delta} \phi^b \psi^f D^d$ 

This approach also gives a natural estimate for the  $c_O$  (aside from power of a scale)

Examples

• Nonlinear SUSY:

$$\mathcal{L} = -\frac{1}{2\kappa^2} \det A, \quad A^a_m = \delta^a_m + i\kappa^2 \psi \sigma^a \stackrel{\leftrightarrow}{\partial}_m \bar{\psi}$$
$$\mathcal{O} \sim \psi^f D^d \quad c_{\mathcal{O}} \lesssim \frac{1}{\Lambda^{\Delta}_{\psi} (4\pi)^{2(d-1)/3}} \quad \Rightarrow \quad \kappa \lesssim \frac{1}{\Lambda_{\psi} (4\pi)^{1/3}}$$

### Chiral theories (low-energy hadron dynamics):

### Simplest case: no fermions

 $U = \exp(i\sigma^a \pi^a / f_\pi)$ 

 $\mathcal{L} = f_{\pi}^{2} \operatorname{tr}(\partial_{\mu} U^{\dagger})(\partial^{\mu} U) + \bar{c}_{4}^{(1)} \left[ \operatorname{tr}(\partial_{\mu} U^{\dagger})(\partial^{\mu} U) \right]^{2} + \dots + \frac{\bar{c}_{2n}}{f_{\pi}^{2n-4}} \times \left[ \partial^{2n} \operatorname{terms} \right] + \dots$ 

$$\mathcal{O} \sim \phi^b \psi^f D^d \quad c_{\mathcal{O}} \lesssim \frac{1}{\Lambda_{\phi}^{\Delta} (4\pi)^{d-2}} \quad \Rightarrow \quad f_{\pi} = \Lambda_{\phi}, \ \bar{c}_d \lesssim (4\pi)^{2-d}$$

# **Equivalence theorem**

Low-energy theory with action  $S_o = \int d^4x \mathcal{L}_o$ 

Effective Lagrangian  $\mathcal{L}_{\rm eff} = \mathcal{L}_{\rm o} + \sum c_{\mathcal{O}} \mathcal{O}$ 

Two effective operators  $\mathcal{O}, \mathcal{O}'$  such that



Then the S-matrix depends only on

c + a c'

Not on *c*, *c*' separately.

Without loss of generality one an drop either  ${\cal O}$  or  ${\cal O}'$  from  ${\cal L}_{\rm eff}$ 

What this means: the EFT cannot distinguish the NP that generates  $\mathcal{O}$  from the one that generates  $\mathcal{O}'$ 

Examp	e:	10	OM
P			

2016

Simple classical Lagrangian

Add a term vanishing on-shell

Find the canonical momentum and Hamiltonian

$$L = \frac{1}{2}m\dot{x}^2 - V$$
  

$$L \rightarrow L - \epsilon A(x) (m\ddot{x} + V') + O(\epsilon^2)$$
  

$$\rightarrow L + \epsilon (mA'\dot{x}^2 - AV') + O(\epsilon^2) + \text{tot. der.}$$

$$p = \left(\frac{\partial L}{\partial \dot{x}}\right) = m(1 - 2\epsilon A')\dot{x}$$
  

$$H = p\dot{x} - L = \frac{1}{2m}p^2 + V + \epsilon \left(-\frac{1}{m}A'p^2 + AV'\right) + O(\epsilon^2)$$
  

$$= H_0 + \epsilon H'$$

#### 1d QM (concluded)

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A

U

Quantize as usual (with an appropriate ordering prescription)

The quantum Hamiltonian is then

Which is equivalent to the original one

Also:

$$A'p^{2} \to \frac{1}{4}\{\{p, A'\}, p\} = \frac{1}{4}(p^{2}A' + 2pA'p + A'p^{2}).$$
$$H = \frac{1}{2m}p^{2} + V + \epsilon \left(-\frac{1}{4m}\{\{p, A'\}, p\} + AV'\right) + O(\epsilon^{2})$$
$$H = UH_{0}U^{\dagger}, \qquad U = \exp\left(-\frac{i}{2}\epsilon\{p, A\}\right).$$

$$UxU^{\dagger} = x + \epsilon A; \qquad UpU^{\dagger} = p - \frac{1}{2}\epsilon\{p, A'\}$$

#### QFT: Sketch of proof

2016

Suppose  $\mathcal{O}$ ,  $\mathcal{O}'$  are leading effective operators (the other cases are similar)

Make a change of variables

To leading order

There is also a Jacobian

- $\mathcal{A}$  local  $\Rightarrow$  **J**  $\propto$   $\delta^{(4)}(0)$  & its derivatives
- $\Rightarrow \mathbf{J} \rightarrow 0$  in dim. reg.

[in general: **J** = renormalization effect]

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_0 + \epsilon \left( c\mathcal{O} + c'\mathcal{O}' + \cdots \right) + O(\epsilon^2)$$

 $\phi \to \phi + \epsilon c' \mathcal{A}$ 

$$\mathcal{L}_{\text{eff}} \to \mathcal{L}_0 + \epsilon \left( c' \mathcal{A} \frac{\delta S_0}{\delta \phi} + c \mathcal{O} + c' \mathcal{O}' + \cdots \right) + O(\epsilon^2) \\\to \mathcal{L}_0 + \epsilon \left[ (c + ac') \mathcal{O} + \cdots \right] + O(\epsilon^2)$$

$$[d\phi] \to \operatorname{Det}[1 + \epsilon c' \delta \mathcal{A} / \delta \phi] [d\phi]$$
$$\to \left\{ 1 + \epsilon c' \operatorname{Tr}\left[\frac{\delta \mathcal{A}}{\delta \phi}\right] \right\} [d\phi] = (1 + \epsilon c' \mathbf{J})[d\phi]$$

# Gauge invariance

#### In all extensions of the SM



#### $\Rightarrow$ a non-unitary theory

There is, however, a way of interpreting this.

#### Stuckelberg trick

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Model with N vectorbosons  $W^n_{\ \mu}$  (n=1,2, ... , N) and other fields  $\chi$ 

Choose any Lie group **G** of dim.  $L \ge N$ , generated by {T<sup>n</sup>} and add L-N non-interacting vectors  $W^n_{\mu}$  (n=N+1, ..., L)

Define a derivative operator

Introduce a unitary field *U* in the fund. rep. of **G** 

Define gauge-invariantized gauge fields  $W^n_{\ \mu}$ 

Gauge invariant Lagrangian

 $\mathcal{L} = \mathcal{L}(W, \chi)$ 

 $T^{n} = -T^{n\dagger}, \qquad \operatorname{tr} T^{n} T^{m} = -\delta_{nm}$  $D_{\mu} = \partial_{\mu} + i \sum_{n=1}^{L} T^{n} W_{\mu}^{n}$  $\delta U = \sum_{n=1}^{L} \epsilon_{n} T^{n} U$  $\mathcal{W}_{\mu}^{n} = -\operatorname{tr} \left( T^{n} U^{\dagger} D_{\mu} U \right)$ 

 $\mathcal{L}_{G.I.} = \mathcal{L}(\mathcal{W}, \chi) \quad [\mathcal{L}(\mathcal{W}, \chi)|_{U=1} = \mathcal{L}(W, \chi)]$ 

- Any  $\mathcal{L}$  equals some  $\mathcal{L}_{G.L}$  in the unitary gauge... but the  $\chi$  (matter fields) are gauge singlets
- Also  $\mathcal{L}_{G.I.}$  is non-renormalizable  $\Rightarrow$  valid at scales below ~4  $\pi$  m<sub>W</sub>
- The <u>same</u> group should be used throughout:
  - $\mathcal{L}_{\dim < 5}$  G-invariant  $\Rightarrow all \mathcal{L}_{G.I.}$  is G-invariant

### So gauge invariance *has* content:

- It predicts relations between matter couplings (most χ are *not* singlets)
- If we assume a part of the Lagrangian is invariant under a **G**, *all* the Lagrangian has the same property  $\Rightarrow$  S<sub>eff</sub> is invariant under **G**<sub>SM</sub>

# **PTG operators**

- Strongly coupled NP: NDA estimates of c<sub>O</sub>
- For weakly coupled NP:  $c_{O} < 1/\Lambda^{\Delta}$  ... but we can do better.
  - If  $\mathcal{O}$  is generated at tree level then  $c_{\mathcal{O}} = \prod (\text{couplings})/\Lambda^{\Delta}$
  - If  $\mathcal{O}$  is generated by at L loops then  $c_{\mathcal{O}} \sim \prod (\text{couplings})/[(16\pi^2)^L \Lambda^\Delta]$
Assume the SM extension is a gauge theory.

We can then find out the  $\mathcal{O}$  that are *always* loop generated.

The remaining O may or may not be tree generated: I call them "Potentially Tree Generated" (**PTG**) operators.

To find the PTG operators we need the allowed vertices

#### Vector interactions

2016

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Multi-vector vertices come from the kinetic Lagrangian

Cubic vertices  $\propto f$  Quartic vertices  $\propto ff$ 

V = { A (light), X(heavy)}

Light generators close

This leads to the list of allowed vertices

In particular this implies that pure-gauge operators are loop generated

$$\mathcal{L}_V = -\frac{1}{4} V^a_{\mu\nu} V^{a\mu\nu}, \quad V^a_{\mu\nu} = \partial_\mu V^a_\nu - \partial_\nu V^a_\mu - g f_{abc} V^b_\mu V^c_\nu$$

$$[T_l, T_l] = T_l \quad \Rightarrow \quad f_{AAX} = 0$$

loop generated :  $\epsilon_{IJK} W^{I\nu}_{\mu} W^{J\rho}_{\nu} W^{K\mu}_{\rho} \quad \& \text{ etc.}$ 

# Vector-fermion interactions

2016

Vertices with vectors and fermions come form the

fermions come form the fermion kinetic term in  ${\cal L}$ 

 $\chi = \{\psi (\mathsf{light}), \Psi (\mathsf{heavy})\}$ 

The *unbroken* generators  $T_1$  do not mix light and heavy degrees of freedom  $\Rightarrow$  **no**  $\psi \Psi A$  **vertex** 

Allowed vertices

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$$\bar{\chi}i\not\!\!D\chi\,,\quad D_{\mu}=\partial_{\mu}+igT^{a}V_{\mu}^{a}$$

with A:  $\psi \psi A$ ,  $\Psi \Psi A$ with X:  $\psi \psi X$ ,  $\Psi \Psi X$ ,  $\psi \Psi X$ 

#### Scalar-vector interactions (begin)

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These come form the scalar kinetic term in  $\ensuremath{\mathsf{L}}$ 

```
\vartheta = \{\phi (light), \Phi (heavy) \}
```

Terms VV $artheta \propto \langle \varPhi 
angle$ 

The (unbroken)  ${\rm t_l}\,{\rm do}\,{\rm not}\,{\rm mix}\,\phi$  and  $\varPhi$ 

The vectors  ${\rm t_h}\,\langle\varPhi\rangle$  point along the Goldstone directions then

- $\mathsf{t}_{\mathsf{h}}\left\langle \varPhi
  ight
  angle \perp\phi$  (physical) directions
- $\mathsf{t}_{\mathsf{h}}\left\langle arPhi 
  ight
  angle \perp arPhi$  (physical) directions

Gauge transformations do not mix  $\phi$  (light & physical) with the Goldstone directions

$$|D\vartheta|^2, \quad D_\mu = \partial_\mu + igt^a V^a_\mu$$

$$\left(\langle \Phi \rangle t^a t^b \vartheta \right) V^a_\mu V^{b\mu}, \quad t_{\text{light}} \langle \Phi \rangle = 0$$

$$\langle \Phi \rangle t_{\text{heavy}} t^a \phi = 0$$

Scalar-vector interactions (conclude)	EET course - P	KU A1
2010		
This leaves 14 allowed vertices (out of 25)	arthetaartheta V :	$\phi\phi A, \ \Phi\Phi A \ \phi\phi X, \ \Phi\Phi X, \ \phi\Phi X$
	arthetaartheta VV :	$\begin{array}{l} \phi\phi AA, \ \Phi\Phi AA \\ \phi\phi AX, \ \Phi\Phi AX, \ \phi\Phi AX \\ \phi\phi XX, \ \Phi\Phi XX, \ \phi\Phi AX \end{array}$
	$\vartheta VV:$	$\phi XX, \ \Phi XX$
The forbidden vertices are	cubic :	$\begin{array}{lll} \phi\phi\phi & \phi\Phi A & \psi\Psi A \\ \phi AA & \phi AX & \phi XX \\ \Phi AA & \Phi AX & AAX \end{array}$
	quartic :	$\phi \Phi A A  A A A X$

# Application: tree graphs suppressed by $1/\Lambda^2$ or $1/\Lambda$

2016

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### PTG dimension 6 operators:

$X^3$		$\phi^6$ and $\phi^4 D^2$		$\psi^2 \phi^3$	
$\mathcal{O}_G$	$f^{ABC}G^{A\nu}_{\mu}G^{B\rho}_{\nu}G^{C\mu}_{\rho}$	$\mathcal{O}_{\phi}$	$(\phi^{\dagger}\phi)^3$	$\mathcal{O}_{e\phi}$	$(\phi^{\dagger}\phi)(ar{l}_{p}e_{r}\phi)$
$\mathcal{O}_{\widetilde{G}}$	$f^{ABC} \tilde{G}^{A\nu}_{\mu} G^{B\rho}_{\nu} G^{C\mu}_{\rho}$	$\mathcal{O}_{\phi\square}$	$(\phi^{\dagger}\phi)\Box(\phi^{\dagger}\phi)$	$\mathcal{O}_{u\phi}$	$(\phi^{\dagger}\phi)(ar{q}_{p}u_{r}\widetilde{\phi})$
$\mathcal{O}_W$	$\varepsilon^{IJK} W^{I\nu}_{\mu} W^{J\rho}_{\nu} W^{K\mu}_{\rho}$	$\mathcal{O}_{\phi D}$	$\left(\phi^{\dagger}D^{\mu}\phi ight)^{\star}\left(\phi^{\dagger}D_{\mu}\phi ight)$	$\mathcal{O}_{d\phi}$	$(\phi^{\dagger}\phi)(ar{q}_{p}d_{r}\phi)$
$\mathcal{O}_{\widetilde{W}}$	$\varepsilon^{IJK}\widetilde{W}^{I\nu}_{\mu}W^{J\rho}_{\nu}W^{K\mu}_{\rho}$				
	$X^2 \phi^2$		$\psi^2 X \phi$	$\psi^2 \phi^2 D$	
$\mathcal{O}_{\phi G}$	$\phi^{\dagger}\phiG^{A}_{\mu u}G^{A\mu u}$	$\mathcal{O}_{eW}$	$(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I \phi W^I_{\mu\nu}$	$\mathcal{O}_{\phi l}^{(1)}$	$(\phi^{\dagger}i \overset{\leftrightarrow}{D}_{\mu} \phi)(\bar{l}_{p} \gamma^{\mu} l_{r})$
$\mathcal{O}_{\phi\widetilde{G}}$	$\phi^{\dagger}\phi\widetilde{G}^{A}_{\mu u}G^{A\mu u}$	$\mathcal{O}_{eB}$	$(\bar{l}_p \sigma^{\mu\nu} e_r) \phi B_{\mu\nu}$	$\mathcal{O}_{\phi l}^{(3)}$	$(\phi^{\dagger}i \overset{\leftrightarrow}{D_{\mu}^{I}} \phi)(\bar{l}_{p}\tau^{I}\gamma^{\mu}l_{r})$
$\mathcal{O}_{\phi W}$	$\phi^\dagger \phi  W^I_{\mu  u} W^{I  \mu  u}$	$\mathcal{O}_{uG}$	$(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \widetilde{\phi}  G^A_{\mu\nu}$	$\mathcal{O}_{\phi e}$	$(\phi^{\dagger}i \overset{\leftrightarrow}{D}_{\mu} \phi)(\bar{e}_{p} \gamma^{\mu} e_{r})$
$\mathcal{O}_{\phi \widetilde{W}}$	$\phi^{\dagger}\phi\widetilde{W}^{I}_{\mu u}W^{I\mu u}$	$\mathcal{O}_{uW}$	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \widetilde{\phi} W^I_{\mu\nu}$	$\mathcal{O}_{\phi q}^{(1)}$	$(\phi^{\dagger}i \overset{\leftrightarrow}{D}_{\mu}\phi)(\bar{q}_{p}\gamma^{\mu}q_{r})$
$\mathcal{O}_{\phi B}$	$\phi^\dagger \phi  B_{\mu  u} B^{\mu  u}$	$\mathcal{O}_{uB}$	$(\bar{q}_p \sigma^{\mu u} u_r) \widetilde{\phi}  B_{\mu u}$	$\mathcal{O}_{\phi q}^{(3)}$	$(\phi^{\dagger}i \overset{\leftrightarrow}{D_{\mu}} \phi)(\bar{q}_{p}\tau^{I}\gamma^{\mu}q_{r})$
$\mathcal{O}_{\phi\widetilde{B}}$	$\phi^{\dagger}\phi\widetilde{B}_{\mu u}B^{\mu u}$	$\mathcal{O}_{dG}$	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) \phi  G^A_{\mu\nu}$	$\mathcal{O}_{\phi u}$	$(\phi^{\dagger}i \overset{\leftrightarrow}{D}_{\mu} \phi)(\bar{u}_{p} \gamma^{\mu} u_{r})$
$\mathcal{O}_{\phi WB}$	$\phi^{\dagger}\tau^{I}\phiW^{I}_{\mu\nu}B^{\mu\nu}$	$\mathcal{O}_{dW}$	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I \phi W^I_{\mu\nu}$	$\mathcal{O}_{\phi d}$	$(\phi^{\dagger}i\overleftrightarrow{D}_{\mu}\phi)(\bar{d}_{p}\gamma^{\mu}d_{r})$
$\mathcal{O}_{\phi \widetilde{W}B}$	$\phi^{\dagger} \tau^{I} \phi  \widetilde{W}^{I}_{\mu  u} B^{\mu  u}$	$\mathcal{O}_{dB}$	$(\bar{q}_p \sigma^{\mu u} d_r) \phi  B_{\mu u}$	$\mathcal{O}_{\phi ud}$	$i(\widetilde{\phi}^{\dagger}D_{\mu}\phi)(\bar{u}_{p}\gamma^{\mu}d_{r})$

	$(\bar{L}L)(\bar{L}L)$	$(\bar{R}R)(\bar{R}R)$			$(\bar{L}L)(\bar{R}R)$	
$\mathcal{O}_{ll}$	$(ar{l}_p \gamma_\mu l_r) (ar{l}_s \gamma^\mu l_t)$	$\mathcal{O}_{ee}$	$(ar{e}_p \gamma_\mu e_r) (ar{e}_s \gamma^\mu e_t)$	$\mathcal{O}_{le}$	$(\bar{l}_p \gamma_\mu l_r) (\bar{e}_s \gamma^\mu e_t)$	
$\mathcal{O}_{qq}^{(1)}$	$(ar{q}_p\gamma_\mu q_r)(ar{q}_s\gamma^\mu q_t)$	$\mathcal{O}_{uu}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$	$\mathcal{O}_{lu}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$	
$\mathcal{O}_{qq}^{(3)}$	$(\bar{q}_p \gamma_\mu \tau^I q_r) (\bar{q}_s \gamma^\mu \tau^I q_t)$	$\mathcal{O}_{dd}$	$(ar{d}_p \gamma_\mu d_r) (ar{d}_s \gamma^\mu d_t)$	$\mathcal{O}_{ld}$	$(\bar{l}_p \gamma_\mu l_r) (\bar{d}_s \gamma^\mu d_t)$	
$\mathcal{O}_{lq}^{(1)}$	$(ar{l}_p\gamma_\mu l_r)(ar{q}_s\gamma^\mu q_t)$	$\mathcal{O}_{eu}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$	$\mathcal{O}_{qe}$	$(\bar{q}_p \gamma_\mu q_r) (\bar{e}_s \gamma^\mu e_t)$	
$\mathcal{O}_{lq}^{(3)}$	$(\bar{l}_p \gamma_\mu \tau^I l_r) (\bar{q}_s \gamma^\mu \tau^I q_t)$	$\mathcal{O}_{ed}$	$(\bar{e}_p \gamma_\mu e_r) (\bar{d}_s \gamma^\mu d_t)$	$\mathcal{O}_{qu}^{(1)}$	$(ar{q}_p\gamma_\mu q_r)(ar{u}_s\gamma^\mu u_t)$	
		$\mathcal{O}_{ud}^{(1)}$	$(ar{u}_p \gamma_\mu u_r) (ar{d}_s \gamma^\mu d_t)$	$\mathcal{O}_{qu}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{u}_s \gamma^\mu T^A u_t)$	
		$\mathcal{O}_{ud}^{(8)}$	$(\bar{u}_p \gamma_\mu T^A u_r) (\bar{d}_s \gamma^\mu T^A d_t)$	$\mathcal{O}_{qd}^{(1)}$	$(ar{q}_p \gamma_\mu q_r) (ar{d}_s \gamma^\mu d_t)$	
				$\mathcal{O}_{qd}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r) (\bar{d}_s \gamma^\mu T^A d_t)$	
$(\bar{L}R)$	$(\bar{R}L)$ and $(\bar{L}R)(\bar{L}R)$					
$\mathcal{O}_{ledq}$	$(ar{l}_p^j e_r)(ar{d}_s q_t^j)$					
$\mathcal{O}_{quqd}^{(1)}$	$(\bar{q}_p^j u_r) \varepsilon_{jk} (\bar{q}_s^k d_t)$					
$\mathcal{O}_{quqd}^{(8)}$	$(\bar{q}_p^j T^A u_r) \varepsilon_{jk} (\bar{q}_s^k T^A d_t)$	20 PTG operators				
$\mathcal{O}_{lequ}^{(1)}$	$(\bar{l}_p^j e_r) \varepsilon_{jk} (\bar{q}_s^k u_t)$					
$\mathcal{O}_{lequ}^{(3)}$	$(\bar{l}_p^j \sigma_{\mu\nu} e_r) \varepsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$	(assuming B conservation)				

# Some phenomenology

Phenomenologically: the amplitude for an observable receives 3 types of contributions

where

- (GeV)\_{SM loop} 
$$\sim$$
 ( $lpha$ /4 $\pi$ ) (GeV)\_{SM tree}

• (GeV)<sub>eff</sub>
$$\sim$$
 (E<sup>2</sup> C $_{O}/\Lambda^2$ ) (GeV)<sub>SM tree</sub>

### Easiest to observe the NP for PTG operators

Some limits on  $\Lambda$  are very strict: for  $\mathcal{O} \sim \text{eedd}$ :  $\Lambda > 10.5 \text{ TeV}$ 

 $\Rightarrow$  is NP outside the reach of LHC?

Not necessarily. Simplest way: a new symmetry

- All heavy particles transform non-trivially
  All SM particles transform trivially
- $\Rightarrow$  <u>all</u> dim=6  $\mathcal{O}$  are loop generated (no PTG ops)

and the above limit becomes  $\Lambda$  > 840 GeV

### Examples:

- SUSY: use R-parity
- Universal higher dimensional models: use translations along the compactified directions

# Decoupling theorem (w/o proof)

Theory with light ( $\phi$ ) and heavy ( $\Phi$ ) fields of mass O( $\Lambda$ )

- $S = S_{I}[\phi] + S_{h}[\Phi,\phi]$
- $S_I \rightarrow$  renormalizable

• exp( i S[
$$\phi$$
]) =  $\int [d\Phi] \exp(iS_h)$ 

### Then

- $S = S_{divergent} + S_{eff}$
- S<sub>divergent</sub> renormalizes S<sub>I</sub>
- For large  $\Lambda$ 
  - $S_{eff} = \int d^4x \sum c_{\mathcal{O}} \mathcal{O}$
  - c<sub>O</sub> finite

• 
$$c_{\mathcal{O}} \to 0$$
 as  $A \to \infty$ 

## Limitations

The formalism fails if

•  $\mathcal{L}_{eff}$  is used in processes with E >  $\Lambda$ 

• If some  $\mathcal{O}$  breaks a local symmetry

If some c<sub>O</sub> are impossibly large

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Then  $\sigma\!\rightarrow\!\infty$  as  ${\rm E}_{C\!M}\!\rightarrow\infty$ 

$$\sigma(e^+e^- \to \nu_\mu \bar{\nu}_\mu) = \frac{A\,s}{(s-m_Z^2)^2} + \frac{B\,s}{s-m_Z^2} + C\,s$$
$$A = \frac{1}{4\pi} \left(\frac{g}{4c_W}\right)^2 (1-4s_W^2)^2 \quad B = -\frac{1}{4\pi} \frac{g}{2c_W} \frac{c_\mathcal{O}}{\Lambda^2} (1-2s_W^2) \quad C = \frac{c_\mathcal{O}^2}{8\pi\Lambda^4}$$



#### Very large coefficients

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q

S

A simple example: choose

And calculate the 1-loop W vacuum polarization  $\Pi_W$ 

Then get the propagator poles  $s_1$  and  $s_2$ 

If  $\lambda$  is independent of  $\varDelta:$  no light W

If  $\lambda \propto \mathbf{1}/\Lambda^2$  the poles make physical sense

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} - i \frac{\lambda e}{m_W^2} W_{\mu\nu}^+ W^{-\nu\rho} \left[ F_{\rho}{}^{\mu} + Z_{\rho}{}^{\mu} \right]$$

$$\Pi_W = \frac{\lambda^2 g^2}{48\pi^2} \left( \frac{\Lambda}{m_W} \right)^2 \left[ \frac{q^2}{5m_W^2} + 2 - \frac{6}{\lambda} - \frac{1}{2} \frac{\Lambda^2}{m_W^2} \right] q^2$$

$$q^2 \to s_1, \ s_2 \quad \Rightarrow \quad \langle TW^{\mu}W^{\nu} \rangle (q) = -\frac{\eta^{\mu\nu}}{q^2 + \Pi_W - m_W^2} \to \infty$$

$$s_1 \propto -\frac{m_W^4}{\Lambda^2} \quad s_2 \propto \Lambda^2$$

$$s_1 \sim m_W^2 \quad s_2 \sim \Lambda^2$$

# Applications

Collider phenomenology DM Higgs couplings

LNV

# Flavor physics: b parity

b – quark production in e<sup>+</sup> e<sup>-</sup> machines

 $e^+\,e^-\!\rightarrow n\;b+X$ 

In the SM model the 3<sup>rd</sup> family (t,b) mixes with the other families, however

$$\mathcal{L}_{\rm SM-mix} = -\frac{g}{\sqrt{2}} \left( \overline{u_L}, \, \overline{c_L}, \, \overline{t_L} \right) \, \mathcal{W}^+ \mathbb{V}_{\rm CKM} \begin{pmatrix} d_L \\ s_L \\ b_L \end{pmatrix}$$

$$|V_{ub}| = (4.15 \pm 0.49) \times 10^{-3} |V_{cb}| = (40.9 \pm 1.1) \times 10^{-3} |V_{td}| = (8.4 \pm 0.06) \times 10^{-3} |V_{ts}| = (42.9 \pm 2.6) \times 10^{-3}$$

 $\Rightarrow$  neglecting V<sub>ub, cb, td, ts</sub> there is a discrete symmetry:

### (-1) (# of b quarks) is conserved

In particular  $e^+e^- \rightarrow (2n+1)b + X$  is forbidden in the SM!

For non-zero V's this "b-parity" is almost conserved.

NP effects that violate b-parity are easier to observe because the SM ones are strongly suppressed.

Looked at the reaction

 $e^+ e^- \rightarrow n b + m c + l j$  (j=light-quark jet)

Let

- $\epsilon_{\rm b}$  = efficiency in tagging (identifying) a b jet
- t<sub>j</sub> = probability of mistaking a j-jet for a b-jet
- t<sub>c</sub> = probability of mistaking a c-jet for a b-jet
- $\sigma_{nml} = \sigma (e^+ e^- \rightarrow nb + mc + lj)$

Cross section for detecting k b-jets (some misidentified!):

$$\bar{\sigma}_k = \sum_{u+v+w=k} \binom{n}{u} \binom{m}{v} \binom{l}{w} \left[\epsilon_b^u (1-\epsilon_b)^{n-u}\right] \left[t_c^v (1-t_c)^{m-v}\right] \left[t_j^w (1-t_j)^{l-w}\right] \sigma_{nml}$$

#### Let

 $N_{kJ}$  = # of events with k b-jets and J total jets (k=odd) Then a 3-sigma deviation from the SM requires

$$|N_{kJ} - N_{kJ}^{SM}| > 3 \Delta$$
  
Where  $\Delta$  = error =  $[\Delta_{stat}^{2} + \Delta_{syst}^{2} + \Delta_{theo}^{2}]^{\frac{1}{2}}$ 

- $\Delta_{\text{stat}} = (N_{kJ})^{1/2}$
- $\Delta_{syst} = N_{kJ} \delta_s$
- $\Delta_{\text{theo}} = N_{\text{kJ}} \delta_{\text{t}}$

New physics:

$$\mathcal{L} = \frac{1}{\Lambda^2} (\bar{\ell} \gamma^{\mu} \ell) (\bar{q}_i \gamma_{\mu} q_j); \quad i, j = 1, 2, 3$$

$\delta_s = 0.05,  \delta_t = 0.05,  t_c = 0.1 \text{ and } t_j = 0.02$						
$\sqrt{s}$	L	$\epsilon_b = 0.25$	$\epsilon_b = 0.4$	$\epsilon_b = 0.6$		
(GeV)	$({\rm fb}^{-1})$					
200	2.5	0.68	0.74	0.81		
500	100	1.81	1.96	2.15		
1000	200	3.61	3.91	4.36		

 $_{3\sigma}$  limits on  $\Lambda$  (in TeV ) derived from  $\mathrm{N_{k=1,\,J=2}}$ 



Because of the SM suppression, even for moderate efficiencies and errors one can probe up to  $\Lambda\sim$  3.5  $\sqrt{\rm s}$ 

## LNV & EFT

There is a single dimension 5 operator that violates lepton number (LN) – assuming the SM particle content:

$$\mathcal{O}_{rs}^{(5)} = N_r^T C N_s \quad N_r = \phi^T \epsilon \ell_r, \ \epsilon = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}.$$

Note that it involves only left-handed leptons!

Different chiralities have different quantum numbers, different interactions and different scales. The scale for  $\mathcal{O}^{(5)}$  is large , what of the scales when fermions of other chiralities are involved?

Operator with  $\ell$  and e:

$$\mathcal{O} \sim \ell e \phi^a \tilde{\phi}^b D^c$$
 with  $a - b = 3$  (dim =  $3 + a + b + c = 2a + c$ ).

Opposite chiralities  $\Rightarrow$  need an odd number of  $\gamma$  matrices  $\Rightarrow$  c=odd.

Try the smallest value: c=1. If the D acts on  $\ell$  and e:

$$\not\!\!D\ell \to 0 \qquad \not\!\!De \to 0.$$

because of the equations of motion and the equivalence theorem.

The smallest number of scalars needed for gauge invariance is a=3, b=0. Then the smallest-dimensional operator has dimension 7:

$$\mathcal{O}_{rs}^{(7)} = \left(e_r^T C \gamma^\mu N_s\right) \left(\phi^T \epsilon D_\mu \phi\right).$$

Operator with two *e*:

$$\mathcal{O} \sim ee\phi^a \tilde{\phi}^b D^c$$
 with  $a - b = 4$  (dim =  $3 + a + b + c = 2a + c$ ).

Same chiralities  $\Rightarrow$  need an even number of  $\gamma$  matrices  $\Rightarrow$  c=even. Try the smallest number of  $\phi$ : a=4

Cannot have c=0: SU(2) invariance then requires the  $\phi$  contract into

$$\phi^T \epsilon \phi = 0.$$

Then try c=2; each must act on a  $\phi$  and must not get a factor of  $\phi^{T} \epsilon \phi$ . The only possibility is then

$$\mathcal{O}_{rs}^{(9)} = \left(e_r^T C e_s\right) \left(\phi^T D_\mu \phi\right)^2.$$

that has dimension 7:

## $o\nu$ - $\beta\beta$ decay: introduction

2016

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Some nuclei cannot undergo  $\beta$  decay, but can undergo  $2\beta$  decay because

- $E_{bind}(Z) > E_{bind}(Z+1)$
- $E_{bind}(Z) < E_{bind}(Z+2)$

There are 35 nuclei exhibiting  $2\beta$  decay:

 $^{48}$ Ca,  $^{76}$ Ge,  $^{82}$ Se ,  $^{96}$ Zr ,  $^{100}$ Mo ,  $^{116}$ Cd ,  $^{128}$ Te ,  $^{130}$ Te ,  $^{136}$ Xe ,  $^{150}$ Nd,  $^{238}$ U

It may be possible to have no  $\nu$  on the final state (LNV process)

Best limits: Hidelberg-Moscow experiment





 $A_Z \to A_{Z+1} + e^- + \bar{\nu}_e \quad A_Z \to A_{Z+2} + 2e^- + 2\bar{\nu}_e$ 

$$T_{1/2}(\psi - \beta \beta) > 1.8 \times 10^{25}$$
 years

#### $o u - \beta\beta$ decay: operators, vertices & amplitudes

2016



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 $(\bar{\ell}\tilde{\phi})C(\bar{\ell}\tilde{\phi}) \to \mathcal{A} = \epsilon$ 

Amplitude  $\simeq \mathcal{A}/(Q^2 v^3)$  $\epsilon = v/\Lambda$  $\eta = Q/v \simeq 2 \times 10^{-4}$ 

 $(\phi^{\dagger} D_{\mu} \tilde{\phi}) \left[ \bar{e} \gamma^{\mu} (\tilde{\phi}^{T} \ell^{c}) \right] \rightarrow \mathcal{A} = \eta \epsilon^{3}$ 

 $(\phi^{\dagger} D^{\mu} \tilde{\phi})^2 (\bar{e} e^c) \to \mathcal{A} = \eta^2 \epsilon^3$ 

# The implications of the lifetime limit depend strongly on the type of NP.



If the NP generates the ee operator @ tree level it may be probed at the LHC DM





### **Assumptions:**

- standard & dark sectors interact via the exchange of heavy mediators
- DM stabilized against decay by some symmetry G<sub>DM</sub>
- SM particles: **G**<sub>DM</sub> singlets
- Dark particles: **G**<sub>SM</sub> singlets
- Weak coupling

### EFFECTIVE THEORY OF RM-SM INTERACTIONS

Within the paradigm:



$$\mathcal{L}_{\text{eff}} \sim \frac{1}{M^k} \mathcal{O}_{SM} \mathcal{O}_{DM} + \frac{1}{M^l} \mathcal{O}_{SM} \mathcal{O}_{SM} + \frac{1}{M^n} \mathcal{O}_{DM} \mathcal{O}_{DM}$$
Mediator mass

### LEARING INTERACTIONS

Leading interactions:

Lowest dimension (smallest M suppression)

Weak coupling  $\Rightarrow$  Tree generated (no loop suppression factor)



**N**-generated:

- ≥ 2 component dark sector
- Couple DM ( $\Phi, \Psi$ ) to neutrinos
- ( $\Phi,\Psi$ )-Z,h coupling @ 1 loop

```
(2)Loop generated :

B_{\mu\nu}X^{\mu\nu}\Phi \quad B_{\mu\nu}\bar{\Psi}\sigma^{\mu\nu}\Psi
```

### **K PORTAL SCENARIO**

Dark sector: at least  $\varPhi \& \varPsi$ 

 $m_{\phi} > m_{\psi} \Rightarrow all \Phi's$  have decayed: fermionic DM.

$$(\bar{\ell}\tilde{\phi})(\Phi^{\dagger}\Psi) \to \frac{v}{\sqrt{2}}\bar{\nu}_L \Phi^{\dagger}\Psi$$



Important loop-generated couplings

### **RELIC ABUNDANCE**



# More refined treatment: include Z and H resonance effects.



### *RIRECT RETECTION*



$$\mathcal{L} = \frac{\epsilon_h}{v^2} (\bar{\Psi}\Psi)(\bar{\mathcal{N}}\mathcal{N}) + \frac{1}{v^2} \bar{\Psi}\gamma_\mu (\epsilon_L P_L + \epsilon_R P_R) \Psi J_{\mathcal{N}}^\mu$$
Nucleonic weak  
current
# Results: easy to accommodate LUX (and other) limits.



# INDIRECT DETECTION

## Expect monochromatic neutrinos of energy $m_{\Psi}$ ;



# UV COMPLETION

## Add neutral fermions **N** to the SM:

$$\mathcal{L} = \bar{N}(i \not\partial - m_o)N + (y \bar{\ell} \bar{\phi} N + \text{H.c}) + (z \bar{N} \Phi^{\dagger} \Psi + \text{H.c})$$

Mass eigentsates:  $n_L$  (mass=o), and  $\chi$  (mass=M)

$$N = -s_{\theta} n_L + (c_{\theta} P_L + P_R) \chi, \qquad \nu = c_{\theta} n_L + s_{\theta} \chi_L$$

$$\tan \theta = yv/m_o; \quad M = \sqrt{m_o^2 + (yv)^2}$$

$$\epsilon_L = \left|\frac{yvz}{4\pi m_o}\right|^2, \quad \epsilon_R = \left|\frac{yvz}{4\pi m_o}\right|^2 \ln \left|\frac{m_\Phi}{m_o}\right|^2$$
Large  $m_o$ :



# In a model the $c_{O}$ may be correlated $\Rightarrow$ more stringent bounds

For this model a strong constraint comes from

## $\Gamma$ (Z ightarrow invisible)

This rules out m\_ $_{\varPsi}$  > 35 GeV unless m $_{\varPhi}$   $\sim$  m $_{\varPsi}$ 

# **Higgs - simplified**

Phenomenological description:

$$\mathcal{L}_{eff} = \frac{H}{v} \left[ \left( 2 c_W M_W^2 W_\mu^- W_\mu^+ + c_Z M_Z^2 Z_\mu^2 \right) + c_t m_t t \bar{t} + c_b m_b b \bar{b} + c_\tau m_\tau \tau \bar{\tau} \right] \\ + \frac{H}{3\pi v} \left[ c_{\gamma} \frac{2\alpha}{3} F_{\mu\nu}^2 + c_g \frac{\alpha_S}{4} G_{\mu\nu}^2 \right].$$

Experiments measure the  $c_i$ 

 $\Rightarrow$  need to relate these couplings to the  $c_{\mathcal{O}}$ 

The relevant  ${\cal O}$  can be divided into 3 groups

- Pure Higgs
- O affecting the H-W and H-Z couplings
- O affecting the couplings of H, Z and W to the fermions

Pure H	liggs	oper	rators
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There are two of them

The first changes the normalization of H

Canonically normalized field

Must replace  $h \rightarrow H$  *everywhere* 

The second operator changes v: absorbed in finite renormalizations

This operator can be probed only by measuring the Higgs selfcoupling.

$$\mathcal{O}_{\partial\varphi} = \frac{1}{2} (\partial_{\mu} |\varphi|^2)^2 \quad \mathcal{O}_{\varphi} = |\varphi|^6 \qquad \varphi = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v+h \end{pmatrix}$$
$$\mathcal{L} = \mathcal{L}_{SM} + \frac{c_{\partial\varphi}}{\Lambda^2} \mathcal{O}_{\partial\varphi} + \dots \approx \frac{1}{2} (1 + \epsilon c_{\partial\varphi}) (\partial h)^2 + \dots$$
$$H = \sqrt{1 + c_{\partial\varphi} \epsilon} h \approx (1 + \frac{1}{2} c_{\partial\varphi} \epsilon) h \qquad \epsilon = \frac{v^2}{2}$$

$$= \sqrt{1 + c_{\partial\varphi}\epsilon} h \approx \left(1 + \frac{1}{2}c_{\partial\varphi}\epsilon\right)h$$

$$\epsilon = \frac{v^2}{\Lambda^2}$$

### O modifying H-W and H-Z couplings

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There is one PTG operator.

Contributes to the T oblique parameter.

The constraints on  $\delta T$  imply this cannot affect the c<sub>i</sub> within existing experimental precision

All the rest are loop generated  $\Rightarrow$  neglect to a first approximation

 $\Rightarrow$  HZZ & HWW couplings are SM to lowest order.

$$\mathcal{O}_{\varphi D} = |\varphi^{\dagger} D \varphi|^2$$

 $\delta T = \left|\frac{\epsilon c_{\varphi D}}{\alpha}\right| \le 0.1$ 

H, W, Z coupling to fermions (begin)	EFT course -	PKU		81
First <b>: vector or tensor</b> <b>couplings.</b> These are PTG or loop		PTG		LG
Limits on FCNC coupled to the Z suggest $\Lambda$ is very large unless $p=r$	$\mathcal{O}_{arphi l}^{(1)} \ \mathcal{O}_{arphi l}^{(3)} \ \mathcal{O}_{arphi l}^{(3)}$	$(\varphi^{\dagger}i\overleftrightarrow{D}_{\mu}\varphi)(\overline{l}_{p}\gamma^{\mu}l_{r})$ $(\varphi^{\dagger}i\overleftrightarrow{D}_{\mu}^{I}\varphi)(\overline{l}_{p}\tau^{I}\gamma^{\mu}l_{r})$ $(\varphi^{\dagger}i\overleftrightarrow{D}_{\mu}^{I}\varphi)(\overline{l}_{p}\tau^{I}\gamma^{\mu}l_{r})$	$\mathcal{O}_{eW}$ $\mathcal{O}_{eB}$	$(\bar{l}_{p}\sigma^{\mu\nu}e_{r})\tau^{I}\varphi W^{I}_{\mu\nu}$ $(\bar{l}_{p}\sigma^{\mu\nu}e_{r})\varphi B_{\mu\nu}$
For c~1: • <i>Ο</i> <sub>φψ</sub> involving leptons: Λ > 2.5 TeV	$\mathcal{O}_{arphi e} \ \mathcal{O}_{arphi q}^{(1)} \ \mathcal{O}_{arphi q}^{(3)}$	$(\varphi^{\dagger} i D_{\mu} \varphi)(\bar{e}_{p} \gamma^{\mu} e_{r})$ $(\varphi^{\dagger} i \overleftrightarrow{D}_{\mu} \varphi)(\bar{q}_{p} \gamma^{\mu} q_{r})$ $(\varphi^{\dagger} i \overleftrightarrow{D}^{I} \varphi)(\bar{q}_{p} \gamma^{\mu} q_{r})$	$\mathcal{O}_{uG}$ $\mathcal{O}_{uW}$	$(q_p \sigma^{\mu\nu} T^A u_r) \varphi G^A_{\mu\nu}$ $(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \widetilde{\varphi} W^I_{\mu\nu}$ $(\bar{q}_r \sigma^{\mu\nu} u_r) \widetilde{\varphi} B$
• $\mathcal{O}_{q\psi}$ involving quarks except the top: $\Lambda$ > O(1 TeV)	$\mathcal{O}_{arphi q} \ \mathcal{O}_{arphi u} \ \mathcal{O}_{arphi d}$	$(\varphi^{\dagger}i\overleftrightarrow{D}_{\mu}\varphi)(\bar{q}_{p}r)\gamma^{\mu}q_{r})$ $(\varphi^{\dagger}i\overleftrightarrow{D}_{\mu}\varphi)(\bar{u}_{p}\gamma^{\mu}u_{r})$ $(\varphi^{\dagger}i\overleftrightarrow{D}_{\mu}\varphi)(\bar{d}_{p}\gamma^{\mu}d_{r})$	$\mathcal{O}_{dB}$ $\mathcal{O}_{dG}$ $\mathcal{O}_{dW}$	$(\bar{q}_{p}\sigma^{\mu\nu}T^{A}d_{r})\varphi  G^{A}_{\mu\nu}$ $(\bar{q}_{p}\sigma^{\mu\nu}d_{r})\tau^{I}\varphi  W^{I}_{\mu\nu}$
<ul> <li><i>O</i><sub>φud</sub> : Λ &gt; O(1 TeV)</li> <li>O(1%) corrections to the SM: ignore</li> </ul>	$\mathcal{O}_{\varphi ud}$	$i(\widetilde{\varphi}^{\dagger}D_{\mu}\varphi)(\overline{u}_{p}\gamma^{\mu}d_{r})$	O <sub>d B</sub> Fam	$(\bar{q}_p \sigma^{\mu\nu} d_r) \varphi B_{\mu\nu}$

#### H coupling to fermions (concluded)

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#### There are also scalar couplings

In unitary gauge  $\phi |\phi|^2 = (\epsilon/2) (v + 3 H + \cdots)/\sqrt{2}$ 

 $\epsilon$  v contributions: absorbed in finite renormalization. GIM mechanism survives.

 $\epsilon$  H contributions: observable deviations form the SM

$$(\mathcal{O}_{e\varphi})_{pr} = |\varphi|^2 \bar{\ell}_p e_r \varphi,$$
  

$$(\mathcal{O}_{u\varphi})_{pr} = |\varphi|^2 \bar{q}_p u_r \tilde{\varphi},$$
  

$$(\mathcal{O}_{d\varphi})_{pr} = |\varphi|^2 \bar{q}_p d_r \varphi,$$

### LG operators

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In most cases these are ignored, but since  $H \rightarrow \gamma \gamma$ ,  $Z\gamma$ , GG are LG in the SM,  $\mathcal{O}_{LG}$ whose contributions interfere with the SM should be included.

Operators containing the dual tensors do not interfere with the SM: they are subdominant

$$\mathcal{O}_{\varphi X} = \frac{1}{2} |\varphi|^2 X_{\mu\nu} X^{\mu\nu}, \quad X = \{G^A, W^I, B\}$$
$$\mathcal{O}_{WB} = (\varphi^{\dagger} \tau^I \varphi) W^I_{\mu\nu} B^{\mu\nu}$$

## PHENOMENOLOGICAL IMPLICATIONS

$$H \to \psi \psi$$

$$\Gamma(H \to \bar{\psi}\psi) = \kappa_{\psi}^{2}\Gamma_{SM}(H \to \bar{\psi}\psi)$$

$$\kappa_{\psi}^{2} = \left(1 - c_{\partial\phi}\epsilon + \frac{\sqrt{2}v}{m_{\psi}}c_{\psi\varphi}\epsilon\right).$$



$$\begin{split} \mathsf{H} &\to \gamma \gamma, \gamma \, \mathsf{Z}, \mathsf{G}\mathsf{G} \\ \Gamma(H \to \gamma \gamma) &= \kappa_{\gamma \gamma}^2 \Gamma_{SM}(H \to \gamma \gamma) & \kappa_{\gamma \gamma}^2 = 1 - \epsilon \left( c_{\partial \phi} - 0.30 \tilde{c}_{\gamma \gamma} - 0.28 c_{t\varphi} \right) \\ \Gamma(H \to Z \gamma) &= \kappa_{Z\gamma}^2 \Gamma_{SM}(H \to Z \gamma) & \kappa_{Z\gamma}^2 = 1 - \epsilon \left( c_{\partial \phi} - 1.82 \tilde{c}_{Z\gamma} - 1.46 c_{t\varphi} \right) \\ \Gamma(H \to GG) &= \kappa_{GG}^2 \Gamma_{SM}(H \to GG) & \kappa_{GG}^2 = 1 - \epsilon \left( c_{\partial \phi} - 2.91 \tilde{c}_{GG} - 4 c_{t\varphi} \right) \end{split}$$

## where

$$\tilde{c}_{\gamma\gamma} = \frac{16\pi^2}{g^2} c_{\varphi W} + \frac{16\pi^2}{g'^2} \tilde{c}_{\varphi B}$$
$$\tilde{c}_{Z\gamma} = \frac{16\pi^2}{eg} \left[ \frac{1}{2} (c_{\phi W} - c_{\phi B}) s_{2w} - c_{WB} c_{2w} \right]$$
$$\tilde{c}_{GG} = \frac{16\pi^2}{g_s^2} c_{\varphi G}$$

# **A SPECIAL CASE**

## If there are no tree-level generated operators: $\Rightarrow c_{\mathcal{O}} \sim 1/(16\pi^2) \quad \tilde{c}_{\gamma\gamma,\gamma Z,GG} \sim 1$

### and

