

粒子物理

16. 规范对称性

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Quantum Mechanics

- Group operations represented by unitary operators (u) in a linear vector space of state vector $|\alpha\rangle$

$$\text{state vector transformation: } |\alpha\rangle \rightarrow |\alpha'\rangle = u |\alpha\rangle$$

$$\text{operator transformation: } \theta \rightarrow \theta' = u\theta u^{-1}$$

- If system is symmetric under group, $[H, u] = 0$
- Of particular interest are symmetry groups with representation like

$$u(\epsilon) = e^{-i \sum_j \epsilon^j Q^j}$$

infinitesimal
parameters

Generators of the group
& operators having quantum
#'s as eigenvalues

- Connection through 'charge' & conserved 'current'

$$Q \equiv \int d^3x j^0(x) \quad \partial_\mu j^\mu(x) = 0$$

• 如果 $[H, Q] = 0 \Rightarrow \partial_0 Q = 0$

令 $H|P_n\rangle = E_n|P_n\rangle$

则 $QH|P_n\rangle = E_n \underbrace{Q|P_n\rangle}$

" $\underline{H}Q|P_n\rangle$

$|P_n\rangle$ 和 $Q|P_n\rangle$ 都是 H 的本征值 E_n 的本征态 (简并)

\rightarrow 它们有可能表示具有不同量子数的正交态

• 真空 — H 的特殊本征态

$$H|0\rangle = 0$$

我们常假定 $U|0\rangle = 0$, $U = e^{i\hat{Q}\alpha}$

$$\Rightarrow Q|0\rangle = 0$$

如果 $\hat{Q}|0\rangle \neq 0$ 而且 $[H, Q] = 0$, 那么一定存在简并的真空

Internal Symmetry

- **Symmetries whose transformation parameters do not affect the point of space and time x**
- **It is more natural in QM and QFT. For example, the phase of the wave function. Equation of Motion (Dirac or Schrodinger), normalization condition are invariant under the transformation:**

$$\Psi(x) \rightarrow e^{i\theta} \Psi(x)$$

- **It implies the conservation of the probability current.**

Heisenberg Isospin Theory

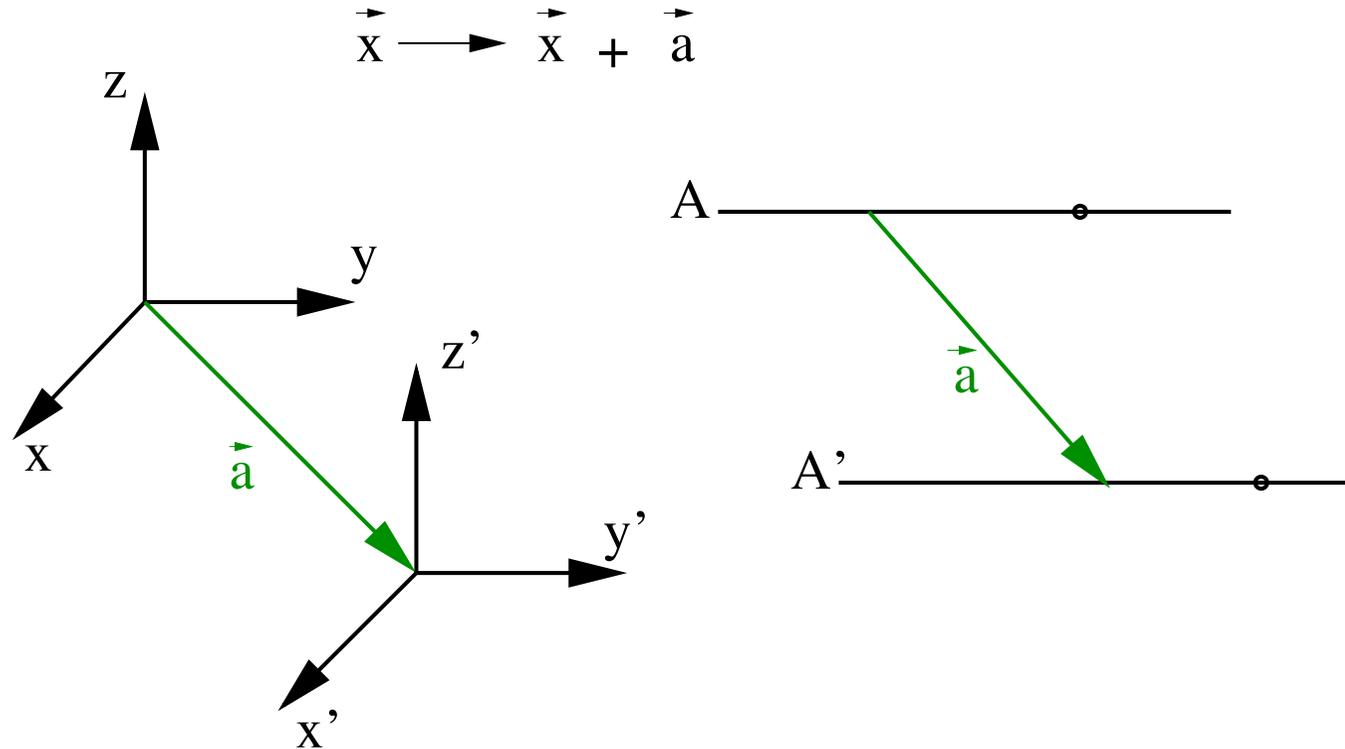
- **Assume the strong interaction are invariant under a group of SU(2) transformation in which the proton and neutron form a doublet $N(x)$**

$$N(x) = \begin{pmatrix} p(x) \\ n(x) \end{pmatrix} ; \quad N(x) \rightarrow e^{i\vec{\tau}\cdot\vec{\theta}} N(x)$$

$\vec{\tau}$ are proportional to Pauli matrices

$\vec{\theta}$ are the three angles of a general rotation in a three dimensional Euclidean space

Global Symmetry



A is trajectory of a free particle in the (x, y, z) system

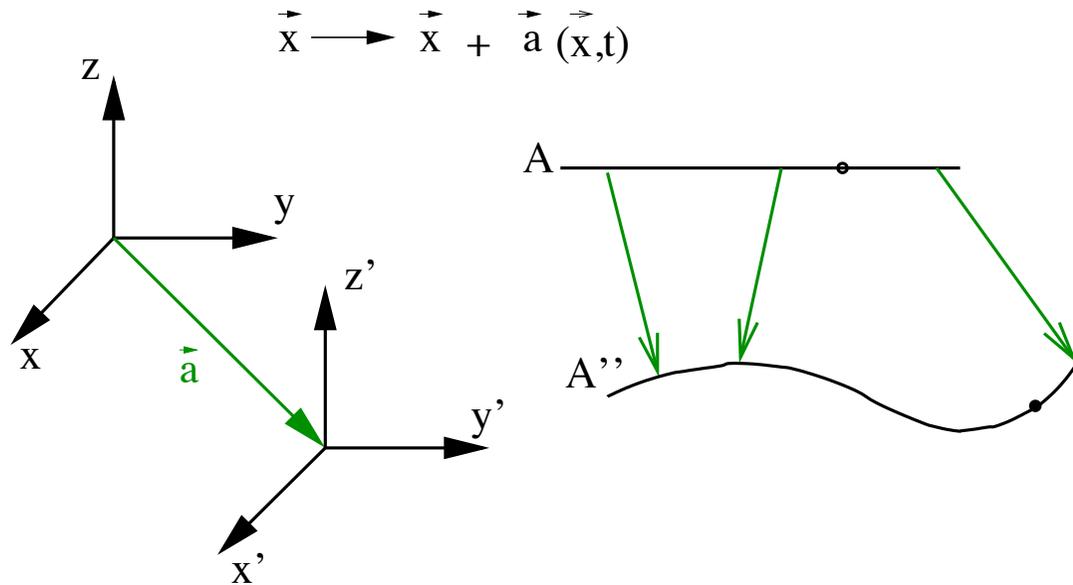
A' is also a possible trajectory of a free particle in the new system

The dynamics of free particles is invariant under space translations by a constant vector

Gauge Transformation

**The transformation parameters are functions
of the space-time point x**

A free particle dynamics is not invariant under translations in which \vec{a} is replaced by $\vec{a}(x)$.



For A'' to be a trajectory, the particle must be subject to external forces

Symmetry= Force

Neither Dirac nor Schrodinger equation are invariant under a local change of phase $\theta(x)$

Free Dirac Lagrangian

$$\mathcal{L} = \bar{\Psi}(x)(i\partial - m)\Psi(x)$$

is not invariant under the transformation

$$\Psi(x) \rightarrow e^{i\theta(x)}\Psi(x) \quad \longrightarrow \quad \partial_\mu\theta(x)$$

In order to restore invariance, we must modify free Dirac Lagrangian such that it is no longer describe a free Dirac Field.



Invariance under gauge symmetry leads to the introduction of interactions.

Weyl's Gauge Transformation

Soon after GR was written by Einstein,
Weyl proposed a modification ...



He added invariance with respect to

$$\begin{aligned} \text{a) } g'_{\mu\nu} &= \lambda(x)g_{\mu\nu} \\ \text{b) } A'_\mu &= A_\mu - \frac{\partial\lambda(x)}{\partial x^\mu} \end{aligned} \quad \left. \begin{array}{l} \curvearrowright \\ \curvearrowleft \end{array} \right\} \text{ same } \lambda(x)\text{phase}$$

b) is the regular ambiguity required of EM potentials

a) is weird  $ds^2 = g_{\mu\nu}dx^\mu dx^\nu \rightarrow \lambda ds^2$

Lengths are
re-'gauged'

Weyl's Gauge Transformation

- **suggests an invariance even though space & time can change over all space and time**
- **the mediator which holds the space-time structure together would be the electromagnetic field**

An early attempt to unify gravitation with electromagnetism

The brilliant idea did not work but the name stuck.

In 1927 London revived the idea ... but the symmetry isn't the scale of space-time, rather the phase of the wave function.

⊗ 全局对称性

1) Abelian $U(1)$ 对称性

以标量场为例, 拉氏量为

$$\mathcal{L} = (\partial_\mu \phi^*)(\partial^\mu \phi) - \mu^2(\phi^* \phi) - \lambda(\phi^* \phi)^2$$

其中 ϕ^* 是复标量场 ϕ 的复共轭

在 $U(1)$ 变换下, $\phi \rightarrow \phi' = e^{i\varepsilon} \phi \equiv U\phi$

$$\phi^* \rightarrow \phi'^* = e^{-i\varepsilon} \phi^* = U^\dagger \phi^*$$

(ε 是实数且与时空坐标 x 无关)

所以 $\phi^* \phi \rightarrow \phi^* \phi$

$$\partial_\mu \phi \rightarrow \partial_\mu (e^{i\varepsilon} \phi) = e^{i\varepsilon} \partial_\mu \phi$$

$$\partial_\mu \phi^* \rightarrow \partial_\mu (e^{-i\varepsilon} \phi^*) = e^{-i\varepsilon} \partial_\mu \phi^*$$

$\Rightarrow \mathcal{L}$ 在 $U(1)$ 变换下保持不变

阿贝尔对称性是因为 $e^{i\varepsilon} \equiv e^{i\mathbb{1}\varepsilon}$

其中 $\mathbb{1}$ 是 $U(1)$ 群的生成元满足 $[\mathbb{1}, \mathbb{1}] = 0$

(U 是么正矩阵, $UU^\dagger = 1, U = U^{-1}$)

2) 非阿贝尔 SU(2) 对称性 (同位旋对称性)

令 ϕ 是一个同位旋二重态 (isodoublet) $\phi = \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}$

$$\mathcal{L} = (\partial_\mu \phi^\dagger)(\partial^\mu \phi) - \mu^2(\phi^\dagger \phi) - \frac{\lambda}{2}(\phi^\dagger \phi)^2$$

$$\phi^\dagger = (\phi^*)^T$$

此拉氏量在同位旋空间中无穷小转动下不变

$$\phi_j \rightarrow \phi'_j = \phi_j + i\varepsilon^a \frac{\tau^a_{jk}}{2} \phi_k \equiv V\phi$$

$$j, k = 1, 2$$

$$a = 1, 2, 3$$

ε^a 是实数且与 x 无关

$$\tau^a \text{ 是泡利矩阵 } \tau^1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \tau^2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \tau^3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

证明:

$$\phi_j^+ \rightarrow \phi_j^{+'} = \phi_j^+ - i \varepsilon^b \phi_l^+ \frac{\tau_{lj}^b}{2}$$

$$\phi_j^+ \phi_j \rightarrow \phi_j^+ \phi_j + i \left(\varepsilon^a \frac{\tau_{jk}^a}{2} \phi_k \phi_j^+ - \varepsilon^b \phi_l^+ \frac{\tau_{lj}^b}{2} \phi_j \right)$$

将 l 和 j 指标交换后为 0

因为 ε^a 是与 x_μ 无关, 所以 $(\partial_\mu \phi^+) (\partial^\mu \phi)$ 保持不变

—— QED

注意: (1) 我们可以将上述变换记作 $\phi \rightarrow \phi' = V\phi$, $V = e^{i\varepsilon^a \frac{\tau^a}{2}}$

则有 $\phi^\dagger \rightarrow \phi'^\dagger = \phi^\dagger V^\dagger$

$$\Rightarrow \phi^\dagger \phi \rightarrow \phi^\dagger V^\dagger V \phi = \phi^\dagger \phi \quad (\because V^\dagger V = 1)$$

(2) 此变换是非阿贝尔的, 因为 $[\frac{\tau^a}{2}, \frac{\tau^b}{2}] = i\varepsilon_{abc} \frac{\tau^c}{2}$

(3) 任何 2×2 矩阵都可记作

$$A = c_0 \mathbb{1} + c^a \tau^a \quad \mathbb{1} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$(4) \quad \text{Tr}\left(\frac{\tau^a}{2}\right) = 0 \quad \text{Tr}\left(\frac{\tau^a}{2} \frac{\tau^b}{2}\right) = \frac{\delta_{ab}}{2}$$

$$\left\{ \tau^a, \tau^b \right\} = \tau^a \tau^b + \tau^b \tau^a = 2\delta_{ab} \mathbb{1}$$

3) Non-abelian $SU(3)$ 对称性

$$\phi \longrightarrow \phi' = U\phi$$

$$\text{其中 } U = e^{iH}, \text{tr}(H) = 0, \text{det}(U) = 1$$

对于 $SU(3)$ 群的基础表示 $\mathbf{3}$, 我们可以将 H 表示为 8 个 3×3 的无迹的矩阵 λ^a :

$$U = e^{i\varepsilon^a \frac{\lambda^a}{2}}, \quad a = 1, 2, \dots, 8$$

其中 ε^a 是实的连续参数

λ^a 是 $SU(3)$ 的生成元

1962年 Gell-mann 将 λ^a 取作如下 8 个

$$\lambda_1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_2 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\lambda_4 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} \quad \lambda_5 = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix}$$

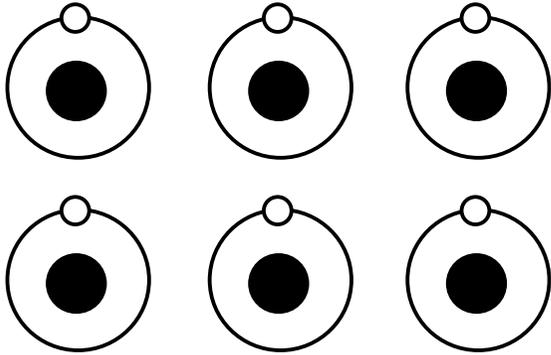
$$\lambda_6 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \quad \lambda_7 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix} \quad \lambda_8 = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}$$

Note: (1) $[\frac{\lambda^a}{2}, \frac{\lambda^b}{2}] = i f^{abc} \lambda^c$, f^{abc} 是 $SU(3)$ 的结构常数, 全反对称

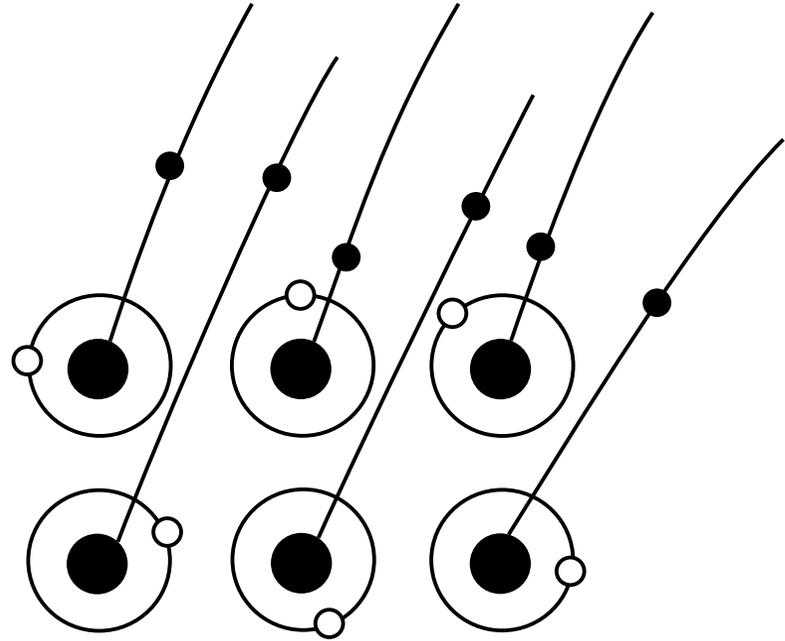
$$\{\lambda^a, \lambda^b\} = \lambda^a \lambda^b + \lambda^b \lambda^a = 2 \underbrace{d^{abc}}_{\text{totally symmetric}} \lambda^c + \frac{4}{3} \delta_{ab}$$

$$(2) \text{Tr} \left(\frac{\lambda^a}{2} \right) = 0 \quad \text{Tr} \left(\frac{\lambda^a}{2} \frac{\lambda^b}{2} \right) = \frac{1}{2} \delta^{ab}$$

Global versus Local



Global U(1) gauge transformation



Local U(1) gauge transformation

局域对称性 (Gauge Symmetries)

前面我们所讨论的相位变换的参数 ε^a 都与时空无关

\Rightarrow 不同时空点上的场要同时变化相同的相位

下面我们考虑 $\varepsilon^a = \varepsilon^a(x)$, 这样的局域对称性 (与 x 相关)

可以给出动力学, 即规范相互作用

(1) Abelian $U(1)$ local symmetry (例如 Quantum Electrodynamics)

考虑电荷为 (eQ) 的自由费米子场的拉氏量密度

$$\mathcal{L}_0 = \bar{\psi}(x) (i \gamma^\mu \partial_\mu - m) \psi(x) \quad \bar{\psi}(x) \equiv \psi^\dagger \gamma_0$$

1.2) Euler-Lagrangian field equation

$$\partial_\mu \frac{\delta \mathcal{L}_0}{\delta (\partial_\mu \bar{\psi})} - \frac{\delta \mathcal{L}_0}{\delta \bar{\psi}} = 0$$

$$\mathcal{L}_0 = \bar{\psi} (i \gamma^\mu \partial_\mu - m) \psi$$

$$\partial (\bar{\psi} \psi) = \bar{\psi} \partial \psi + (\partial \bar{\psi}) \psi$$

$$= \underbrace{\partial_\mu (\bar{\psi} i \gamma^\mu \psi)}_{\text{全导数项, 并不影响作用量}} - [(\partial_\mu \bar{\psi}) i \gamma^\mu \psi] - \bar{\psi} m \psi$$

$$\int \mathcal{L}_0 d^4x$$

$$\frac{\delta \mathcal{L}_0}{\delta (\partial_\mu \bar{\psi})} = -i \gamma^\mu \psi, \quad \frac{\delta \mathcal{L}_0}{\delta \bar{\psi}} = -m \psi$$

$$\Rightarrow -i \gamma^\mu \partial_\mu \psi - (-m \psi) = 0 \quad \text{即} \quad (i \not{\partial} - m) \psi = 0$$

1.2) Euler-Lagrangian field equation

$$\partial_\mu \frac{\delta \mathcal{L}_0}{\delta (\partial_\mu \bar{\psi})} - \frac{\delta \mathcal{L}_0}{\delta \bar{\psi}} = 0$$

$$\mathcal{L}_0 = \bar{\psi} (i \gamma^\mu \partial_\mu - m) \psi$$

$$\partial (\bar{\psi} \psi) = \bar{\psi} \partial \psi + (\partial \bar{\psi}) \psi$$

$$= \underbrace{\partial_\mu (\bar{\psi} i \gamma^\mu \psi)}_{\text{全导数项, 并不影响作用量}} - [(\partial_\mu \bar{\psi}) i \gamma^\mu \psi] - \bar{\psi} m \psi$$

全导数项, 并不影响作用量 $\int \mathcal{L}_0 d^4x$

同理, 由 $\partial_\mu \left(\frac{\delta \mathcal{L}_0}{\delta (\partial_\mu \psi)} \right) - \frac{\delta \mathcal{L}_0}{\delta \psi} = 0$ 可得

$$(\partial_\mu \bar{\psi}) i \gamma^\mu + \bar{\psi} m = 0 \quad \text{即} \quad \bar{\psi} (i \gamma^\mu \overleftarrow{\partial}_\mu + m) = 0$$

$$\bar{\psi} (i \overleftarrow{\not{\partial}} + m) = 0$$

箭头表明偏微分做用在左边场量 ψ 上

1.3) 具有全局 $U(1)$ 对称性

$$\left. \begin{aligned} \psi(x) &\rightarrow \psi'(x) = e^{-iQ\alpha} \psi(x) \\ \bar{\psi}(x) &\rightarrow \bar{\psi}'(x) = e^{+iQ\alpha} \bar{\psi}(x) \end{aligned} \right\} \bar{\psi}\psi \text{ 和 } \bar{\psi}\partial\psi \text{ 都是不变的}$$

1.4) 下面我们将此全局对称性改为局域对称性

"to gauge the symmetry" \Rightarrow " $\alpha \rightarrow \alpha(x)$ "

即,

$$\left. \begin{aligned} \psi(x) &\rightarrow \psi'(x) = e^{-iQ\alpha(x)} \psi(x) \\ \bar{\psi}(x) &\rightarrow \bar{\psi}'(x) = \bar{\psi}(x) e^{+iQ\alpha(x)} \end{aligned} \right\} \Rightarrow \bar{\psi}\psi \text{ 不变}$$

现在导数项将出现较为复杂的变换形式

$$\begin{aligned}\bar{\Psi}(x) \partial_\mu \Psi(x) &\longrightarrow \bar{\Psi}'(x) \partial_\mu \Psi'(x) \\ &= \bar{\Psi}(x) e^{+iQ\alpha(x)} \partial_\mu (e^{-iQ\alpha(x)} \Psi(x)) \\ \partial_\mu e^{iQ\alpha(x)} &= iQ e^{iQ\alpha(x)} \partial_\mu \alpha(x) \quad \rightarrow \\ &= \bar{\Psi}(x) \partial_\mu \Psi(x) - \underbrace{iQ \bar{\Psi}(x) (\partial_\mu \alpha(x)) \Psi(x)}_{\text{此项破坏了不变性}}\end{aligned}$$

为了使导数项在规范变换下保持不变, 我们需要定义新的规范协变的导数

$$\partial_\mu \rightarrow D_\mu$$

并且要求

$$D_\mu \Psi(x) \longrightarrow [D_\mu \Psi(x)]' = e^{-iQ\alpha(x)} D_\mu \Psi(x) \quad (A)$$

$$\Rightarrow \bar{\Psi} D_\mu \Psi(x) \text{ 是规范不变的}$$

我们引入新的矢量场 $A_\mu(x)$ (规范场) 来定义协变导数

$$D_\mu \psi \equiv (\partial_\mu + ieQ A_\mu) \psi \quad (B)$$

$$[D_\mu \psi]' = (\partial_\mu + ieQ A'_\mu) \psi', \quad \psi' = U\psi$$
$$U \equiv e^{-iQ\alpha(x)}$$

$$(A) \Rightarrow (\partial_\mu + ieQ A'_\mu)(U\psi) = U(\partial_\mu + ieQ A_\mu)\psi$$

$$\Rightarrow (\partial_\mu U)\psi + \underline{U(\partial_\mu \psi)} + ieQ A'_\mu U\psi = \underline{U(\partial_\mu \psi)} + ieQ U A_\mu \psi$$

$$\Rightarrow [\partial_\mu U + ieQ A'_\mu U]\psi = [+ieQ U A_\mu]\psi$$

$$\Rightarrow \underbrace{[\partial_\mu U + ieQ A'_\mu U - ieQ U A_\mu]}_{=0} \psi = 0$$

$$\Rightarrow \underbrace{[\partial_\mu U + ieQ A'_\mu U - ieQ U A_\mu]}_{=0} \psi = 0$$

从右方乘上 U^{-1} , 可得

$$(\partial_\mu U) U^{-1} + ieQ A'_\mu U U^{-1} - ieQ U A_\mu U^{-1} = 0$$

因为 $U U^{-1} = 1$, $\partial_\mu (U U^{-1}) = 0 = (\partial_\mu U) U^{-1} + U (\partial_\mu U^{-1})$

$$\Rightarrow (\partial_\mu U) U^{-1} = -U (\partial_\mu U^{-1})$$

所以

$$ieQ A'_\mu = ieQ U A_\mu U^{-1} - (\partial_\mu U) U^{-1}$$

$$\Rightarrow A'_\mu = U A_\mu U^{-1} - \frac{1}{ieQ} (\partial_\mu U) U^{-1} = U \left(A_\mu + \frac{1}{ieQ} \partial_\mu \right) U^\dagger$$

对于无穷小相位变换 $\alpha \ll 1$,

$$U \equiv e^{-iQ\alpha} \approx 1 - iQ\alpha$$

$$U^\dagger = e^{+iQ\alpha} \approx 1 + iQ\alpha$$

$\alpha(x)$ 和 Q 都是实数

则有

$$A'_\mu(x) = (1 - iQ\alpha) \left(A_\mu - \frac{i}{eQ} \partial_\mu \right) (1 + iQ\alpha)$$

$$= (1 - iQ\alpha) A_\mu (1 + iQ\alpha) - \frac{i}{eQ} \partial_\mu (+iQ\alpha) + O(\alpha^2)$$

$$\Rightarrow A'_\mu = A_\mu + \frac{1}{e} \partial_\mu \alpha(x) + O(\alpha^2)$$

现在我们得到 U(1) 规范不变的拉氏量

$$\mathcal{L}' = \bar{\psi} i \gamma^\mu D_\mu \psi - m \bar{\psi} \psi$$

$$D_\mu = \partial_\mu + i e Q A_\mu$$

So far so good, **BUT** 我们并不知道 A_μ 的动力学!!!

因为上面的拉氏量中并不包含 A_μ 的导数项, 所以

$$\text{Euler-Lagrange Equation} \Rightarrow \frac{\partial \mathcal{L}}{\partial A_\mu} = -g \bar{\psi} \gamma_\mu \psi = -g j^\mu = 0$$

光子场的运动方程告诉我们, 电磁流严格为 0 ($j^\mu = 0$)

$$\Rightarrow \text{自由电子的拉氏量} \quad \bar{\psi} (i \gamma_\mu \partial^\mu - m) \psi$$

为了使规范场 A_μ 成为真正的动力学变量, 而不是一个辅助工具,
我们需要在拉氏量中加入 A_μ 的导数项 (动能项, kinetic term)

(所有的物理场都具有其特有的动力学。如果某物理场出现在
文中却没有动能项, 那么该场仅提供一个常数的背景场)

最简单的量纲为 4 的规范不变项为

$$\mathcal{L}_A = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

规范场的反对称 2 阶张量和协变导数之间关系为

$$(D_\mu D_\nu - D_\nu D_\mu) \psi = +ieQ (F_{\mu\nu}) \psi$$

$$\Rightarrow (\partial_\mu + ieQ A_\mu)(\partial_\nu + ieQ A_\nu) - (\partial_\nu + ieQ A_\nu)(\partial_\mu + ieQ A_\mu) = +ieQ F_{\mu\nu}$$

$$\Rightarrow F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

可验证 $[(D_\mu D_\nu - D_\nu D_\mu)\psi]' = U(D_\mu D_\nu - D_\nu D_\mu)\psi$

因为 $[D_\mu\psi]' \equiv D_{\mu'}\psi' = U(D_\mu\psi)$

所以,

$$F_{\mu'\nu'}\psi' = U F_{\mu\nu}\psi$$

$$\hookrightarrow F_{\mu'\nu'}(U\psi)$$

$$\} \Rightarrow (F_{\mu'\nu'}U - U F_{\mu\nu})\psi = 0$$

从右边乘以 U^{-1} 可得

$$F_{\mu'\nu'} = U F_{\mu\nu} U^{-1} = U F_{\mu\nu} U^\dagger$$

在 QED 中, $U = e^{-iQ\alpha(x)}$, 故而

$$U F_{\mu\nu} U^\dagger = U U^\dagger F_{\mu\nu} \Rightarrow F'_{\mu\nu} = F_{\mu\nu}$$

即, $F_{\mu\nu}$ 本身就是规范不变的

一般而言, $F_{\mu\nu} \equiv [D_\mu, D_\nu] \frac{1}{ieQ}$

$$\begin{aligned} \text{Tr}(F_{\mu\nu} F^{\mu\nu}) &\rightarrow \text{Tr}(F'_{\mu\nu} F'^{\mu\nu}) = \text{Tr}[(U F_{\mu\nu} U^\dagger)(U F^{\mu\nu} U^\dagger)] \\ &= \text{Tr}(F_{\mu\nu} F^{\mu\nu}) \end{aligned}$$

小结: QED 拉氏量为

$$\mathcal{L} = \bar{\psi} (i\gamma^\mu D_\mu - m)\psi - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

其中 $D_\mu = \partial_\mu + ieQ A_\mu$

$$F_{\mu\nu} = \frac{1}{ieQ} [D_\mu, D_\nu] = \partial_\mu A_\nu - \partial_\nu A_\mu$$

(e 是电荷, Q 是费米子 ψ 的电荷, $Q_{e^-} = -1$)

规范变换为

$$D_\mu \psi \rightarrow [D_\mu \psi]' = U(D_\mu \psi)$$

$$\psi \rightarrow \psi' = U\psi$$

$$F_{\mu\nu} \rightarrow F_{\mu\nu}' = U F_{\mu\nu} U^\dagger$$

$$\bar{\psi} \rightarrow \bar{\psi}' = \bar{\psi} U^\dagger$$

$$A_\mu \rightarrow A_\mu' = U \left(A_\mu + \frac{1}{ieQ} \partial_\mu \right) U^\dagger$$

$$U = e^{-ieQ\alpha}$$

在 Abelian 理论中,

$$F_{\mu\nu}' = U F_{\mu\nu} U^\dagger = F_{\mu\nu}$$

$$A_\mu' = A_\mu + \frac{1}{e} \partial_\mu \alpha + \mathcal{O}(\alpha^2)$$

小结: QED 拉氏量为

$$\mathcal{L} = \bar{\psi} (i\gamma^\mu D_\mu - m)\psi - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

其中 $D_\mu = \partial_\mu + ieQ A_\mu$

$$F_{\mu\nu} = \frac{1}{ieQ} [D_\mu, D_\nu] = \partial_\mu A_\nu - \partial_\nu A_\mu$$

(e 是电荷, Q 是费米子 ψ 的电荷, $Q_{e^-} = -1$)

注意: (1) 光子无质量, 因为 $A_\mu A^\mu$ 破坏规范对称性

$$(A_\mu A_\mu)' \neq (A_\mu A^\mu)$$

(2) 光子和光子之间没有相互作用

2) 非阿贝尔规范场 - Yang-Mills fields

Non-abelian $SU(3)$ gauge sym (QCD) ^{Quantum} Chromodynamics

1954年 Yang-Mills 将规范原理推广到非阿贝尔对称性

考虑 $SU(3)$ 色对称性

$$\psi = \begin{pmatrix} \psi_{\text{red}} \\ \psi_{\text{green}} \\ \psi_{\text{blue}} \end{pmatrix}$$

在 $SU(3)$ 变换下, 我们有

$$\psi(x) \rightarrow \psi'(x) = U \psi(x),$$

其中, λ^a 是 Gell-mann 矩阵

$$\left[\frac{\lambda^a}{2}, \frac{\lambda^b}{2} \right] = i f_{abc} \frac{\lambda^c}{2}$$

变换参数

$$U = e^{-i \frac{\lambda^a}{2} \theta^a(x)}$$

群符

$a = 1, 2, \dots, 8$

同理, $\bar{\Psi}(x) \rightarrow \bar{\Psi}'(x) = \bar{\Psi}(x) U^\dagger$

$$D_\mu \Psi = \left(\partial_\mu - \underbrace{ig_s \frac{\lambda^a}{2}}_{\substack{\text{相互作用} \\ \text{耦合常数}}} \underbrace{G_\mu^a}_{\rightarrow \text{胶子场}} \right) \Psi$$

要求 $D_\mu \Psi \rightarrow (D_\mu \Psi)' = U D_\mu \Psi$

$$\Rightarrow \left(\frac{\lambda^a}{2} G_\mu^{a'} \right) = U \left(\frac{\lambda^a}{2} G_\mu^a - \frac{1}{(ig_s)} \partial_\mu \right) U^\dagger$$

对于无穷小变换有

$$G_\mu^{a'} = G_\mu^a + f^{abc} \theta^b G_\mu^c - \frac{1}{g_s} \partial_\mu \theta^a + O(\theta^2)$$

胶子场的二阶反对称张量

$$(D_\mu D_\nu - D_\nu D_\mu) \psi \equiv ig_s \left(\frac{\lambda^a}{2} G_{\mu\nu}^a \right) \psi$$

$$\Rightarrow G_{\mu\nu}^a = \partial_\mu G_\nu^a - \partial_\nu G_\mu^a + g_s f^{abc} G_\mu^b G_\nu^c$$

因为 $(D_\mu \psi)$ 具有和 ψ 相同的规范变换行为, 所以

$$[(D_\mu D_\nu - D_\nu D_\mu) \psi]' = U (D_\mu D_\nu - D_\nu D_\mu) \psi$$

$$\Rightarrow \left(\frac{\lambda^a}{2} G_{\mu\nu}^{a'} \right) = U \left(\frac{\lambda^a}{2} G_{\mu\nu}^a \right) U^\dagger$$

对于无穷小变换,

$$G_{\mu\nu}^{a'} = G_{\mu\nu}^a + f^{abc} \theta^b G_{\mu\nu}^c$$

同时,
$$\text{Tr} \left(\left(\frac{\lambda^a}{2} G_{\mu\nu}^a \right) \left(\frac{\lambda^b}{2} G_{\mu\nu}^b \right) \right) = G_{\mu\nu}^a G^{b\mu\nu} \underbrace{\text{Tr} \left(\frac{\lambda^a}{2} \frac{\lambda^b}{2} \right)}_{= \frac{1}{2} \delta_{ab}}$$

在 $SU(3)$ 变换下不变 $= \frac{1}{2} G_{\mu\nu}^a G^{a\mu\nu}$

$\Rightarrow \text{Tr} \left(U \left(\frac{\lambda^a}{2} G_{\mu\nu}^a \right) \underbrace{U^\dagger U}_{\mathbb{1}} \left(\frac{\lambda^b}{2} G_{\mu\nu}^b \right) U^\dagger \right)$

故而, QCD 拉氏量为

$$\mathcal{L} = -\frac{1}{4} G_{\mu\nu}^a G^{a\mu\nu} + \bar{\Psi} (i\not{D} - m) \Psi$$

$$= -\frac{1}{2} \text{Tr} \left(\left(\frac{\lambda^a}{2} G_{\mu\nu}^a \right) \left(\frac{\lambda^b}{2} G^{b\mu\nu} \right) \right) + \bar{\Psi} (i\not{D} - m) \Psi$$

注意:

① 对于SU(2)规范理论, 我们也可得到类似的结果:

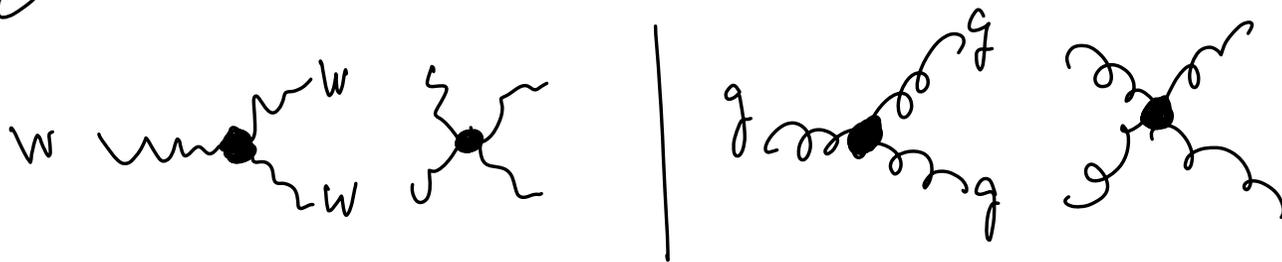
生成元	λ^a ($a=1, 2, \dots, 8$)	\longrightarrow	τ^a ($a=1, 2, 3$)
规范玻色子	G_μ^a		W_μ^a
群结构常数	f_{abc}		ϵ_{abc}
耦合强度	g_s		g_2
	$G_{\mu\nu}^a$		$W_{\mu\nu}^a$

② Yang-Mills 项 $-\frac{1}{4} G_{\mu\nu}^a G^{a\mu\nu}$ (in $SU(3)$) 或
 $-\frac{1}{4} W_{\mu\nu}^a W^{a\mu\nu}$ (in $SU(2)$) 中包含规范场的
 三次和四次项

$$SU(3): -g_s f^{abc} (\partial_\mu G_\nu^a) G^{b\mu} G^{c\nu} - \frac{g_s^2}{4} f^{abc} f^{ade} G_\mu^b G_\nu^c G^{d\mu} G^{e\nu}$$

$$SU(2): -g_2 \varepsilon^{abc} (\partial_\mu W_\nu^a) W^{b\mu} W^{c\nu} - \frac{g_2^2}{4} \varepsilon^{abc} \varepsilon^{ade} W_\mu^b W_\nu^c W^{d\mu} W^{e\nu}$$

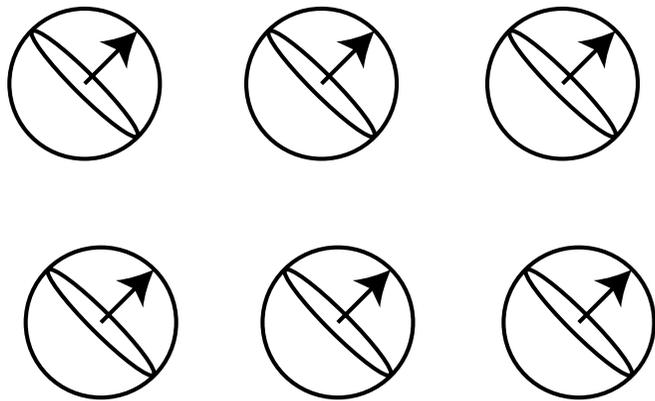
⇒ 非阿贝尔规范场的自相互作用



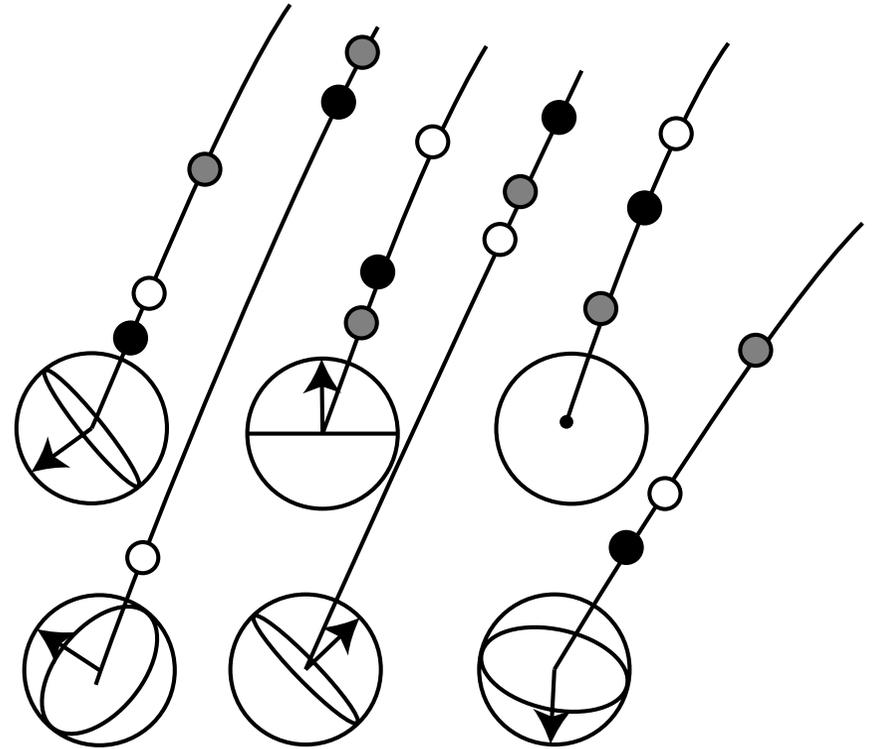
(和阿贝尔规范场不同之处)

⇒ 色禁闭和渐进自由

SU(2): Global versus Local



Global SU(2) gauge transformation



Local SU(2) gauge transformation