粒子物理

22. 中微子振荡



本课件部分借用邢志忠老师的幻灯片

Neutrino Flavours Revisited

★ Never directly observe neutrinos – can only detect them by their weak interactions. Hence by definition V_e is the neutrino state produced along with an electron. Similarly, charged current weak interactions of the state V_e produce an electron

 v_e, v_μ, v_τ = weak eigenstates

 \star For many years, assumed that V_e, V_μ, V_τ were massless fundamental particles

• <u>Experimental evidence</u>: neutrinos produced along with an electron always produced an electron in CC Weak interactions, etc.



Mass Eigenstates and Weak Eigenstates

★ The essential feature in understanding the physics of neutrino oscillations is to understand what is meant by weak eigenstates and mass eigenstates v_1, v_2

 \star Suppose the process below proceeds via two fundamental particle states



★ Can't know which mass eigenstate (fundamental particle V₁, V₂) was involved
 ★ In Quantum mechanics treat as a coherent state Ψ = V_e = U_{e1}V₁ + U_{e2}V₂
 ★ V_e represents the wave-function of the coherent state produced along with an electron in the weak interaction, i.e. the weak eigenstate

Neutrino Oscillations for Two Flavours

 \star Neutrinos are produced and interact as weak eigenstates, v_e , v_μ

★ The weak eigenstates as coherent linear combinations of the fundamental "mass eigenstates" V₁, V₂

★The mass eigenstates are the free particle solutions to the wave-equation and will be taken to propagate as plane waves

$$|\mathbf{v}_1(t)\rangle = |\mathbf{v}_1\rangle e^{i\vec{p}_1\cdot\vec{x}-iE_1t} \qquad |\mathbf{v}_2(t)\rangle = |\mathbf{v}_2\rangle e^{i\vec{p}_2\cdot\vec{x}-iE_2t}$$

 \star The weak and mass eigenstates are related by the unitary 2x2 matrix

$$\begin{pmatrix} v_e \\ v_\mu \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \end{pmatrix}$$
(1)



 \star Equation (1) can be inverted to give

$$\begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} v_e \\ v_\mu \end{pmatrix}$$
(2)

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•Suppose at time t = 0 a neutrino is produced in a pure v_e state, e.g. in a decay $u \rightarrow de^+ v_e$

$$|\psi(0)\rangle = |v_e\rangle = \cos\theta |v_1\rangle + \sin\theta |v_2\rangle$$

•Take the z-axis to be along the neutrino direction

•The wave-function evolves according to the time-evolution of the mass eigenstates (free particle solutions to the wave equation)

$$|\Psi(t)\rangle = \cos\theta |v_1\rangle e^{-ip_1 \cdot x} + \sin\theta |v_2\rangle e^{-ip_2 \cdot x}$$

where $p_i . x = E_i t - \vec{p}_i . \vec{x} = E_i t - |\vec{p}_i| z$

Suppose the neutrino interacts in a detector at a distance L and at a time T

$$\phi_i = p_i \cdot x = E_i T - |\vec{p}_i| L$$

gives

$$|\psi(L,T)\rangle = \cos\theta |v_1\rangle e^{-i\phi_1} + \sin\theta |v_2\rangle e^{-i\phi_2}$$

 \star Expressing the mass eigenstates, $|v_1
angle, |v_2
angle$, in terms of weak eigenstates (eq 2)

 $|\psi(L,T)\rangle = \cos\theta(\cos\theta|\nu_e\rangle - \sin\theta|\nu_\mu\rangle)e^{-i\phi_1} + \sin\theta(\sin\theta|\nu_e\rangle + \cos\theta|\nu_\mu\rangle)e^{-i\phi_2}$

$$|\psi(L,T)\rangle = |v_e\rangle(\cos^2\theta e^{-i\phi_1} + \sin^2\theta e^{-i\phi_2}) + |v_{\mu}\rangle\sin\theta\cos\theta(-e^{-i\phi_1} + e^{-i\phi_2})$$

★ If the masses of $|v_1\rangle$, $|v_2\rangle$ are the same, the mass eigenstates remain in phase, $\phi_1 = \phi_2$, and the state remains the linear combination corresponding to $|v_e\rangle$ and in a weak interaction will produce an electron

 \star If the masses are different, the wave-function no longer remains a pure $|v_e\rangle$

$$P(v_e \to v_\mu) = |\langle v_\mu | \psi(L,T) \rangle|^2$$

= $\cos^2 \theta \sin^2 \theta (-e^{-i\phi_1} + e^{-i\phi_2})(-e^{+i\phi_1} + e^{+i\phi_2})$
= $\frac{1}{4} \sin^2 2\theta (2 - 2\cos(\phi_1 - \phi_2))$
= $\sin^2 2\theta \sin^2 \left(\frac{\phi_1 - \phi_2}{2}\right)$

 \star The treatment of the phase difference

$$\Delta\phi_{12} = \phi_1 - \phi_2 = (E_1 - E_2)T - (|p_1| - |p_2|)L$$

in most text books is dubious. Here we will be more careful...

★ One could assume $|p_1| = |p_2| = p$ in which case

$$\Delta\phi_{12} = (E_1 - E_2)T = \left[(\mathbf{p}^2 + m_1^2)^{1/2} - (\mathbf{p}^2 + m_2^2)^{1/2} \right] L \qquad L \approx (c)T$$

$$\Delta\phi_{12} = p \left[\left(1 + \frac{m_1^2}{p^2} \right)^{1/2} - \left(1 + \frac{m_2^2}{p^2} \right)^{1/2} \right] L \approx \frac{m_1^2 - m_2^2}{2p} L$$

★ However we have neglected that fact that for the same momentum, different mass eigenstates will propagate at different velocities and be observed at different times

★ The full derivation requires a wave-packet treatment and gives the same result

★ Nevertheless it is worth noting that the phase difference can be written

$$\Delta\phi_{12} = (E_1 - E_2)T - \left(\frac{|p_1|^2 - |p_2|^2}{|p_1| + |p_2|}\right)L$$
$$\Delta\phi_{12} = (E_1 - E_2)\left[T - \left(\frac{E_1 + E_2}{|p_1| + |p_2|}\right)L\right] + \left(\frac{m_1^2 - m_2^2}{|p_1| + |p_2|}\right)L$$

 \star The first term on the RHS vanishes if we assume $E_1=E_2$ or $eta_1=eta_2$

in all cases

$$\Delta \phi_{12} = \frac{m_1^2 - m_2^2}{2p} L = \frac{\Delta m^2}{2E} L$$

★ Hence the two-flavour oscillation probability is:

$$P(v_e \rightarrow v_\mu) = \sin^2 2\theta \sin^2 \left(\frac{\Delta m_{21}^2 L}{4E}\right)$$

with 🛛

$$\Delta m_{21}^2 = m_2^2 - m_1^2$$

 \star The corresponding two-flavour survival probability is:

$$P(\mathbf{v}_e \rightarrow \mathbf{v}_e) = 1 - \sin^2 2\theta \sin^2 \left(\frac{\Delta m_{21}^2 L}{4E}\right)$$



Neutrino Oscillations for Three Flavours

★ It is simple to extend this treatment to three generations of neutrinos.
 ★ In this case we have:

$$\begin{pmatrix} v_{e} \\ v_{\mu} \\ v_{\tau} \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} v_{1} \\ v_{2} \\ v_{3} \end{pmatrix}$$

$$u \rightarrow h_{\mu} e^{e^{+}} \equiv u \rightarrow h_{\mu} e^{e^{+}} + u \rightarrow h_{\mu} e^{e^{+}} e^{e^{+}} e^{e^{+}} + u \rightarrow h_{\mu} e^{e^{+}} e^{e^$$

★ The 3x3 Unitary matrix U is known as the Pontecorvo-Maki-Nakagawa-Sakata matrix, usually abbreviated PMNS

★ Note : has to be unitary to conserve probability

Using
$$U^{\dagger}U = I \Rightarrow U^{-1} = U^{\dagger} = (U^{*})^{T}$$

gives $\begin{pmatrix} v_{1} \\ v_{2} \\ v_{3} \end{pmatrix} = \begin{pmatrix} U_{e1}^{*} & U_{\mu 1}^{*} & U_{\tau 1}^{*} \\ U_{e2}^{*} & U_{\mu 2}^{*} & U_{\tau 2}^{*} \\ U_{e3}^{*} & U_{\mu 3}^{*} & U_{\tau 3}^{*} \end{pmatrix} \begin{pmatrix} v_{e} \\ v_{\mu} \\ v_{\tau} \end{pmatrix}$

Unitarity Relations

 \star The Unitarity of the PMNS matrix gives several useful relations:

$$UU^{\dagger} = I \implies \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} U_{e1}^{*} & U_{\mu 1}^{*} & U_{\tau 1}^{*} \\ U_{e2}^{*} & U_{\mu 2}^{*} & U_{\tau 2}^{*} \\ U_{e3}^{*} & U_{\mu 3}^{*} & U_{\tau 3}^{*} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

gives:

$$U_{e1}U_{e1}^* + U_{e2}U_{e2}^* + U_{e3}U_{e3}^* = 1$$
 (U1)

$$U_{\mu 1}U_{\mu 1}^* + U_{\mu 2}U_{\mu 2}^* + U_{\mu 3}U_{\mu 3}^* = 1$$
 (U2)

$$U_{\tau 1}U_{\tau 1}^* + U_{\tau 2}U_{\tau 2}^* + U_{\tau 3}U_{\tau 3}^* = 1 \tag{U3}$$

$$U_{e1}U_{\mu1}^* + U_{e2}U_{\mu2}^* + U_{e3}U_{\mu3}^* = 0$$
 (U4)

$$U_{e1}U_{\tau 1}^* + U_{e2}U_{\tau 2}^* + U_{e3}U_{\tau 3}^* = 0$$
 (U5)

$$U_{\mu 1}U_{\tau 1}^* + U_{\mu 2}U_{\tau 2}^* + U_{\mu 3}U_{\tau 3}^* = 0$$
 (U6)

 \star To calculate the oscillation probability proceed as before...

Consider a state which is produced at t = 0 as a $|v_e\rangle$ (i.e. with an electron) $|\psi(t=0)\rangle = |v_e\rangle = U_{e1}|v_1\rangle + U_{e2}|v_2\rangle + U_{e3}|v_3\rangle$ •The wave-function evolves as:

$$|\Psi(t)\rangle = U_{e1}|v_1\rangle e^{-ip_1.x} + U_{e2}|v_2\rangle e^{-ip_2.x} + U_{e3}|v_3\rangle e^{-ip_3.x}$$

where
$$p_i \cdot x = E_i t - \vec{p}_i \cdot \vec{x} = E_i t - |\vec{p}|z$$

•After a travelling a distance L

$$|\Psi(L)\rangle = U_{e1}|v_1\rangle e^{-i\phi_1} + U_{e2}|v_2\rangle e^{-i\phi_2} + U_{e3}|v_3\rangle e^{-i\phi_3}$$

where $\phi_i = p_i.x = E_it - |\vec{p}|L = (E_i - |\vec{p}_i|)L$

•As before we can approximate

$$\phi_i \approx \frac{m_i^2}{2E_i}L$$

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•Expressing the mass eigenstates in terms of the weak eigenstates

$$\begin{aligned} |\Psi(L)\rangle &= U_{e1}(U_{e1}^{*}|\nu_{e}\rangle + U_{\mu1}^{*}|\nu_{\mu}\rangle + U_{\tau1}^{*}|\nu_{\tau}\rangle)e^{-i\phi_{1}} \\ &+ U_{e2}(U_{e2}^{*}|\nu_{e}\rangle + U_{\mu2}^{*}|\nu_{\mu}\rangle + U_{\tau2}^{*}|\nu_{\tau}\rangle)e^{-i\phi_{2}} \\ &+ U_{e3}(U_{e3}^{*}|\nu_{e}\rangle + U_{\mu3}^{*}|\nu_{\mu}\rangle + U_{\tau3}^{*}|\nu_{\tau}\rangle)e^{-i\phi_{3}} \end{aligned}$$

•Which can be rearranged to give

$$\begin{aligned} |\Psi(L)\rangle &= (U_{e1}U_{e1}^{*}e^{-i\phi_{1}} + U_{e2}U_{e2}^{*}e^{-i\phi_{2}} + U_{e3}U_{e3}^{*}e^{-i\phi_{3}})|\nu_{e}\rangle \\ &+ (U_{e1}U_{\mu1}^{*}e^{-i\phi_{1}} + U_{e2}U_{\mu2}^{*}e^{-i\phi_{2}} + U_{e3}U_{\mu3}^{*}e^{-i\phi_{3}})|\nu_{\mu}\rangle \\ &+ (U_{e1}U_{\tau1}^{*}e^{-i\phi_{1}} + U_{e2}U_{\tau2}^{*}e^{-i\phi_{2}} + U_{e3}U_{\tau3}^{*}e^{-i\phi_{3}})|\nu_{\tau}\rangle \end{aligned}$$
(3)

z axis in direction of propagation

•From which

$$P(\nu_e \to \nu_\mu) = |\langle \nu_\mu | \psi(L) \rangle|^2$$

= $|U_{e1} U^*_{\mu 1} e^{-i\phi_1} + U_{e2} U^*_{\mu 2} e^{-i\phi_2} + U_{e3} U^*_{\mu 3} e^{-i\phi_3}|^2$

•The terms in this expression can be represented as:



•Because of the unitarity of the PMNS matrix we have (U4):

$$U_{e1}U_{\mu1}^* + U_{e2}U_{\mu2}^* + U_{e3}U_{\mu3}^* = 0$$

and, consequently, unless the phases of the different components are different, the sum of these three diagrams is zero, i.e., require different neutrino masses for osc.

•Evaluate

$$P(v_e \to v_\mu) = |U_{e1}U_{\mu1}^* e^{-i\phi_1} + U_{e2}U_{\mu2}^* e^{-i\phi_2} + U_{e3}U_{\mu3}^* e^{-i\phi_3}|^2$$

using $|z_1 + z_2 + z_3|^2 \equiv |z_1|^2 + |z_2|^2 + |z_3|^2 + 2\Re(z_1z_2^* + z_1z_3^* + z_2z_3^*)$ (4)
which gives:

$$P(\nu_{e} \to \nu_{\mu}) = |U_{e1}U_{\mu1}^{*}|^{2} + |U_{e2}U_{\mu2}^{*}|^{2} + |U_{e3}U_{\mu3}^{*}|^{2} +$$

$$2\Re(U_{e1}U_{\mu1}^{*}U_{e2}^{*}U_{\mu2}e^{-i(\phi_{1}-\phi_{2})} + U_{e1}U_{\mu1}^{*}U_{e3}^{*}U_{\mu3}e^{-i(\phi_{1}-\phi_{3})} + U_{e2}U_{\mu2}^{*}U_{e3}^{*}U_{\mu3}e^{-i(\phi_{2}-\phi_{3})})$$
(5)

•This can be simplified by applying identity (4) to $|(U4)|^2$

$$\begin{aligned} |U_{e1}U_{\mu1}^{*} + U_{e2}U_{\mu2}^{*} + U_{e3}U_{\mu3}^{*}|^{2} &= 0 \\ |U_{e1}U_{\mu1}^{*}|^{2} + |U_{e2}U_{\mu2}^{*}|^{2} + |U_{e3}U_{\mu3}^{*}|^{2} &= \\ -2\Re(U_{e1}U_{\mu1}^{*}U_{e2}^{*}U_{\mu2} + U_{e1}U_{\mu1}^{*}U_{e3}^{*}U_{\mu3} + U_{e2}U_{\mu2}^{*}U_{e3}^{*}U_{\mu3}) \end{aligned}$$

•Substituting into equation (5) gives

$$P(\mathbf{v}_{e} \to \mathbf{v}_{\mu}) = 2\Re\{U_{e1}U_{\mu1}^{*}U_{e2}^{*}U_{\mu2}[e^{-i(\phi_{1}-\phi_{2})}-1]\} + 2\Re\{U_{e1}U_{\mu1}^{*}U_{e3}^{*}U_{\mu3}[e^{-i(\phi_{1}-\phi_{3})}-1]\} + 2\Re\{U_{e2}U_{\mu2}^{*}U_{e3}^{*}U_{\mu3}[e^{-i(\phi_{2}-\phi_{3})}-1]\}$$

(6)

★ This expression for the electron survival probability is obtained from the coefficient for $|V_e\rangle$ in eqn. (3):

$$P(\mathbf{v}_{e} \to \mathbf{v}_{e}) = |\langle \mathbf{v}_{e} | \psi(L) \rangle|^{2}$$

= $|U_{e1}U_{e1}^{*}e^{-i\phi_{1}} + U_{e2}U_{e2}^{*}e^{-i\phi_{2}} + U_{e3}U_{e3}^{*}e^{-i\phi_{3}}|^{2}$

which using the unitarity relation (U1)

$$|U_{e1}U_{e1}^* + U_{e2}U_{e2}^* + U_{e3}U_{e3}^*|^2 = 1$$

can be written

$$\begin{split} P(\mathbf{v}_e \to \mathbf{v}_e) &= 1 &+ 2|U_{e1}|^2|U_{e2}|^2 \Re\{[e^{-i(\phi_1 - \phi_2)} - 1]\} \\ &+ 2|U_{e1}|^2|U_{e3}|^2 \Re\{[e^{-i(\phi_1 - \phi_3)} - 1]\} \\ &+ 2|U_{e2}|^2|U_{e3}|^2 \Re\{[e^{-i(\phi_2 - \phi_3)} - 1]\} \end{split}$$

 \star This expression can simplified using

$$\begin{aligned} \Re\{e^{-i(\phi_1 - \phi_2)} - 1\} &= \cos(\phi_2 - \phi_1) - 1 \\ &= -2\sin^2\left(\frac{\phi_2 - \phi_1}{2}\right) & \text{with} \quad \phi_i \approx \frac{m_i^2}{2E}L \\ &= -2\sin^2\left(\frac{(m_2^2 - m_1^2)L}{4E}\right) & \text{Phase of mass} \\ &= igenstate \ i \ \text{at} \ z = L \end{aligned}$$

Define:

$$\Delta_{21} = \frac{(m_2^2 - m_1^2)L}{4E} = \frac{\Delta m_{21}^2 L}{4E} \qquad \text{with} \qquad \Delta m_{21}^2 = m_2^2 - m_1^2$$

NOTE: $\Delta_{21} = (\phi_2 - \phi_1)/2$ is a phase difference (i.e. dimensionless)

which gives the electron neutrino survival probability

$$P(v_e \rightarrow v_e) = 1 - 4|U_{e1}|^2|U_{e2}|^2\sin^2\Delta_{21} - 4|U_{e1}|^2|U_{e3}|^2\sin^2\Delta_{31} - 4|U_{e2}|^2|U_{e3}|^2\sin^2\Delta_{32}$$

- Similar expressions can be obtained for the muon and tau neutrino survival probabilities for muon and tau neutrinos.
- ★ Note that since we only have three neutrino generations there are only two independent mass-squared differences, i.e.

$$m_3^2 - m_1^2 = (m_3^2 - m_2^2) + (m_2^2 - m_1^2)$$

and in the above equation only two of the Δ_{ij} are independent

★ All expressions are in Natural Units, conversion to more useful units here gives:

$$\Delta_{21} = 1.27 \frac{\Delta m_{21}^2 (\mathrm{eV}^2) L(\mathrm{km})}{E(\mathrm{GeV})} \quad \text{and} \quad \lambda_{\mathrm{osc}}(\mathrm{km}) = 2.47 \frac{E(\mathrm{GeV})}{\Delta m^2 (\mathrm{eV}^2)}$$

Why 1.27?

	Natural units	Realistic units	
Phase factors	$\exp\left(-iE_{1,2}t\right)$	$\exp\left(-i\frac{E_{1,2}}{\hbar}t\right)$	
Energies and momentum	$E_{1,2} = \sqrt{p^2 + m_{1,2}^2}$	$E_{1,2} = \sqrt{p^2 c^2 + m_{1,2}^2 c^4}$	
Energy difference	$\Delta E = \frac{\Delta m^2}{2E}$	$\Delta E = \frac{\Delta m^2 c^3}{2p} = \frac{\Delta m^2 c^4}{2E}$	
Time and distance	t = L	$t = \frac{L}{c}$	
Oscillation argument	$\frac{1}{2}\Delta Et = \frac{\Delta m^2 L}{4E}$	$\frac{1}{2}\frac{\Delta E}{\hbar}t = \frac{c^3}{\hbar} \cdot \frac{\Delta m^2 L}{4E}$	
$c = 2.998 \times 10^5 \text{ km s}^{-1}$ $\hbar = 6.582 \times 10^{-25} \text{ GeV s}$	$\frac{c^3}{4\hbar} \Rightarrow \frac{1}{4 \times 0.19}$	$\frac{1}{73} = 1.267 \approx 1.27$	
$c = 1 \implies \hbar = 6.582 \times 10^{-25} \text{ GeV} \times 2.998 \times 10^5 \text{ km}$			
$=1.973 \times 10^{-19} \text{ GeV km} = 0.1973 \text{ eV}^2 \text{ GeV}^{-1} \text{ km}$			

CP and CPT in the Weak Interaction

 \star In addition to parity there are two other important discrete symmetries:



★ The weak interaction violates parity conservation, but what about C ? Consider pion decay remembering that the neutrino is ultra-relativistic and only left-handed neutrinos and right-handed anti-neutrinos participate in WI



★ Hence weak interaction also violates charge conjugation symmetry but appears to be invariant under combined effect of C and P



★ If the weak interaction were invariant under CP expect

$$\Gamma(\pi^+ \to \mu^+ \nu_\mu) = \Gamma(\pi^- \to \mu^- \overline{\nu}_\mu)$$

★ All Lorentz invariant Quantum Field Theories can be shown to be invariant under CPT (charge conjugation + parity + time reversal)

Particles/anti-particles have identical mass, lifetime, magnetic moments,...

Best current experimental test: $m_{K^0} - m_{\overline{K}^0} < 6 \times 10^{-19} m_{K^0}$

★ Believe CPT has to hold:
 if CP invariance holds → time reversal symmetry
 if CP is violated → time reversal symmetry violated

★ To account for the small excess of matter over anti-matter that must have existed early in the universe require CP violation in particle physics !

 \star CP violation can arise in the weak interaction.

CP and T Violation in Neutrino Oscillations

• Previously derived the oscillation probability for $V_e \rightarrow V_\mu$ $P(v_e \rightarrow v_\mu) = 2\Re\{U_{e1}U_{\mu1}^*U_{e2}^*U_{\mu2}[e^{-i(\phi_1-\phi_2)}-1]\}$ $+ 2\Re\{U_{e1}U_{\mu1}^*U_{e3}^*U_{\mu3}[e^{-i(\phi_1-\phi_3)}-1]\}$ $+ 2\Re\{U_{e2}U_{\mu2}^*U_{e3}^*U_{\mu3}[e^{-i(\phi_2-\phi_3)}-1]\}$

 The oscillation probability for V_μ → V_e can be obtained in the same manner or by simply exchanging the labels (e) ↔ (μ)

$$P(\mathbf{v}_{\mu} \to \mathbf{v}_{e}) = 2\Re\{U_{\mu 1}U_{e1}^{*}U_{\mu 2}^{*}U_{e2}[e^{-i(\phi_{1}-\phi_{2})}-1]\} + 2\Re\{U_{\mu 1}U_{e1}^{*}U_{\mu 3}^{*}U_{e3}[e^{-i(\phi_{1}-\phi_{3})}-1]\} + 2\Re\{U_{\mu 2}U_{e2}^{*}U_{\mu 3}^{*}U_{e3}[e^{-i(\phi_{2}-\phi_{3})}-1]\}$$

$$(8)$$

★ Unless the elements of the PMNS matrix are real (see note below)

$$P(\mathbf{v}_e \to \mathbf{v}_\mu) \neq P(\mathbf{v}_\mu \to \mathbf{v}_e) \tag{9}$$

If any of the elements of the PMNS matrix are complex, neutrino oscillations are not invariant under time reversal $t \rightarrow -t$

<u>NOTE:</u> can multiply entire PMNS matrix by a complex phase without changing the oscillation prob. T is violated if one of the elements has a different complex phase than the others

•Consider the effects of T, CP and CPT on neutrino oscillations

If the weak interactions is invariant under CPT

$$P(v_e \to v_\mu) = P(\overline{v}_\mu \to \overline{v}_e)$$

and similarly
$$P(v_\mu \to v_e) = P(\overline{v}_e \to \overline{v}_\mu)$$
(10)
the PMNS matrix is not purely real, then (9)

• If the PMNS matrix is not purely real, then (9)

$$P(\mathbf{v}_e \to \mathbf{v}_\mu) \neq P(\mathbf{v}_\mu \to \mathbf{v}_e)$$
$$P(\mathbf{v}_e \to \mathbf{v}_\mu) \neq P(\overline{\mathbf{v}}_e \to \overline{\mathbf{v}}_\mu)$$

and from (10)

Future experiments, e.g. "a neutrino factory", are being considered as a way to investigate CP violation in neutrino oscillations. However, CP violating effects are well below the current experimental sensitivity. In the following discussion we will take the PMNS matrix to be real.

Neutrino Mass Hierarchy

★ To date, results on neutrino oscillations only determine

$$|\Delta m_{ji}^2| = |m_j^2 - m_i^2|$$

 \star Two distinct and very different mass scales:

- Atmospheric neutrino oscillations :
- Solar neutrino oscillations:

$$egin{aligned} |\Delta m^2|_{
m atmos} &\sim 2.5 imes 10^{-3}\,{
m eV^2} \ |\Delta m^2|_{
m solar} &\sim 8 imes 10^{-5}\,{
m eV^2} \end{aligned}$$

• Two possible assignments of mass hierarchy:



•Hence we can approximate $\Delta m_{31}^2 \approx \Delta m_{32}^2$

Three Flavour Oscillations Neglecting CP Violation

• Neglecting CP violation considerably simplifies the algebra of three flavour neutrino oscillations. Taking the PMNS matrix to be real, equation (6) becomes:

$$P(\mathbf{v}_{e} \to \mathbf{v}_{\mu}) = -4U_{e1}U_{\mu 1}U_{e2}U_{\mu 2}\sin^{2}\Delta_{21} - 4U_{e1}U_{\mu 1}U_{e3}U_{\mu 3}\sin^{2}\Delta_{31} - 4U_{e2}U_{\mu 2}U_{e3}U_{\mu 3}\sin^{2}\Delta_{32}$$

with $\Delta_{ji} = \frac{(m_{j}^{2} - m_{i}^{2})L}{4E} = \frac{\Delta m_{ji}^{2}L}{4E}$
Using: $\Delta_{31} \approx \Delta_{32}$

 $P(\mathbf{v}_e \to \mathbf{v}_\mu) \approx -4U_{e1}U_{\mu 1}U_{e2}U_{\mu 2}\sin^2\Delta_{21} - 4(U_{e1}U_{\mu 1} + U_{e2}U_{\mu 2})U_{e3}U_{\mu 3}\sin^2\Delta_{32}$

which can be simplified using (U4) $U_{e1}U_{\mu1}^* + U_{e2}U_{\mu2}^* + U_{e3}U_{\mu3}^* = 0$

$$P(v_e \to v_\mu) \approx -4U_{e1}U_{\mu 1}U_{e2}U_{\mu 2}\sin^2\Delta_{21} + 4U_{e3}^2U_{\mu 3}^2\sin^2\Delta_{32}$$

• Can apply $\Delta_{31} \approx \Delta_{32}$ to the expression for electron neutrino survival probability

$$P(\mathbf{v}_e \to \mathbf{v}_e) = 1 - 4U_{e1}^2 U_{e2}^2 \sin^2 \Delta_{21} - 4U_{e1}^2 U_{e3}^2 \sin^2 \Delta_{31} - 4U_{e2}^2 U_{e3}^2 \sin^2 \Delta_{32}$$

$$\approx 1 - 4U_{e1}^2 U_{e2}^2 \sin^2 \Delta_{21} - 4(U_{e1}^2 + U_{e2}^2) U_{e3}^2 \sin^2 \Delta_{32}$$

Which can be simplified using (U1) $U_{e1}^2 + U_{e2}^2 + U_{e3}^2 = 1$

$$P(v_e \to v_e) \approx 1 - 4U_{e1}^2 U_{e2}^2 \sin^2 \Delta_{21} - 4(1 - U_{e3}^2) U_{e3}^2 \sin^2 \Delta_{32}$$

★ Neglecting CP violation (i.e. taking the PMNS matrix to be real) and making the approximation that $|\Delta m_{31}^2| \approx |\Delta m_{32}^2|$ obtain the following expressions for neutrino oscillation probabilities:

$$\begin{aligned} P(\mathbf{v}_{e} \to \mathbf{v}_{e}) &\approx 1 - 4U_{e1}^{2}U_{e2}^{2}\sin^{2}\Delta_{21} - 4(1 - U_{e3}^{2})U_{e3}^{2}\sin^{2}\Delta_{32} & (11) \\ P(\mathbf{v}_{\mu} \to \mathbf{v}_{\mu}) &\approx 1 - 4U_{\mu1}^{2}U_{\mu2}^{2}\sin^{2}\Delta_{21} - 4(1 - U_{\mu3}^{2})U_{\mu3}^{2}\sin^{2}\Delta_{32} & (12) \\ P(\mathbf{v}_{\tau} \to \mathbf{v}_{\tau}) &\approx 1 - 4U_{\tau1}^{2}U_{\tau2}^{2}\sin^{2}\Delta_{21} - 4(1 - U_{\tau3}^{2})U_{\tau3}^{2}\sin^{2}\Delta_{32} & (13) \\ P(\mathbf{v}_{e} \to \mathbf{v}_{\mu}) &= P(\mathbf{v}_{\mu} \to \mathbf{v}_{e}) &\approx -4U_{e1}U_{\mu1}U_{e2}U_{\mu2}\sin^{2}\Delta_{21} + 4U_{e3}^{2}U_{\mu3}^{2}\sin^{2}\Delta_{32} & (14) \\ P(\mathbf{v}_{e} \to \mathbf{v}_{\tau}) &= P(\mathbf{v}_{\tau} \to \mathbf{v}_{e}) &\approx -4U_{e1}U_{\tau1}U_{e2}U_{\tau2}\sin^{2}\Delta_{21} + 4U_{e3}^{2}U_{\tau3}^{2}\sin^{2}\Delta_{32} & (14) \\ P(\mathbf{v}_{\mu} \to \mathbf{v}_{\tau}) &= P(\mathbf{v}_{\tau} \to \mathbf{v}_{e}) &\approx -4U_{e1}U_{\tau1}U_{e2}U_{\tau2}\sin^{2}\Delta_{21} + 4U_{e3}^{2}U_{\tau3}^{2}\sin^{2}\Delta_{32} & (15) \\ P(\mathbf{v}_{\mu} \to \mathbf{v}_{\tau}) &= P(\mathbf{v}_{\tau} \to \mathbf{v}_{\mu}) &\approx -4U_{\mu1}U_{\tau1}U_{\mu2}U_{\tau2}\sin^{2}\Delta_{21} + 4U_{\mu3}^{2}U_{\tau3}^{2}\sin^{2}\Delta_{32} & (16) \\ P(\mathbf{v}_{\mu} \to \mathbf{v}_{\tau}) &= P(\mathbf{v}_{\tau} \to \mathbf{v}_{\mu}) &\approx -4U_{\mu1}U_{\tau1}U_{\mu2}U_{\tau2}\sin^{2}\Delta_{21} + 4U_{\mu3}^{2}U_{\tau3}^{2}\sin^{2}\Delta_{32} & (16) \\ P(\mathbf{v}_{\mu} \to \mathbf{v}_{\tau}) &= P(\mathbf{v}_{\tau} \to \mathbf{v}_{\mu}) &\approx -4U_{\mu1}U_{\tau1}U_{\mu2}U_{\tau2}\sin^{2}\Delta_{21} + 4U_{\mu3}^{2}U_{\tau3}^{2}\sin^{2}\Delta_{32} & (16) \\ P(\mathbf{v}_{\mu} \to \mathbf{v}_{\tau}) &= P(\mathbf{v}_{\tau} \to \mathbf{v}_{\mu}) &\approx -4U_{\mu1}U_{\tau1}U_{\mu2}U_{\tau2}\sin^{2}\Delta_{21} + 4U_{\mu3}^{2}U_{\tau3}^{2}\sin^{2}\Delta_{32} & (16) \\ P(\mathbf{v}_{\mu} \to \mathbf{v}_{\tau}) &= P(\mathbf{v}_{\tau} \to \mathbf{v}_{\mu}) &\approx -4U_{\mu1}U_{\tau1}U_{\mu2}U_{\tau2}\sin^{2}\Delta_{21} + 4U_{\mu3}^{2}U_{\tau3}^{2}\sin^{2}\Delta_{32} & (16) \\ P(\mathbf{v}_{\mu} \to \mathbf{v}_{\tau}) &= P(\mathbf{v}_{\tau} \to \mathbf{v}_{\mu}) &\approx -4U_{\mu1}U_{\tau1}U_{\mu2}U_{\tau2}\sin^{2}\Delta_{21} + 4U_{\mu3}^{2}U_{\tau3}^{2}\sin^{2}\Delta_{32} & (16) \\ P(\mathbf{v}_{\mu} \to \mathbf{v}_{\tau}) &= P(\mathbf{v}_{\tau} \to \mathbf{v}_{\mu}) &\approx -4U_{\mu1}U_{\tau1}U_{\mu2}U_{\tau2}\sin^{2}\Delta_{21} + 4U_{\mu3}^{2}U_{\tau3}^{2}\sin^{2}\Delta_{32} & (16) \\ P(\mathbf{v}_{\mu} \to \mathbf{v}_{\mu}) &\approx -4U_{\mu1}U_{\tau1}U_{\mu2}U_{\tau2}\sin^{2}\Delta_{\mu2} & (16) \\ P(\mathbf{v}_{\mu} \to \mathbf{v}_{\mu}) &\approx -4U_{\mu1}U_{\tau1}U_{\mu2}U_{\tau2}\sin^{2}\Delta_{\mu2} & (16) \\ P(\mathbf{v}_{\mu} \to \mathbf{v}_{\mu}) &\approx -4U_{\mu1}U_{\tau1}U_{\mu2}U_{\tau2}\sin^{2}\Delta_{\mu2} & (16) \\ P(\mathbf{v}_{\mu} \to \mathbf{v}_{\mu}) &\approx -4U_{\mu1}U_{\mu2}U_{\mu2}\sin^{2}\Delta_{\mu2} & (16) \\ P(\mathbf{v}_{\mu} \to \mathbf{v}_{\mu}) &\approx -4U_{\mu1}U_{\mu1}U_{\mu2}U_{\mu2}\cos^{2}\Delta_{\mu2} & (16) \\ P(\mathbf{$$

 \star The wavelengths associated with $\sin^2 \Delta_{21}$ and $\sin^2 \Delta_{32}$ are:

"SOLAR"
$$\lambda_{21} = \frac{4\pi E}{\Delta m_{21}^2}$$
and $\lambda_{32} = \frac{4\pi E}{\Delta m_{32}^2}$ "ATMOSPHERIC""Long"-Wavelength"Short"-Wavelength

PMNS Matrix

★ The PMNS matrix is usually expressed in terms of 3 rotation angles θ_{12} , θ_{23} , θ_{13} and a complex phase δ , using the notation $s_{ij} = \sin \theta_{ij}$, $c_{ij} = \cos \theta_{ij}$

$$\begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \times \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta} & 0 & c_{13} \end{pmatrix} \times \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Dominates: "Atmospheric" "Solar"

Writing this out in full:

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

 \star There are six <u>SM parameters</u> that can be measured in v oscillation experiments

$ \Delta m_{21} ^2 = m_2^2 - m_1^2 $	θ_{12}	Solar and reactor neutrino experiments	
$ \Delta m_{32} ^2 = m_3^2 - m_2^2 $	θ_{23}	Atmospheric and beam neutrino experiments	
	θ_{13}	Reactor neutrino experiments	
	δ	Future beam experiments	

Neutrino Experiments

•Before discussing current experimental data, need to consider how neutrinos interact in matter (i.e. our detectors)

Two processes:

- Charged current (CC) interactions (via a W-boson) ⇒ charged lepton
- Neutral current (NC) interactions (via a Z-boson)

Two possible "targets": can have neutrino interactions with

- atomic electrons
- nucleons within the nucleus



Neutrino Interaction Thresholds

★ Neutrino detection method depends on the neutrino energy and (weak) flavour

•Neutrinos from the sun and nuclear reactions have $E_v \sim 1 \,\mathrm{MeV}$

•Atmospheric neutrinos have $E_v \sim 1 \, {
m GeV}$

★These energies are relatively low and not all interactions are kinematically allowed, i.e. there is a threshold energy before an interaction can occur. Require sufficient energy in the centre-of-mass frame to produce the final state particles

• Charged current interactions on atomic electrons (in laboratory frame)



•Putting in the numbers, for CC interactions with atomic electrons require

$$E_{\nu_e} > 0$$
 $E_{\nu_{\mu}} > 11 \,\text{GeV}$ $E_{\nu_{\tau}} > 3090 \,\text{GeV}$

High energy thresholds compared to typical energies considered here

Charged current interactions on nucleons (in lab. frame)



For CC interactions from neutrons require

- $E_{\nu_e} > 0$ $E_{\nu_{\mu}} > 110 \,\mathrm{MeV}$ $E_{\nu_{\tau}} > 3.5 \,\mathrm{GeV}$
- ★ Electron neutrinos from the sun and nuclear reactors $E_v \sim 1 \,\text{MeV}$ which oscillate into muon or tau neutrinos cannot interact via charged current interactions "they effectively disappear"
- ★ Atmospheric muon neutrinos $E_v \sim 1 \,\text{GeV}$ which oscillate into tau neutrinos cannot interact via charged current interactions "disappear"

To date, most experimental signatures for neutrino oscillation are a deficit of neutrino interactions (with the exception of SNO) because below threshold for produce lepton of different flavour from original neutrino

 In last lecture we derived expressions for CC neutrino-quark cross sections in ultra-relativistic limit (neglecting masses of neutrinos/quarks)

• For high energy muon neutrinos can directly use the results from page 316

• For electron neutrinos there is another lowest order diagram with the same final state



It turns out that the cross section is lower than the pure CC cross section due to negative interference when summing matrix elements $|M_{CC} + M_{NC}|^2 < |M_{CC}|^2$

$$\sigma_{v_e e} \approx 0.6 \sigma_{v_e e}^{CC}$$

In the high energy limit the CC neutrino-nucleon cross sections are larger due to the higher centre-of-mass energy: $s = (E_v + m_n)^2 - E_v^2 \approx 2m_n E_v$

Neutrino Detection

★ The detector technology/interaction process depends on type of neutrino and energy



Atmospheric/Beam Neutrinos

$$v_e, v_\mu, \overline{v}_e, \overline{v}_\mu : E_v > 1 \,\mathrm{GeV}$$

- <u>Water Čerenkov:</u> e.g. Super Kamiokande
- ❷ Iron Calorimeters: e.g. MINOS, CDHS

•Produce high energy charged lepton – relatively easy to detect

Solar Neutrinos v_e : $E_v < 20 \,\mathrm{MeV}$

- Water Čerenkov: e.g. Super Kamiokande • Detect Čerenkov light from electron produced in $v_e + e^- \rightarrow v_e + e^-$ • Because of background from natural radioactivity limited to $E_v > 5 \text{ MeV}$
 - •Because Oxygen is a doubly magic nucleus don't get $V_e + n \rightarrow e^- + p$
- Radio-Chemical: e.g. Homestake, SAGE, GALLEX
 - •Use inverse beta decay process, e.g. $v_e + {}^{71}\text{Ga} \rightarrow e^- + {}^{71}\text{Ge}$
 - •Chemically extract produced isotope and count decays (only gives a rate)

Reactor Neutrinos

 \overline{v}_e : $E_{\overline{v}} < 5 \,\mathrm{MeV}$

- Liquid Scintillator: e.g. KamLAND
 - Low energies → large radioactive background
 - Dominant interaction: $\overline{v}_e + p \rightarrow e^+ + n$
 - Prompt positron annihilation signal + delayed signal from n (space/time correlation reduces background)
 - electrons produced by photons excite scintillator which produces light



1) Long Baseline Neutrino Experiments

- Initial studies of neutrino oscillations from atmospheric and solar neutrinos
 - atmospheric neutrinos discussed in examinable appendix
- Emphasis of neutrino research now on neutrino beam experiments
- Allows the physicist to take control design experiment with specific goals
- In the last few years, long baseline neutrino oscillation experiments have started taking data: K2K, MINOS, CNGS, T2K

Basic Idea:

- ★ Intense neutrino beam
- \star Two detectors: one close to beam the other hundreds of km away
- Measure ratio of the neutrino energy spectrum in far detector (oscillated) to that in the near detector (unoscillated)
- \star Partial cancellation of systematic biases



MINOS

•120 GeV protons extracted from the MAIN INJECTOR at Fermilab

• 2.5x10¹³ protons per pulse hit target \implies very intense beam - 0.3 MW on target







Two detectors:

- ★ 1000 ton, NEAR Detector at Fermilab : 1 km from beam
- ★ 5400 ton FAR Detector, 720m underground in Soudan mine, N. Minnesota: 735 km from beam



The MINOS Detectors:

- Dealing with high energy neutrinos $E_v > 1 \,\text{GeV}$
- The muons produced by V_{μ} interactions travel several metres
- Steel-Scintillator sampling calorimeter
- Each plane: 2.54 cm steel +1 cm scintillator
- A charged particle crossing the <u>scintillator</u> produces light – detect with PMTs







Neutrino detection via CC interactions on nucleon

$$\nu_{\mu} + N \rightarrow \mu^- + X$$

Example event:



 v_{μ}

•The main feature of the MINOS detector is the very good neutrino energy resolution

 $E_{\rm v}=E_{\mu}+E_{\rm X}$

Muon energy from range/curvature in B-fieldHadronic energy from amount of light observed

X

и

MINOS Results

- For the MINOS experiment L is fixed and observe oscillations as function of E_{v}
- For $|\Delta m_{32}^2| \sim 2.5 \times 10^{-3} \,\mathrm{eV}^2$ first oscillation minimum at $E_v = 1.5 \,\mathrm{GeV}$
- To a very good approximation can use two flavour formula as oscillations corresponding to $|\Delta m_{21}^2| \sim 8 \times 10^{-5} \,\mathrm{eV}^2$ occur at $E_v = 50 \,\mathrm{MeV}$ beam contains very few neutrinos at this energy + well below detection threshold



2) Solar Neutrinos



•The Sun is powered by the weak interaction – producing a very large flux of electron neutrinos

$$2 \times 10^{38} \, v_e \, \mathrm{s}^{-1}$$



•Several different nuclear reactions in the sun ⇒ complex neutrino energy spectrum

$$\begin{array}{ccc} p+p \rightarrow d+e^{+}+v_{e} \\ \ ^{8}B \rightarrow ^{8}Be^{*}+e^{+}+v_{e} \end{array} \begin{array}{ccc} E_{v} < 0.5 \,\mathrm{MeV} \\ E_{v} \sim 5 \mathrm{MeV} \end{array} \begin{array}{ccc} p+e^{-}+p \rightarrow d+v_{e} \\ \ ^{7}Be+e^{-} \rightarrow ^{7}Li+v_{e} \\ \ ^{3}He+p \rightarrow ^{4}He+e^{+}+v_{e} \end{array}$$

 All experiments saw a deficit of electron neutrinos compared to experimental prediction – the SOLAR NEUTRINO PROBLEM

• e.g. Super Kamiokande
Solar Neutrinos I: Super Kamiokande

- 50000 ton water Čerenkov detector
- Water viewed by 11146 Photo-multiplier tubes
- Deep underground to filter out cosmic rays otherwise difficult to detect rare neutrino interactions

Mt. Ikenoyama, Japan





Cherenkov wave

In 1934 Cherenkov and Vavilov independently discovered that gamma rays from radium induce luminous emission in solutions. The light was due to the Compton electrons produced by the gamma rays.



I.M. Frank and I.E. Tamm gave the theoretical explanation in 1937.

 Detect neutrinos by observing Čerenkov radiation from charged particles which travel faster than speed of light in water c/n



 Can distinguish electrons from muons from pattern of light – muons produce clean rings whereas electrons produce more diffuse "fuzzy" rings

- Sensitive to solar neutrinos with $E_v > 5 \,\mathrm{MeV}$
- For lower energies too much background from natural radioactivity (β-decays)
- Hence detect mostly neutrinos from ${}^8B \rightarrow {}^8Be^* + e^+ + v_e$
- Detect electron Čerenkov rings from

 $v_e + e^- \rightarrow v_e + e^-$

• In LAB frame the electron is produced preferentially along the V_e direction





Results:

- Clear signal of neutrinos from the sun
- However too few neutrinos

 $DATA/SSM = 0.45 \pm 0.02$

SSM = "Standard Solar Model" Prediction

The Solar Neutrino "Problem"

Solar Neutrinos II: SNO

•<u>S</u>udbury <u>N</u>eutrino <u>O</u>bservatory located in a deep mine in Ontario, Canada



- 1000 ton heavy water (D₂O) Čerenkov detector
- D₂O inside a 12m diameter acrylic vessel
- Surrounded by 3000 tons of normal water
- Main experimental challenge is the need for very low background from radioactivity
- Ultra-pure H_2O and D_2O
- Surrounded by 9546 PMTs







★ Experimentally can determine rates for different interactions from:

- angle with respect to sun: electrons from ES point back to sun
- energy: NC events have lower energy 6.25 MeV photon from neutron capture
- radius from centre of detector: gives a measure of background from neutrons



★Using different distributions obtain a measure of numbers of events of each type:

$$\begin{array}{ll} \mathsf{CC} : 1968 \pm 61 & \propto \phi(v_e) \\ \\ \mathsf{ES} : 264 \pm 26 & \propto \phi(v_e) + 0.154[\phi(v_\mu) + \phi(v_\tau)] \\ \\ \\ \mathsf{NC} : 576 \pm 50 & \propto \phi(v_e) + \phi(v_\mu) + \phi(v_\tau) \end{array}$$

Measure of electron neutrino flux + total flux !

- ★Using known cross sections can convert observed numbers of events into fluxes
- The different processes impose different constraints
- ★ Where constraints meet gives separate measurements of V_e and $V_\mu + V_\tau$ fluxes



SNO Results:

$$\phi(v_e) = (1.8 \pm 0.1) \times 10^{-6} \,\mathrm{cm}^{-2} \,\mathrm{s}^{-1}$$

 $\phi(v_\mu) + \phi(v_\tau) = (3.4 \pm 0.6) \times 10^{-6} \,\mathrm{cm}^{-2} \,\mathrm{s}^{-1}$

SSM Prediction:

$$\phi(v_e) = 5.1 \times 10^{-6} \,\mathrm{cm}^{-2} \,\mathrm{s}^{-1}$$

- Clear evidence for a flux of V_{μ} and/or V_{τ} from the sun
- Total neutrino flux is consistent with expectation from SSM
- Clear evidence of $V_e \rightarrow V_\mu$ and/or $v_e \rightarrow v_\tau$ neutrino transitions

Interpretation of Solar Neutrino Data

★ The interpretation of the solar neutrino data is complicated by MATTER EFFECTS

- The quantitative treatment is non-trivial and is not given here
- Basic idea is that as a neutrino leaves the sun it crosses a region of high electron density
- The coherent forward scattering process $(v_e
 ightarrow v_e)$ for an electron neutrino



is different to that for a muon or tau neutrino





It can enhance oscillations – "MSW effect"

 \star A combined analysis of all solar neutrino data gives:

 $\Delta m_{\rm solar}^2 \approx 8 \times 10^{-5} \, {\rm eV}^2, \ \sin^2 2\theta_{\rm solar} \approx 0.85$

Matter effect

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When light travels through a medium, it sees a refractive index due to coherent forward scattering from the constituents of the medium.

A similar phenomenon applies to neutrino flavor states as they travel through matter. All flavor states see a common refractive index from NC forward scattering, and the electron (anti) neutrino sees an extra refractive index due to CC forward scattering in matter.



Consequence of Mikheyev-Smirnov-Wolfenstein (MSW) matter effect:



Matter-modified oscillation behavior:

$$\Delta m_{ij}^2 \pm 2\sqrt{2}G_{\rm F}N_e E$$

Fake CP-violating effect in oscillation.

Matter may matter

In travelling a distance, each neutrino flavor state develops a "matter" phase due to the refractive index. The overall NC-induced phase is trivial, while the relative CC-induced phase may change the behaviors of neutrino oscillations: matter effects — L. Wolfenstein (1978)

$$\nu_e : \exp[ipx(n_{\rm nc} + n_{\rm cc} - 1)]$$
$$\nu_\mu : \exp[ipx(n_{\rm nc} - 1)]$$
$$\nu_\tau : \exp[ipx(n_{\rm nc} - 1)]$$

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Matter effect inside the Sun can enhance the solar neutrino oscillation (S.P. Mikheyev and A.Yu. Smirnov 1985 — MSW effect); matter effect inside the Earth may cause a day-night effect. Note that matter effect in long-baseline experiments might result in fake CP-violating effects.

MSW resonance

Neutrino oscillation in matter (a 2-flavor treatment):

$$i\frac{d}{dt}\left(\begin{array}{c}\nu_{e}\\\nu_{\mu}\end{array}\right) = \left(\begin{array}{c}-\frac{\Delta m^{2}}{4E}\cos 2\theta + \sqrt{2}G_{F}N_{e} & \frac{\Delta m^{2}}{4E}\sin 2\theta\\\frac{\Delta m^{2}}{4E}\sin 2\theta & \frac{\Delta m^{2}}{4E}\cos 2\theta\end{array}\right)\left(\begin{array}{c}\nu_{e}\\\nu_{\mu}\end{array}\right)$$

$$\begin{split} P(\nu_e \to \nu_\mu)_{\rm v} &= \sin^2 2\theta \sin^2 \left(\frac{1.27\Delta m^2 L}{E}\right) \\ P(\nu_e \to \nu_\mu)_{\rm m} &= \sin^2 2\tilde{\theta} \sin^2 \left(\frac{1.27\Delta \tilde{m}^2 L}{E}\right) \\ \end{split}$$

$$\Delta \tilde{m}^{2} = \sqrt{\left(\Delta m^{2} \cos 2\theta - 2\sqrt{2} \ G_{\rm F} N_{e} E\right)^{2} + \left(\Delta m^{2} \sin 2\theta\right)^{2}}$$

$$\tan 2\tilde{\theta} = \frac{\Delta m^{2} \sin 2\theta}{\Delta m^{2} \cos 2\theta - 2\sqrt{2} \ G_{\rm F} N_{e} E}$$
resonance

$$\tilde{\theta} = 45^{\circ}$$

Solar neutrinos

R. Davis observed a solar neutrino deficit, compared with J. Bahcall's prediction for the v-flux, at the Homestake Mine in 1968.



Examples: Boron (砌) v's ~ 32%, Beryllium (敏) v's ~ 56%

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Part D

MSW solution

In the two-flavor approximation, solar neutrinos are governed by



Be-7 v's: $E \sim 0.862$ MeV. The vacuum term is dominant. The survival probability on the earth is (for theta_12 ~ 34°):

$$\begin{array}{rcl} P(\nu_e \rightarrow \nu_e) &\approx & 1-\frac{1}{2}\sin^2 2\theta_{12} \\ &\sim & 0.56 \end{array}$$

 $|N_e(0) \approx 6 \times 10^{25} \text{ cm}^{-3}|$

B-8 v's: $E \sim 6$ to 7 MeV. The matter term is dominant. The produced v is roughly v_e ~ v_2 (for V>0). The v-propagation from the center to the outer edge of the Sun is approximately adiabatic. That is why it keeps to be v_2 on the way to the surface (for theta_12 ~ 34°):

$$|\nu_2\rangle\approx\sin\theta_{12}|\nu_e\rangle+\cos\theta_{12}|\nu_\mu\rangle$$

$$P(\nu_e \rightarrow \nu_e) = |\langle \nu_e | \nu_2 \rangle|^2 = \sin^2 \theta_{12} \approx 0.32$$



3) Atmospheric Neutrinos

- High energy cosmic rays (up to 10²⁰ eV) interact in the upper part of the Earth's atmosphere
- The cosmic rays (~86% protons, 11% He Nuclei, ~1% heavier nuclei, 2% electrons) mostly interact hadronically giving showers of mesons (mainly pions)



• Neutrinos produced by:

Typical energy : $E_v \sim 1 \, GeV$

Expect
$$\frac{N(v_{\mu} + \overline{v}_{\mu})}{N(v_e + \overline{v}_e)} \approx 2$$

• Observe a lower ratio with deficit of $v_{\mu}/\overline{v}_{\mu}$ coming from below the horizon, i.e. large distance from production point on other side of the Earth

Super Kamiokande Atmospheric Results

- •Typical energy: $E_v \sim 1 \, GeV$ (much greater than solar neutrinos no confusion)
- Identify v_e and v_{μ} interactions from nature of Čerenkov rings
- Measure rate as a function of angle with respect to local vertical
- Neutrinos coming from above travel ~20 km
- Neutrinos coming from below (i.e. other side of the Earth) travel ~12800 km



- \star Prediction for v_e rate agrees with data
- \star Strong evidence for disappearance of v_{μ} for large distances
- \star Consistent with $v_{\mu} \rightarrow v_{\tau}$ oscillations
- \star Don't detect the oscillated V_{τ} as typically below interaction threshold of 3.5 GeV

Interpretation of Atmospheric Neutrino Data



3-Flavour Treatment of Atmospheric Neutrinos

★ The energies of the detected atmospheric neutrinos are of order 1 GeV ★ The wavelength of oscillations associated with $|\Delta m_{21}^2| = 8 \times 10^{-5} \text{ eV}^2$ is

 $\lambda_{21} = 31000 \,\mathrm{km}$

• If we neglect the corresponding term in the expression for $P(v_{\mu} \rightarrow v_{\tau})$ - equation (16) $\lambda_{osc}(km) = 2.47 \frac{E(GeV)}{\Delta m^2(eV^2)}$ $P(v_{\mu} \rightarrow v_{\tau}) \approx -4U_{\mu 1}U_{\tau 1}U_{\mu 2}U_{\tau 2}\sin^2\Delta_{21} + 4U_{\mu 3}^2U_{\tau 3}^2\sin^2\Delta_{32}$ $\approx 4U_{\mu 3}^2U_{\tau 3}^2\sin^2\Delta_{32}$ $= 4\sin^2\theta_{23}\cos^2\theta_{23}\cos^4\theta_{13}\sin^2\Delta_{32}$ $= \cos^4\theta_{13}\sin^22\theta_{23}\sin^2\Delta_{32}$

The Super-Kamiokande data are consistent with $V_{\mu} \rightarrow V_{\tau}$ which excludes the possibility of $\cos^4 \theta_{13}$ being small

• Hence the CHOOZ limit: $\sin^2 2\theta_{13} < 0.2$ can be interpreted as $\sin^2 \theta_{13} < 0.05$

<u>NOTE:</u> the three flavour treatment of atmospheric neutrinos is discussed below. The oscillation parameters in nature conspire in such a manner that the two flavour treatment provides a good approximation of the observable effects of atmospheric neutrino oscillations

4) Reactor Experiments

•To explain reactor neutrino experiments we need the full three neutrino expression for the electron neutrino survival probability (11) which depends on U_{e1}, U_{e2}, U_{e3}

•Substituting these PMNS matrix elements in Equation (11):

$$P(\mathbf{v}_{e} \rightarrow \mathbf{v}_{e}) \approx 1 - 4U_{e1}^{2}U_{e2}^{2}\sin^{2}\Delta_{21} - 4(1 - U_{e3}^{2})U_{e3}^{2}\sin^{2}\Delta_{32}$$

$$= 1 - 4(c_{12}c_{13})^{2}(s_{12}c_{13})^{2}\sin^{2}\Delta_{21} - 4(1 - s_{13}^{2})s_{13}^{2}\sin^{2}\Delta_{32}$$

$$= 1 - c_{13}^{4}(2s_{12}c_{12})^{2}\sin^{2}\Delta_{21} - (2c_{13}s_{13})^{2}\sin^{2}\Delta_{32}$$

$$= 1 - \cos^{4}\theta_{13}\sin^{2}2\theta_{12}\sin^{2}\Delta_{21} - \sin^{2}2\theta_{13}\sin^{2}\Delta_{32}$$

Contributions with short wavelength (atmospheric) and long wavelength (solar)
For a 1 MeV neutrino

$$\lambda_{\rm osc}(\rm km) = 2.47 \frac{E(GeV)}{\Delta m^2 (eV^2)}$$
$$\implies \lambda_{21} = 30.0 \,\rm km$$
$$\lambda_{32} = 0.8 \,\rm km$$

 Amplitude of short wavelength oscillations given by

$$\sin^2 2\theta_{13}$$



Reactor Experiments I : CHOOZ

- •Two nuclear reactors, each producing 4.2 GW
- Place detector 1km from reactor cores
- Reactors produce intense flux of \overline{v}_{e}



150m underground



- Anti-neutrinos interact via inverse beta decay $\overline{\nu}_e + p \rightarrow e^+ + n$
- Detector is liquid scintillator loaded with Gadolinium (large n capture cross section)
- Detect photons from positron annihilation and a delayed signal from photons from neutron capture on Gadolinium

$$e^+ + e^-
ightarrow \gamma + \gamma \ n + \mathrm{Gd}
ightarrow \mathrm{Gd}^*
ightarrow \mathrm{Gd} + \gamma + \gamma + ...$$



Reactor Experiments II : KamLAND



•Detector located in same mine as Super Kamiokande



• 70 GW from nuclear power (7% of World total) from reactors within 130-240 km

 $n + p \rightarrow d + \gamma (2.2 \,\mathrm{MeV})$

- Liquid scintillator detector, 1789 PMTs
- Detection via inverse beta decay: $v_e + p \rightarrow e^+ + n$ Followed by $e^+ + e^- \rightarrow \gamma + \gamma$

prompt delayed

Reactor antineutrinos



- For MeV neutrinos at a distance of 130-240 km oscillations due to Δm_{32}^2 are very rapid
- Experimentally, only see average effect

$$\langle \sin^2 \Delta_{32} \rangle = 0.5$$



★ Here:

$$\begin{split} P(v_e \rightarrow v_e) &= 1 - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21} - \sin^2 2\theta_{13} \sin^2 \Delta_{32} \\ &\approx 1 - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21} - \frac{1}{2} \sin^2 2\theta_{13} \end{split} \qquad \begin{array}{l} \text{Averaging over} \\ \text{apid oscillations} \\ &= \cos^4 \theta_{13} + \sin^4 \theta_{13} - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21} \\ &\approx \cos^4 \theta_{13} (1 - \sin^2 2\theta_{12} \sin^2 \Delta_{21}) \end{aligned}$$

- Obtain two-flavour oscillation formula multiplied by $\cos^4 \theta_{13}$
- From CHOOZ $\cos^4 \theta_{13} > 0.9$

KamLAND RESULTS:

Observe: 1609 events Expect: 2179±89 events (if no oscillations)



★Clear evidence of electron anti-neutrino oscillations consistent with the results from solar neutrinos

- ★Oscillatory structure clearly visible
- Compare data with expectations for different osc. parameters and perform χ² fit to extract measurment

Combined Solar Neutrino and KamLAND Results

- ★ KamLAND data provides strong constraints on $|\Delta m_{21}^2|$
- \star Solar neutrino data (especially SNO) provides a strong constraint on $heta_{12}$



Hunting for θ_{13}



	Thermal power	Baseline	Detector mass		
Setup	$P_{\mathrm{Th}} (\mathrm{GW})$	<i>L</i> (m)	$m_{\rm Det}$ (t)	Events/year	Backgrounds/day
Daya Bay [20]	17.4	1700	80	10×10^4	0.4
Double CHOOZ [21]	8.6	1050	8.3	1.5×10^{4}	3.6
RENO [22]	16.4	1400	15.4	3×10^4	2.6

Daya Bay in 2012







D. Dwyer (Neutrino 2012) Rate Analysis

Estimate θ_{13} using measured rates in each detector.



Final Words: Neutrino Masses

- Neutrino oscillations require non-zero neutrino masses
- But only determine mass-squared differences not the masses themselves
- No direct measure of neutrino mass only mass limits:

 $m_v(e) < 2 \,\mathrm{eV}; \quad m_v(\mu) < 0.17 \,\mathrm{MeV}; \quad m_v(\tau) < 18.2 \,\mathrm{MeV}$ Note the e, μ, τ refer to charged lepton flavour in the experiment, e.g. $m_v(e) < 2 \,\mathrm{eV}$ refers to the limit from tritium beta-decay

Also from cosmological evolution infer that the sum



★ 10 years ago – assumed massless neutrinos + hints that neutrinos might oscillate !

- \star Now, know a great deal about massive neutrinos
- ★ But many unknowns: CP phase, mass hierarchy, absolute values of neutrino masses
- ★ Measurements of these SM parameters is the focus of the next generation of expts.

3-flavor global fit

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M. Gonzalez-Garcia, M. Maltoni, T. Schwetz, e-Print: arXiv:1409.5439

	Normal Ordering $(\Delta \chi^2 = 0.97)$		Inverted Ordering (best fit)		Any Ordering	
	bfp $\pm 1\sigma$	3σ range	bfp $\pm 1\sigma$	3σ range	3σ range	
	$0.304^{+0.013}_{-0.012}$	$0.270 \rightarrow 0.344$	$0.304^{+0.013}_{-0.012}$	$0.270 \rightarrow 0.344$	$0.270 \rightarrow 0.344$	
$\theta_{12}/^{\circ}$	$33.48^{+0.78}_{-0.75}$	$31.29 \rightarrow 35.91$	$33.48^{+0.78}_{-0.75}$	$31.29 \rightarrow 35.91$	$31.29 \rightarrow 35.91$	
$\sin^2 \theta_{23}$	$0.452_{-0.028}^{+0.052}$	$0.382 \rightarrow 0.643$	$0.579_{-0.037}^{+0.025}$	$0.389 \rightarrow 0.644$	$0.385 \rightarrow 0.644$	
$ heta_{23}/^{\circ}$	$42.3^{+3.0}_{-1.6}$	$38.2 \rightarrow 53.3$	$49.5^{+1.5}_{-2.2}$	$38.6 \rightarrow 53.3$	$38.3 \rightarrow 53.3$	
$\sin^2 \theta_{13}$	$0.0218^{+0.0010}_{-0.0010}$	$0.0186 \rightarrow 0.0250$	$0.0219^{+0.0011}_{-0.0010}$	$0.0188 \rightarrow 0.0251$	$0.0188 \rightarrow 0.0251$	
$ heta_{13}/^{\circ}$	$8.50^{+0.20}_{-0.21}$	$7.85 \rightarrow 9.10$	$8.51^{+0.20}_{-0.21}$	$7.87 \rightarrow 9.11$	$7.87 \rightarrow 9.11$	
$\delta_{\rm CP}/^{\circ}$	306^{+39}_{-70}	$0 \rightarrow 360$	254^{+63}_{-62}	$0 \rightarrow 360$	$0 \rightarrow 360$	
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.50^{+0.19}_{-0.17}$	$7.02 \rightarrow 8.09$	$7.50^{+0.19}_{-0.17}$	$7.02 \rightarrow 8.09$	$7.02 \rightarrow 8.09$	
$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.457^{+0.047}_{-0.047}$	$+2.317 \rightarrow +2.607$	$-2.449^{+0.048}_{-0.047}$	$-2.590 \rightarrow -2.307$	$ \begin{bmatrix} +2.325 \to +2.599 \\ -2.590 \to -2.307 \end{bmatrix} $	
Quark mixing: $\theta_{12} \simeq 13^{\circ}$, $\theta_{23} \simeq 2^{\circ}$, $\theta_{13} \simeq 0.2^{\circ}$, $\delta \simeq 65^{\circ}$						
Lepton mixing: $\theta_{12} \simeq 33^\circ$, $\theta_{23} \sim 45^\circ$, $\theta_{13} \simeq 8.5^\circ$, $\delta \sim 270^\circ$						

Mass ordering experiments 47

Accelerator (T2K) or atmospheric (INO/PINGU) experiments

 $\Delta m_{31}^2 + 2\sqrt{2}G_F N_e E$ with the help of matter effects

Reactor (JUNO): Optimum baseline at the minimum of Δm_{21}^2 oscillations, corrected by fine structure of Δm_{31}^2 oscillations.



Part D

JUNO in progress

	Daya Bay	Yangjiang	Taishan
Status	running	construction	construction
Power/GW	17.4	17.4	18.4



SM + neutrinos are left with CP-violating phases



Real + Hypothetical v's

