

# 表面等离激元导论

## Introduction to plasmonics

研究：金属和介质表面以及纳米金属颗粒的光学性质。

解读：Surface plasmon polariton (SPP)

Surface: 金属和介质的界面

Plasmon: 金属界面自由电子的集体振荡，  
借用等离子的概念

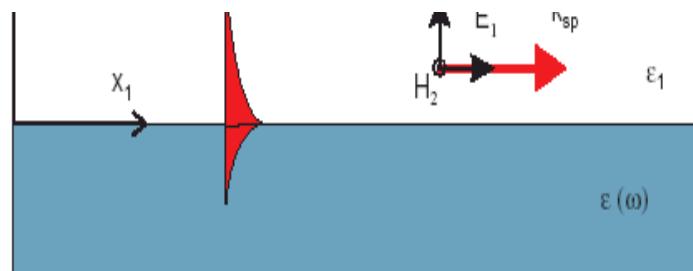
Polariton: 模式

属于：Mesoscopic optics, nano optics,  
nano photonics, near field optics

# Difference between surface plasmon (SP) and surface plasmon polaritons (SPP)

"a trapped surface mode which has electromagnetic fields decaying into both media but which, tied to the oscillatory surface charge density, propagates along the interface"

*R.J. Sambles 1991*



"we are dealing with a resonant excitation of a coupled state between the plasma oscillations and the photons, i.e., the plasmon surface polariton"

*W. Knoll 1991*

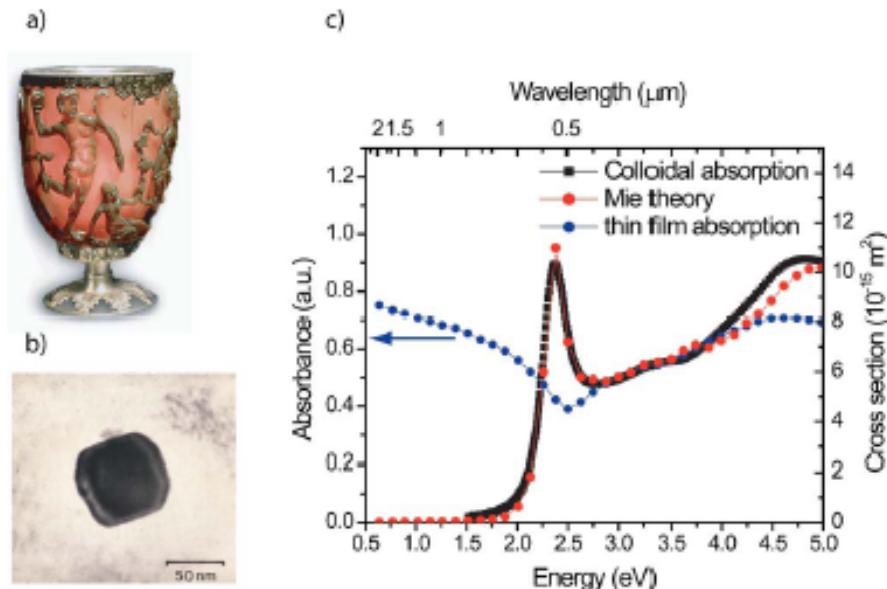


Fig. 1(a) by example of the Lycurgus cup (Byzantine empire, 4th century A. D.). The glass cup, on display in the British Museum, shows a striking red color when viewed in transmitted light, while appearing green in reflection. This peculiar behavior is due to small Au nanoparticles embedded in the glass [Fig. 1(b)], which show a strong optical absorption of light in the green part of the visible spectrum [Fig. 1(c)].

# 五个部分：

第一章 SPP的发展简史

第二章 SPP的基本概念及物理

第三章 介观光学的理论方法

第四章 SPP的科学前沿和应用

第五章 SPP和量子体系的交叉研究

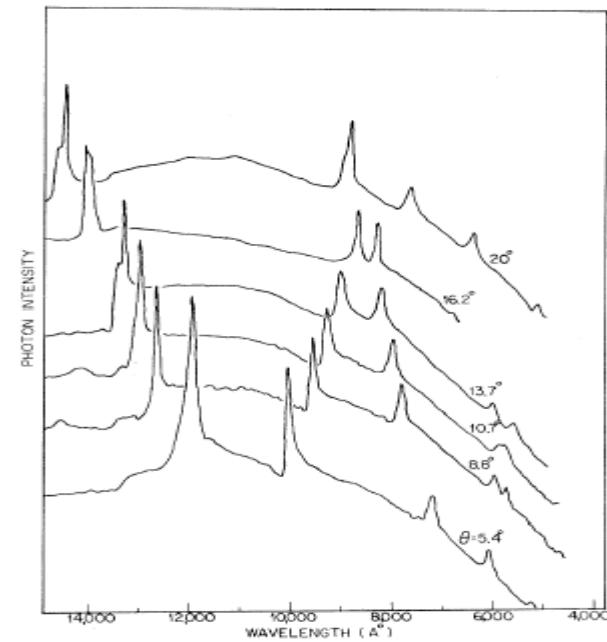
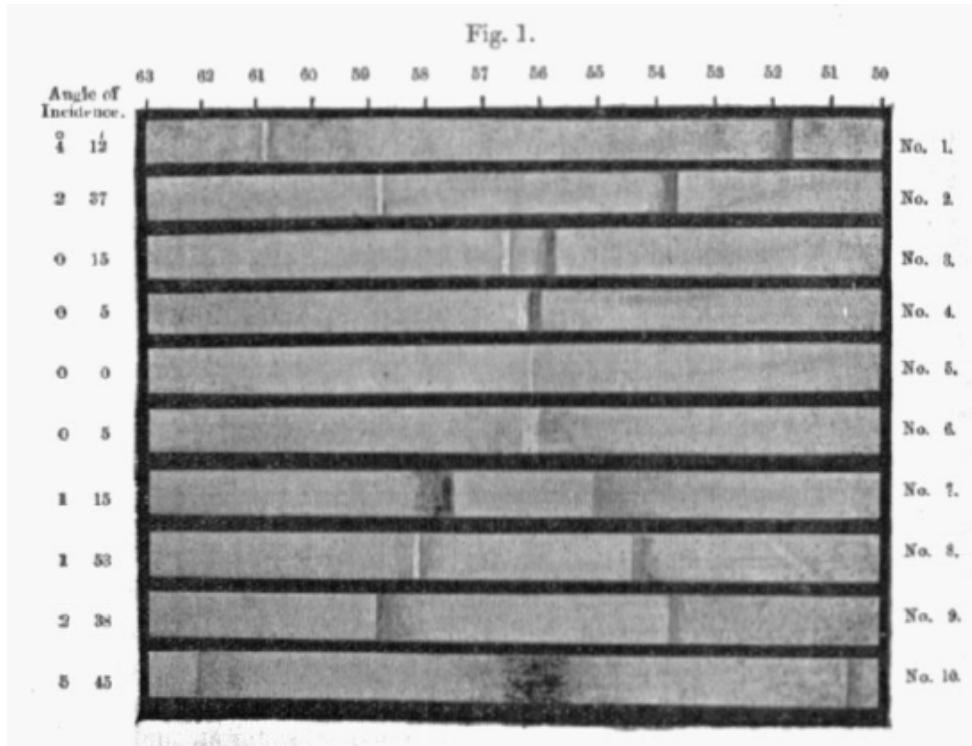
参考文献

纳米结构制备及实验光路等略讲

作业： report (三到五页)

# 第一章 SPP的发展简史

## Wood's anomalies, 1902



On a Remarkable Case of Uneven Distribution of Light in a Diffraction Grating Spectrum

R W Wood 1902 *Proc. Phys. Soc. London* 18 269

SURFACE-PLASMON RESONANCE EFFECT IN GRATING DIFFRACTION

RITCHIE RH, ARAKAWA ET, COWAN JJ, et al (1968) *PRL*, 21, 1530.

## Mie theory , 1908

Exact solution of **sphere**, spherical symmetry structure  
Absorption, scattering, and extinction

## Zenneck (1907) & Sommerfeld (1909)

Demonstrated (theoretically) that radio frequency surface EM waves occur at the boundary of two media when one medium is either a "lossy" dielectric, or a metal, and the other is a loss-free medium.

They also suggested that it is the "lossy" (imaginary) part of the dielectric function that is responsible for binding the EM wave to the interface.

## **Fano (1939)**

Suggested that surface EM waves were responsible for the striking anomalies in the continuous source diffraction spectra of metallic gratings - **'Wood's Anomalies'**

According to Fano : Surface EM wave, at metal-air interfaces, are evanescent waves whose wave vectors are greater than those of the incident and diffracted bulk EM waves, and that the grating augments the wave vector of the incident EM waves enabling them to couple with the surface EM waves.

Fano suggested:

1. surface EM waves at lossy-dielectric-air interfaces at radio frequencies (Zenneck-Sommerfeld waves)
2. surface EM waves at metal-air interfaces in the optical region (Fano modes)
3. loss-free dielectric/air interfaces (Brewster angle EM waves)

"represent for media of different electrical properties the same singular case defined by the same mathematical equation."

## **Ritchie (1957)**

Demonstrated theoretically the existence of Surface plasma excitations (surface plasmons) at a metal surface.

## **Stern (1958)**

Showed (theoretically) that surface EM waves at a metallic surface involved EM radiation coupled to surface plasmons.

Derived, for the first time, the dispersion relations for surface EM waves at metal surfaces.

## **Powell & Swan (1960)**

Observed the excitation of **surface plasmons** at metal interfaces using electrons.

## **Otto (1968)**

Devised the ATR (prism coupling) method for the coupling of bulk EM waves (optical) to surface EM waves.

## **Kretschmann (1971)**

Modified the Otto geometry is now the most widely used device geometry.

## **Knoll (1989)**

Introduced the technique of Surface Plasmon Microscopy

# Extraordinary optical transmission

## T.W.Ebbesen group , 1998

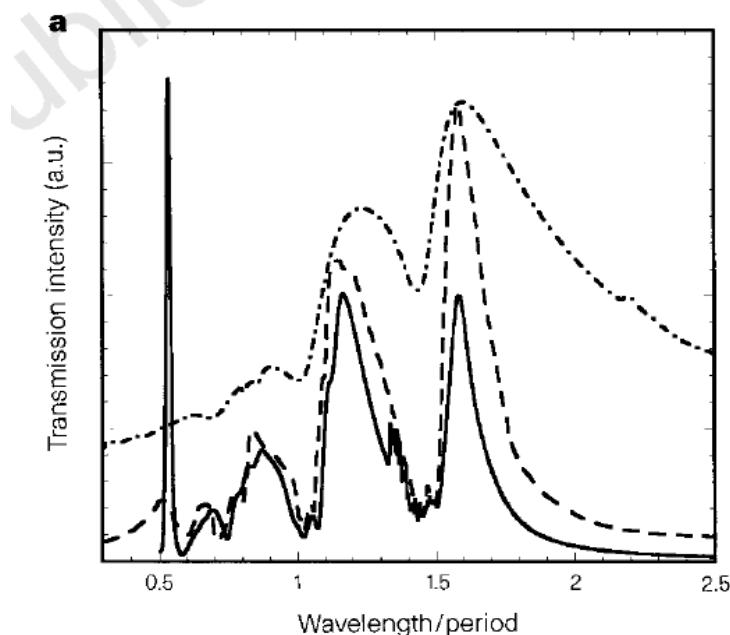
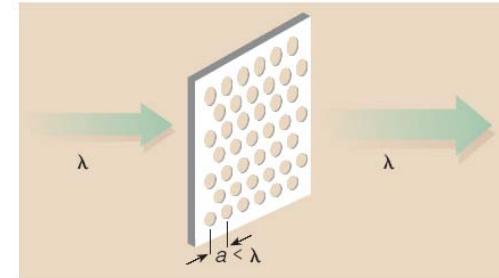
Start point of SPP

Bottleneck: low light transmittivity of apertures smaller than the wavelength of incident photon

Hole arrays in silver film:  
metal film thickness  $t$   
Periodicity of holes  $a_0$   
Scale of holes  $d$

Results:  
Extraordinary transmission  
Maximum at  $\lambda/d \sim 10$   
Influence of  $t$  (in APL)

Explanation:  
Coupling of light and plasmons



# Beaming light from a bull's eyes structure

## T.W.Ebbesen group , 2002

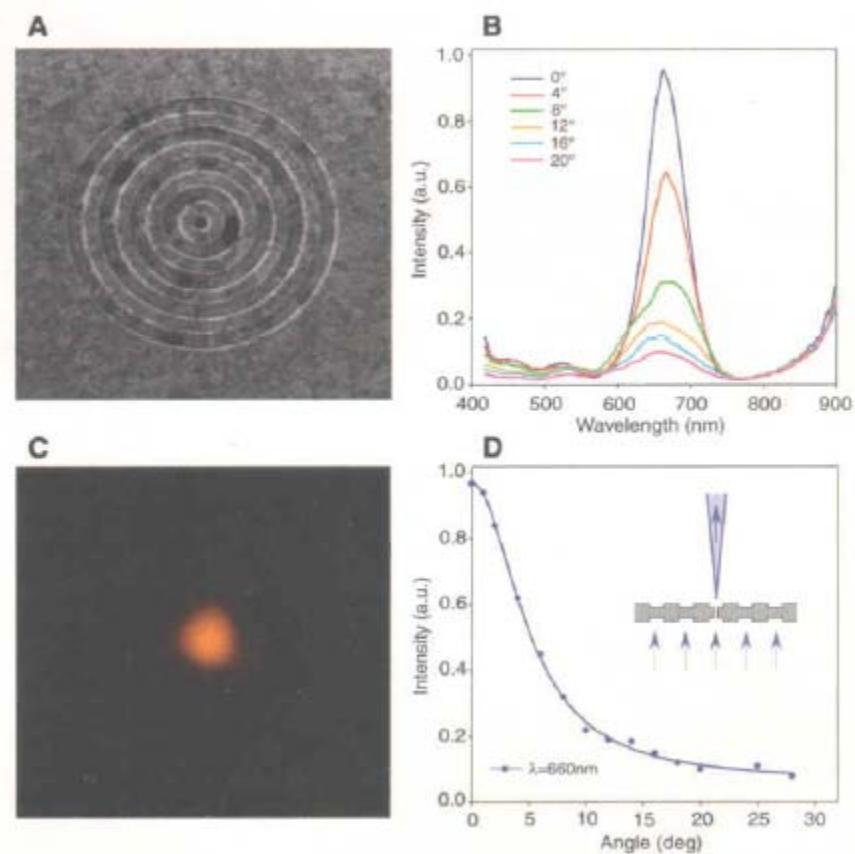
Progress work

To solve: light diffracts in all directions when an aperture is small.

Bull's eye of Ag film:  
thickness 300nm  
Groove periodicity 500nm  
And depth 60nm  
Hole diamter 250nm

Results:  
Beaming light

Explanation:  
Coupling of light and plasmons



# Plasmon-assisted transmission of entangled photons

## E. Altwiescher et al, 2002

Application work

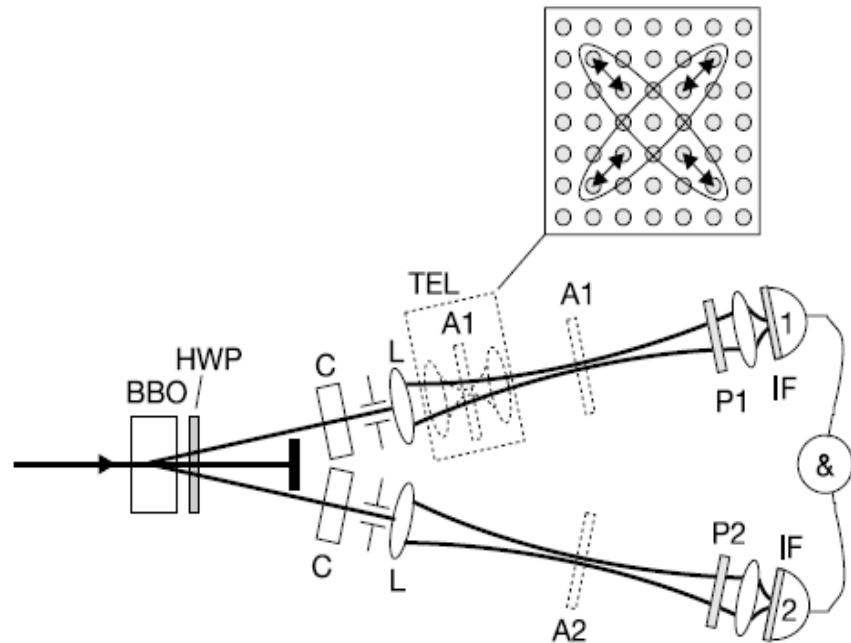
Aim to: Investigate the effects of nanostructured metal on entangled photons.

### Results:

Such arrays convert photons into surface-plasmon —**optically excited compressive charge density waves** — which tunnel through the holes before reradiating as photons.

### Explanation:

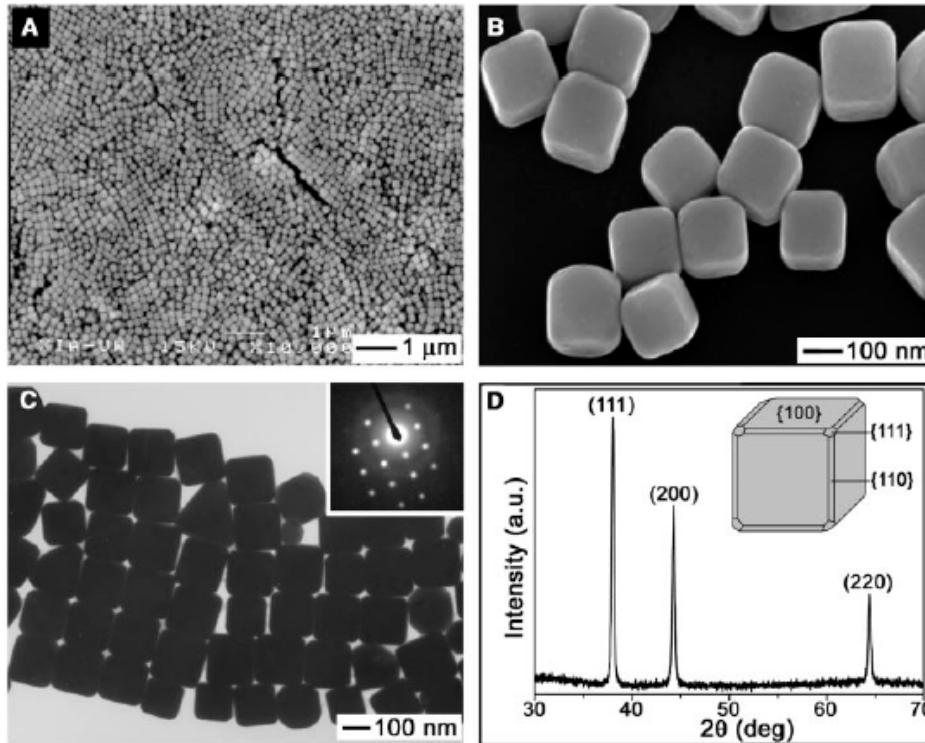
Conversion between photons and plasmons, quantum feature of SPP



# Synthesis of Ag and Au nanocubes

## Xia YN et al, 2002

Progress work



### Significance:

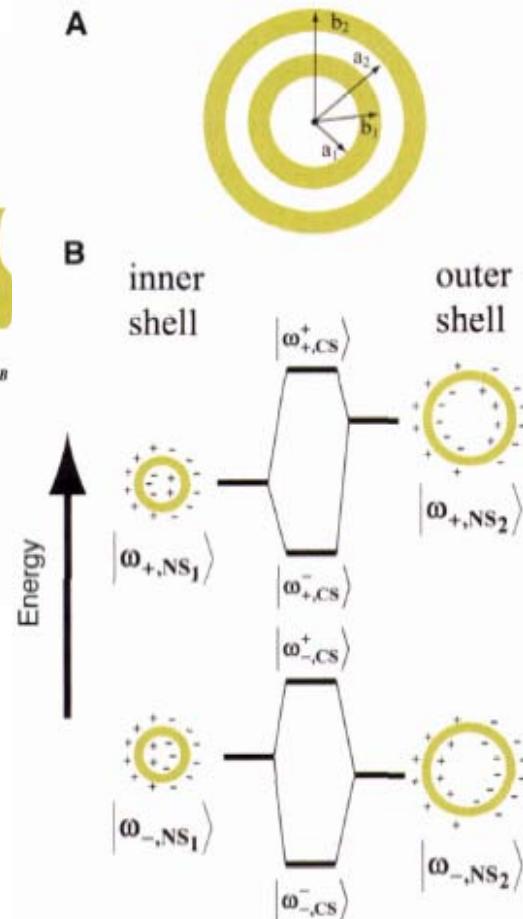
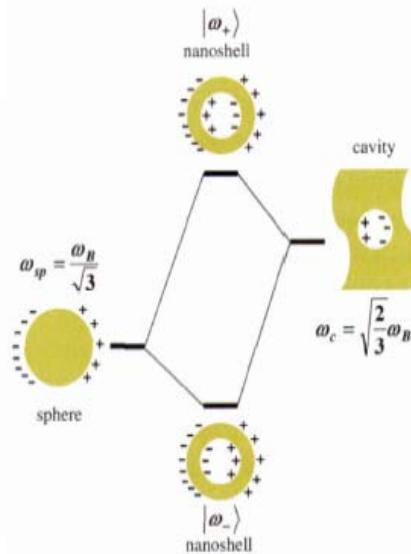
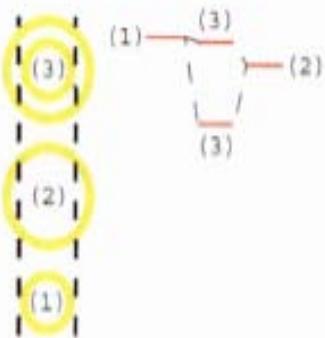
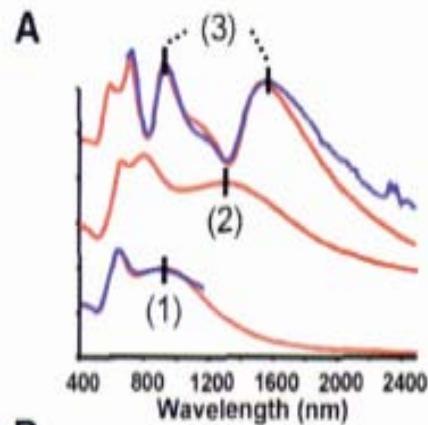
Controlling the size, shape, and structure of metal nanoparticles is technologically important to tailor the plasmonic properties.

# A hybridization model for plasmon response

## N J Halas et al, 2003

Progress work

To solve: surface plasmon resonances in nanoshells



A hybridization of sphere and cavity  
A hybridization of inner and outer shells

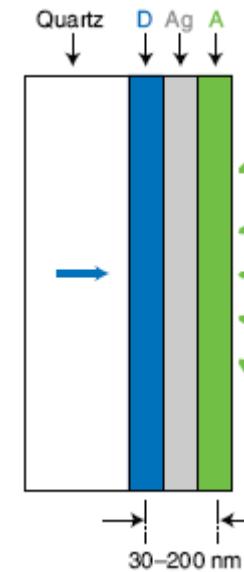
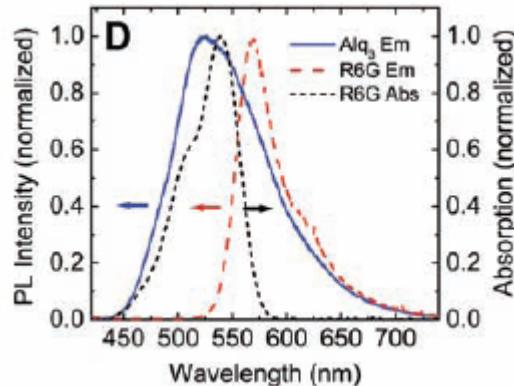
Results:  
Several response peaks

# Forster Energy Transfer Across a Metal Film

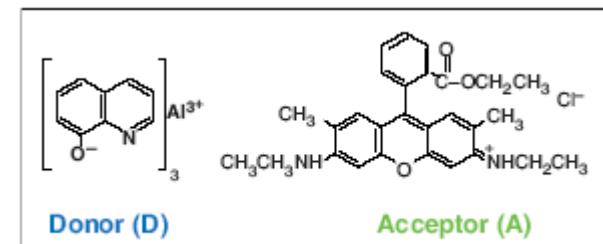
## W. L. Barnes et al, 2004

Application work  
Molecular plasmonics

Aim to: realize the Forster energy transfer between donor and acceptor across silver film



**Significance:**  
toward the realization of an active plasmonic device by combining thin polymer films with thin silver films



# Optical Imaging below the Diffraction Limit

X. Zhang et al, 2005

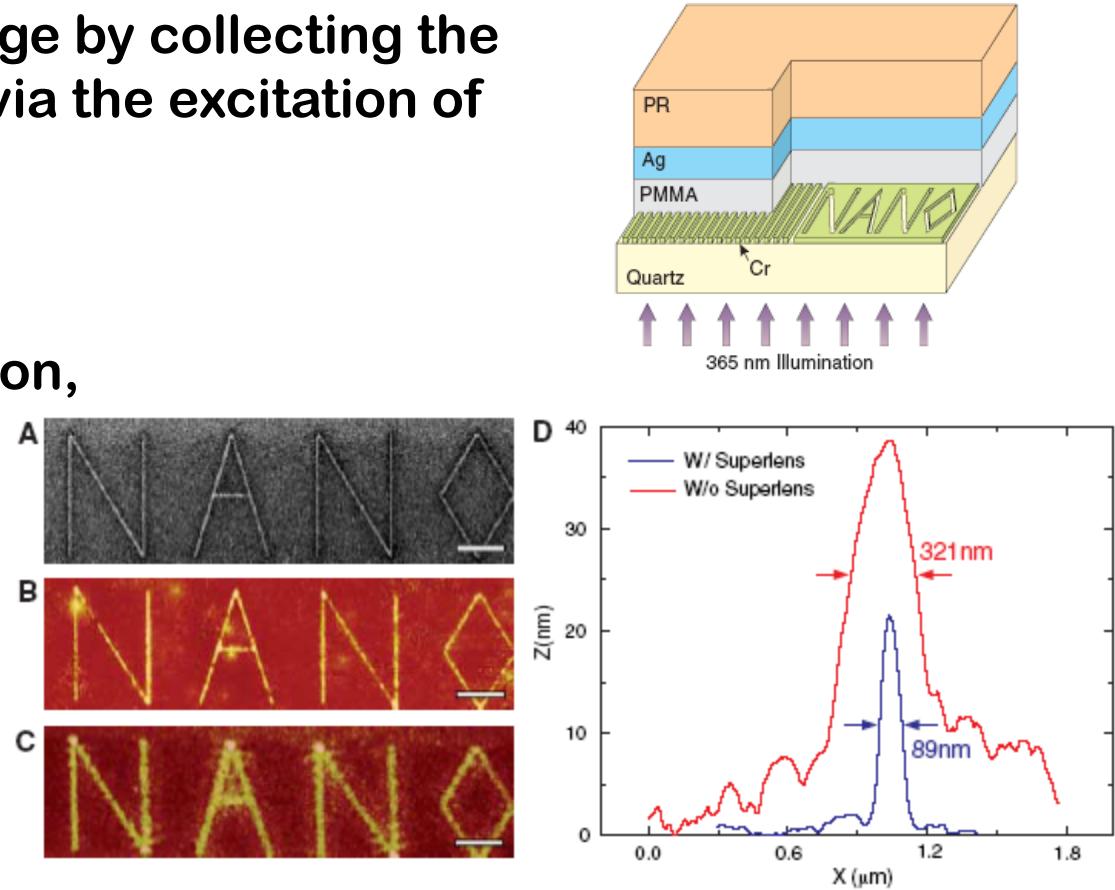
Progress work

Aim to: realize the image by collecting the  
of evanescent waves via the excitation of  
surface plasmons

## Results:

60-nanometer resolution,  
Or 1/6 of wavelength.

Significance:  
breakthrough the  
diffraction limit

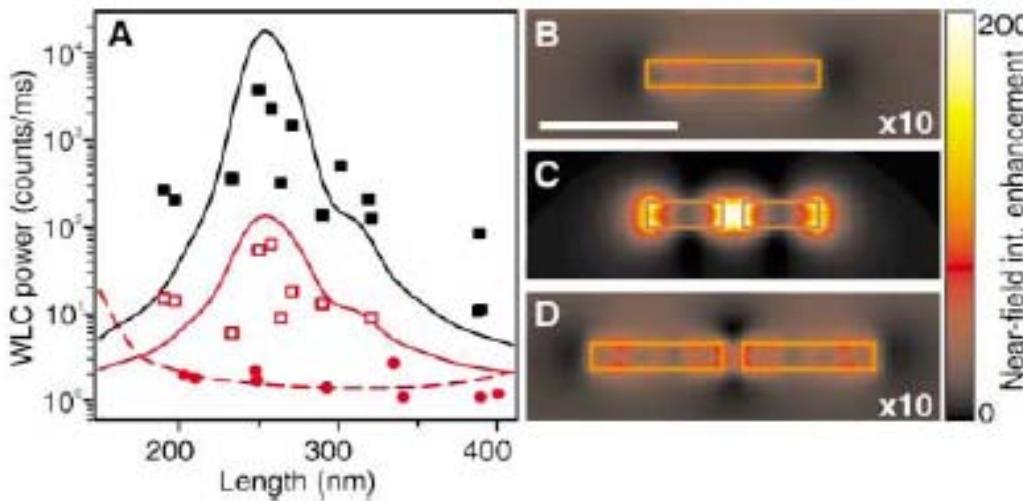


# Resonant Optical Antennas

## O.J.F. Martin et al, 2005

Progress work

Aim to: The antenna length at resonance is considerably shorter than one-half the wavelength of the incident light



Results:

Fields localized in the gap and White light emission

Parameters:

Incident light: 830 nm  
Size: 255\*40\*45 nm<sup>3</sup>  
Gap: 30 nm

Significance:

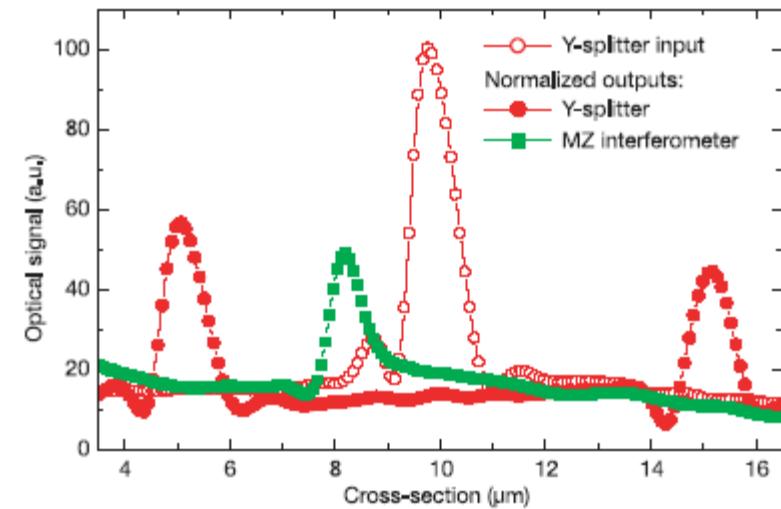
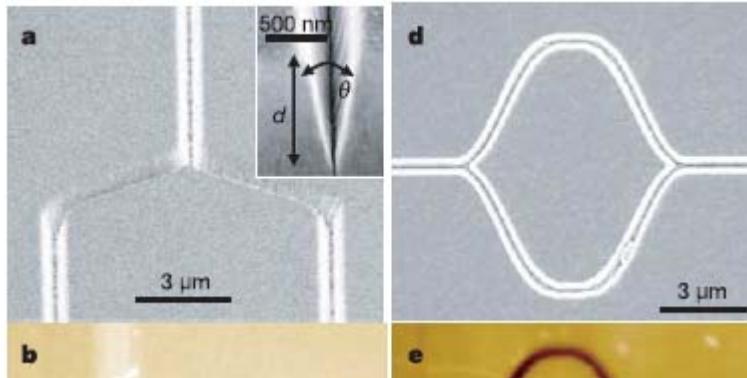
Realization of optical nanoantenna

# Channel SPP waveguide components including interferometers and ring resonators

T. W. Ebbesen et al, 2006

Application work

challenge to: the miniaturization and high-density integration of optical circuits at telecom. Wavelength



## Results:

Grooves in silver, strong light confinement, low propagation loss

## Significance:

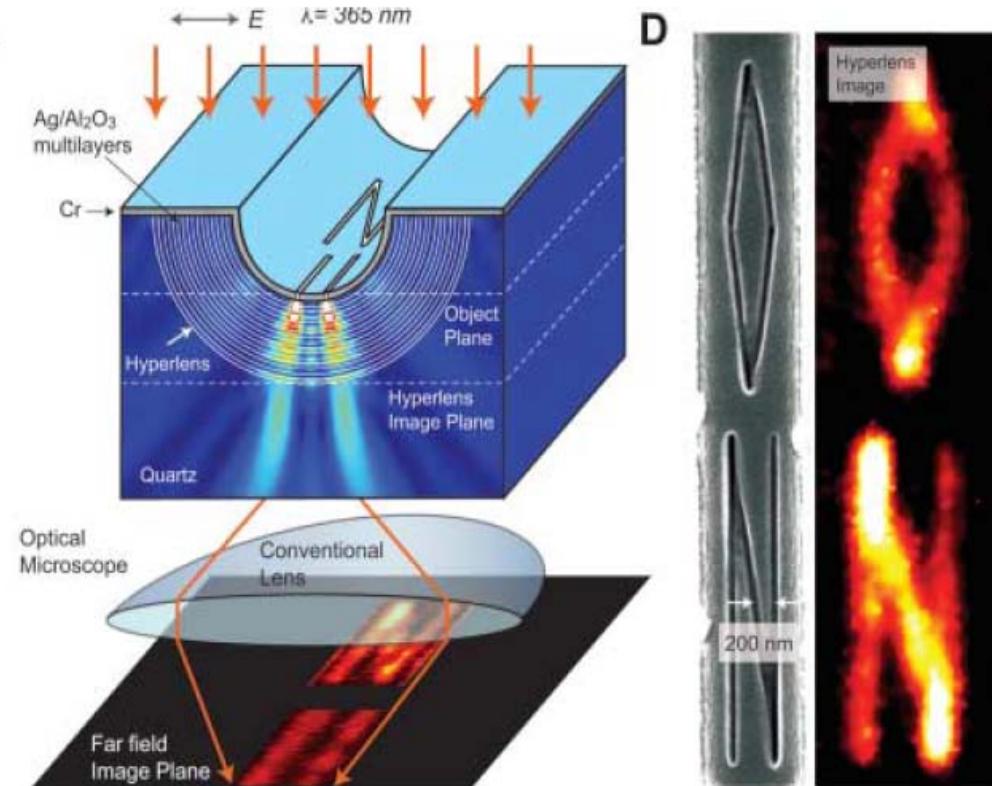
nano devices based Channel SPP

# Hyperlens Magnifying Sub-Diffraction-Limited Objects

X. Zhang et al, 2005

Progress work

Aim to: realize the image magnification by collecting far field



Parameters:

Ag and Al<sub>2</sub>O<sub>3</sub> layers: 35 nm  
Object: 40 nm

Results:

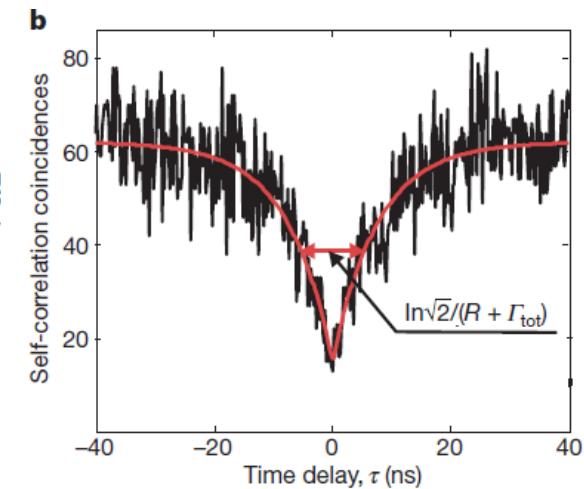
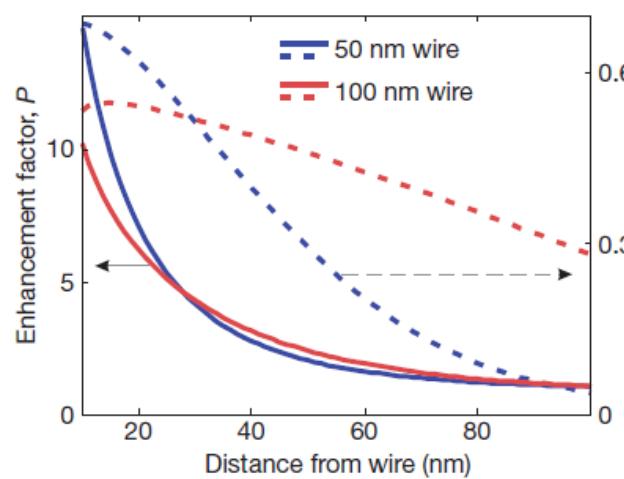
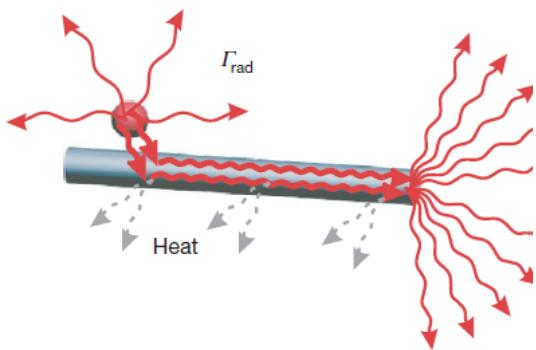
By transforming evanescent waves into propagating waves, projecting a high-resolution image into the far field.

# Generation of single optical plasmons in metallic nanowires coupled to quantum dots

M. D. Lukin et al, 2007

Crossing work

Aim to: efficient coupling between quantum dots and SPP, single photon switch and transistor, long range quantum bits.



## Results:

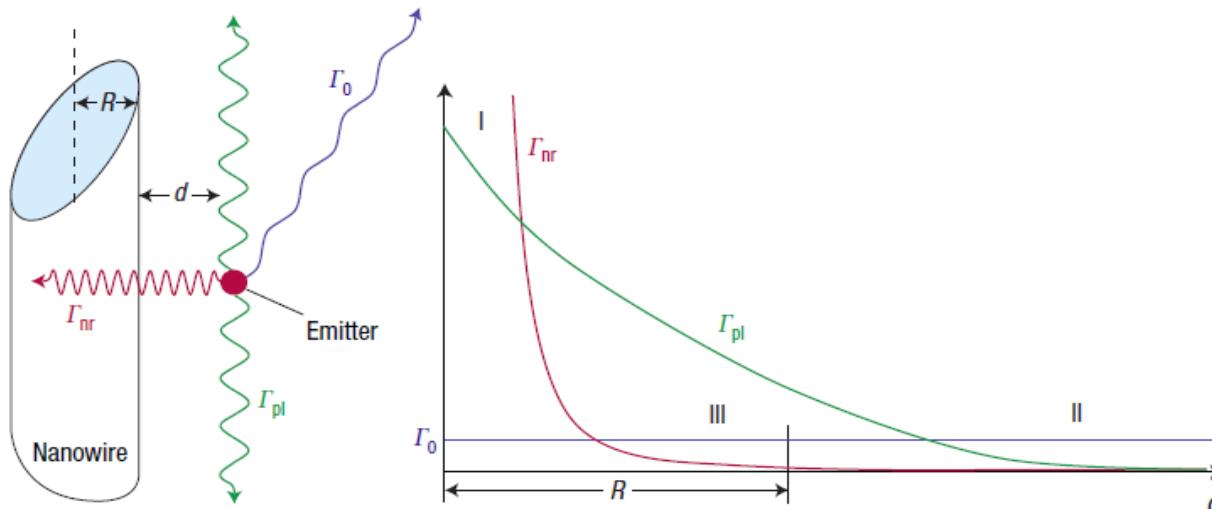
Realizing single quanta of surface plasmon.

# Quantum light switch: A single-photon transistor using nanoscale surface plasmons

M. D. Lukin et al, 2007

Crossing work

在未来的计算机中光子能够代替电子吗？光子回路体积小，易于集成，损耗小，传的快，但是光子间没有相互作用，实现量子操控比较困难。光子与表面等离激元间的交换弥补了这一不足，量子发射体和表面等离激元强耦合的单光子晶体管，实现了单光子间的强相互作用，可在单光子探测，纠缠，可控相位门，量子的非线性效应方面有应用。

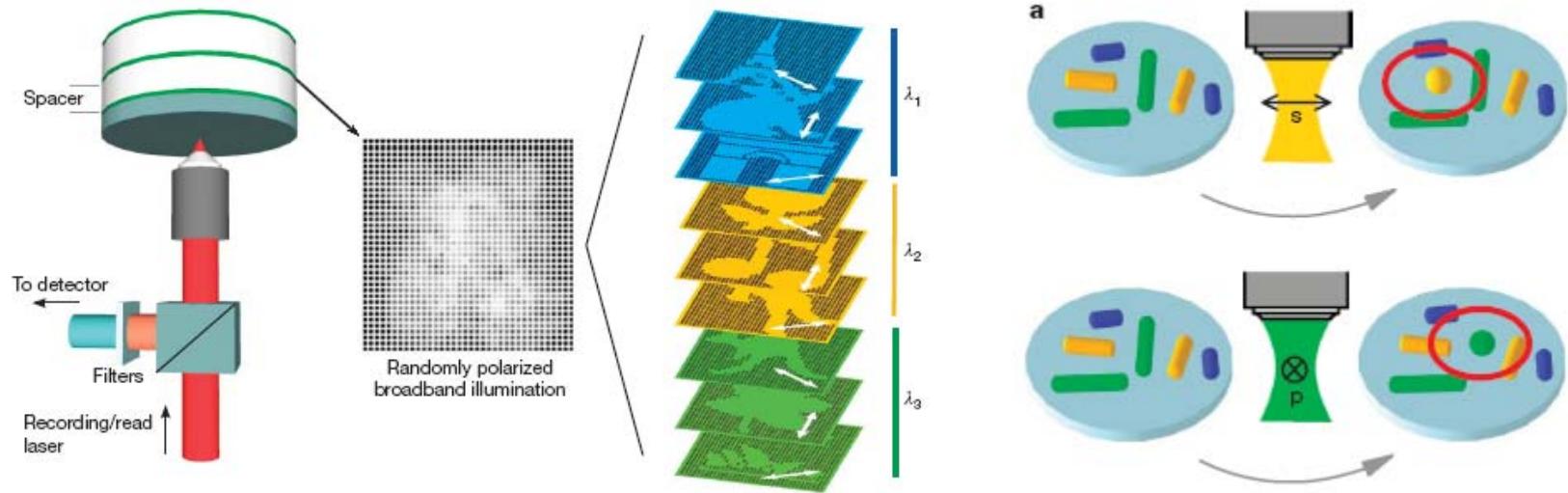


# Optical recording by SPR of gold nanorods

M. Gu et al, 2009

Application work

Aim to: minimize the recording storage by multiplexing:  
wavelength, polarization, and spatial dimensions



Results:

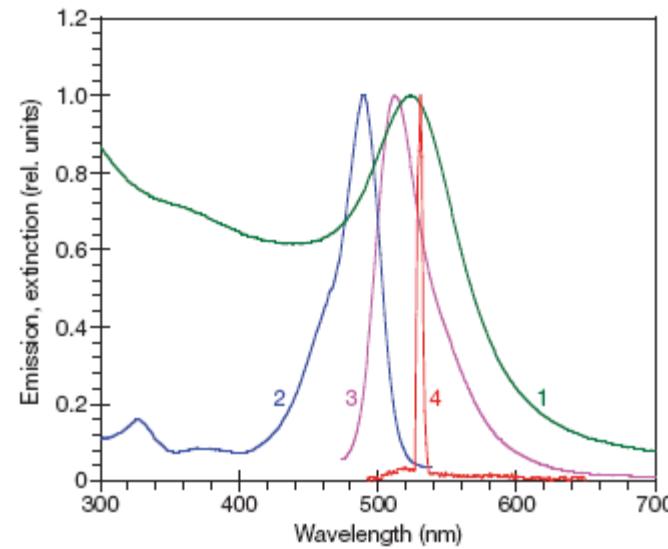
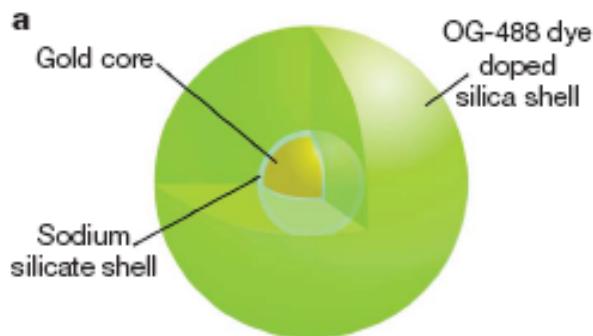
realizing five-dimensional data recording by SPR

# Core-shell nanostructure spaser

M. A. Noginov et al, 2009

Progress work

**Aim to:** realize a ‘spaser’ generating stimulated emission of surface plasmons in resonating metallic nanostructures adjacent to a gain medium.



## Parameters:

gold core : 14 nm

Shell: 44 nm

Wavelength: 525 nm

## Significance:

the smallest nanolaser

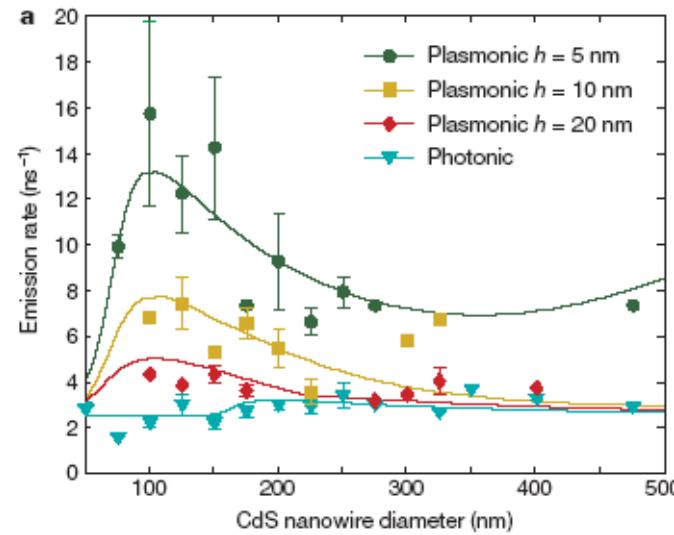
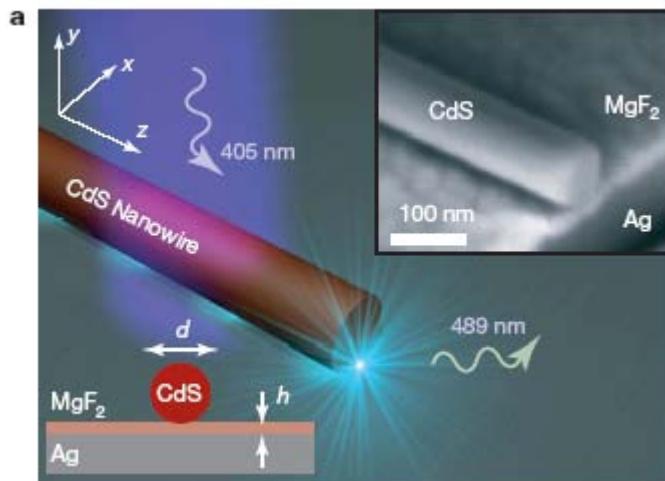
the first operating at visible wavelengths.

# Nano-spaser based on hybrid waveguide

## X. Zhang et al, 2009

Progress work

**Challenge to:** realize ultracompact lasers generating coherent optical fields at a nanoscale, far beyond the diffraction limit



## Significance:

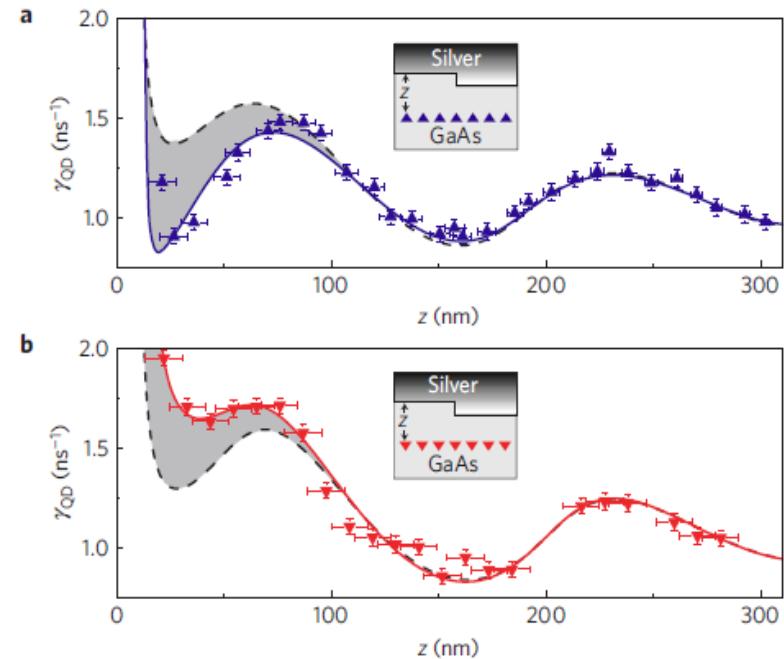
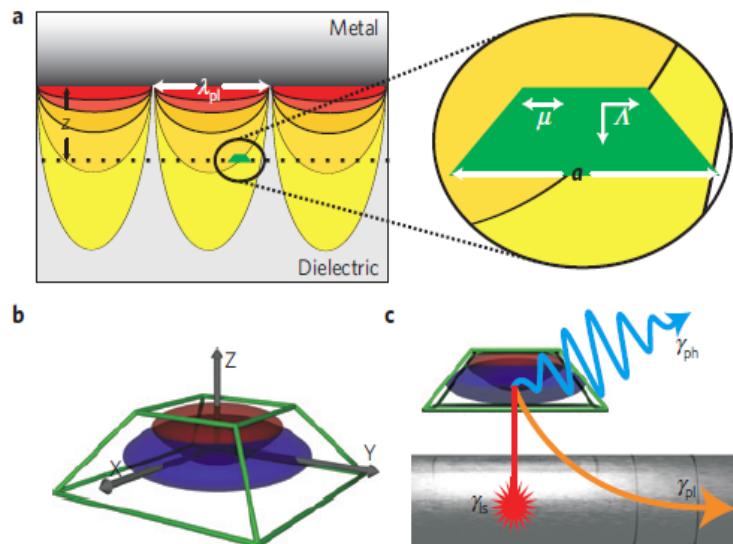
Plasmonic modes have no cutoff, downscaling of the lateral dimensions of both the device and the optical mode is demonstrated.

# modified plasmon–matter interaction with mesoscopic quantum emitters

Mads Lykke Andersen, et al. 2010

Progress work

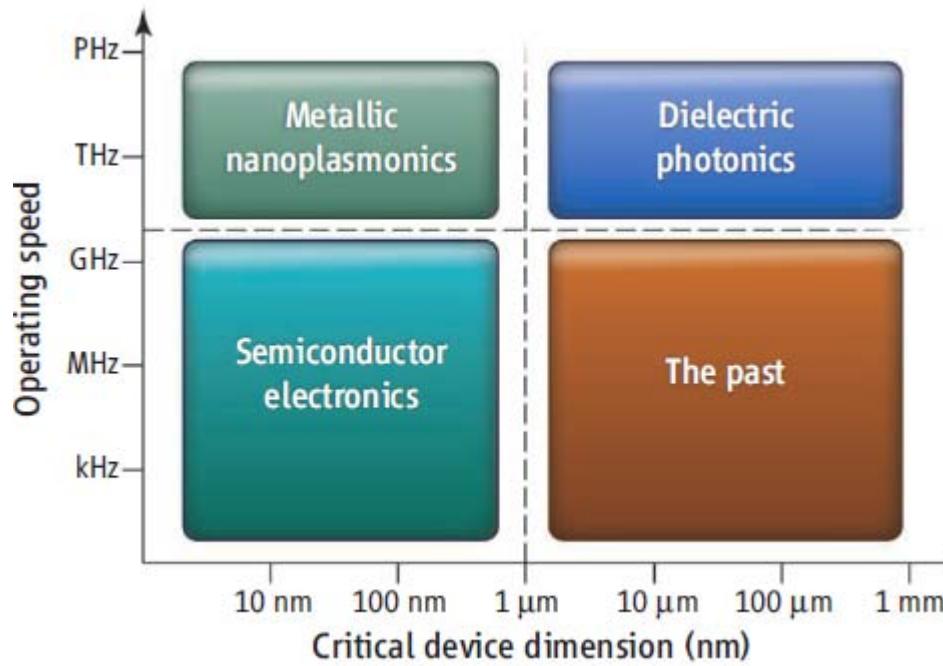
Aim to: experimentally demonstrate various decay channels with considering the size of quantum emitters.



Significance: the effect of the size of nanoscale quantum dot on the coupling between SPP and quantum emitter.

# APPLIED PHYSICS: The Case for Plasmonics

Mark L. Brongersma, 1 and Vladimir M. Shalaev, 2010



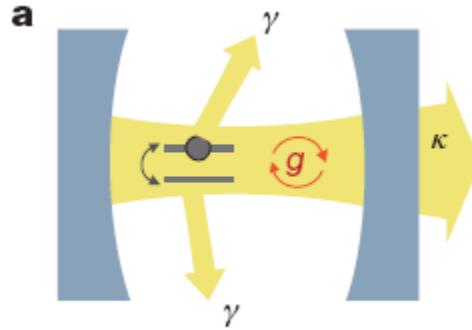
By squeezing light into nanoscale volumes, plasmonic elements allow for fundamental studies of light-matter interactions at length scales that were otherwise inaccessible

# hybrid photonic architectures

The assembly of hybrid nanophotonic devices from different fundamental photonic entities—such as single molecules, nanocrystals, semiconductor quantum dots, nanowires and metal nanoparticles—can yield functionalities that exceed those of the individual subunits.

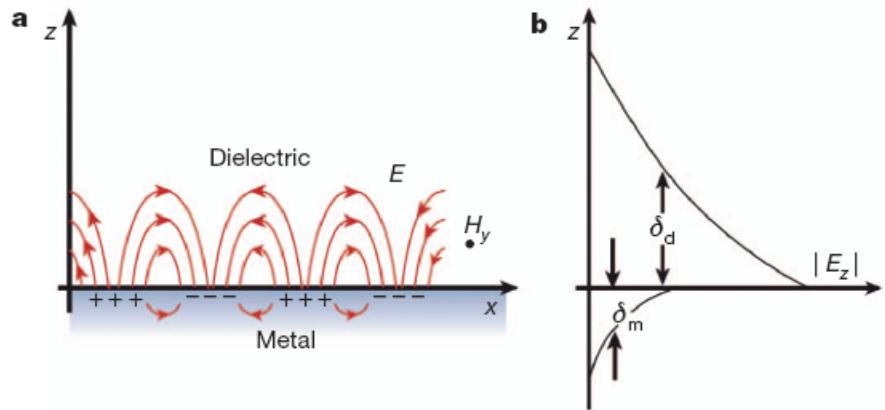
## BOX 1

### Cavity QED



## BOX 2

### Plasmonic enhancement



# Functionality on the nanoscale

Light guiding and sorting

Enhanced emission and absorption

Nonlinear elements and switches

## Nanophotonic–plasmonic hybrid devices

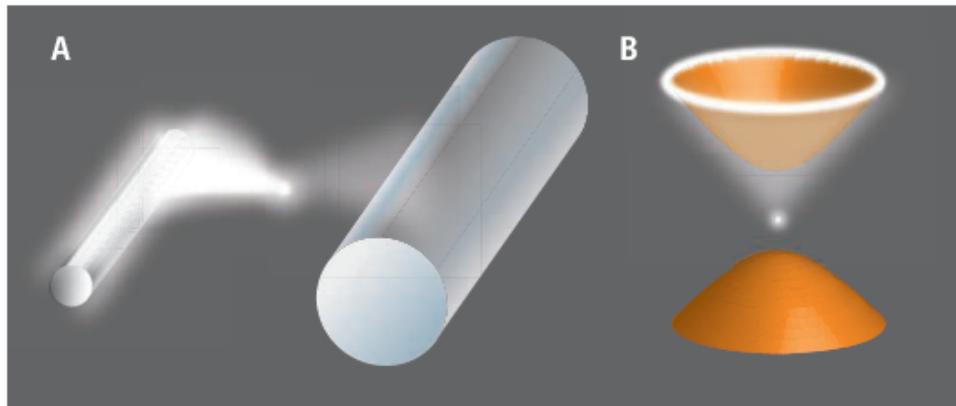
Plasmonically enhanced single-photon sources

Nanowire photonic elements

## Future prospects

plasmons. Also, nonlinear interactions facilitating logical operations are feasible using CQED or plasmonic effects. There is great potential

# Plasmonics Goes Quantum



**Make it quantum.** Building blocks of an integrated nanoscale quantum information system. (A) The nanowire supports a single plasmonic oscillation conceptually similar to a single-mode optical fiber. However, the nanoscale mode volumes of the plasmon lead to strong coupling with the quantum emitter. (B) An unorthodox approach of enhancing light-matter interaction is by tailoring the dielectric constant of a medium so that it is dielectric in one direction and metallic in another. The resulting hyperboidal dispersion relation supports infinitely many electromagnetic states for channeling light into a single-photon resonance cone.

A combined plasmonics and metamaterials approach may allow light-matter interaction to be controlled at the single-photon level.

single plasmon →  
antibunching statistics  
nanoscale-mode volume →  
strong coupling  
entangling+squeezing →  
quantum information  
quantum plasmonics →  
**Spaser**  
**Cavity QED**  
**QI system**

# Other important affairs

Review articles:

## Surface plasmon resonance sensors: review

Jiri Homola, Sinclair S. Yee, Gunter Gauglitz, Sensors and Actuators B 54 (1999) 3–15

## Surface plasmon subwavelength optics

T. W. Ebbesen et al, NATURE | VOL 424 | 824| 2003

## Photonic structures in biology

J.R. Sambles et al, NATURE | VOL 424 | 852| 2003

# Other important affairs

Review articles:

**Plasmonics: Merging Photonics and Electronics at Nanoscale Dimensions**

Ekmel Ozbay, SCIENCE VOL 311, 189, 2006

**Nano-optics from sensing to waveguiding**

N.J. Halas, nature photonics | VOL 1 | 641 | 2007

## Progresses:

**Local detection of electromagnetic energy transport below the diffraction limit in metal nanoparticle plasmon waveguides**

S. A. Maier et al, nature materials | VOL 2 |229| 2003

**Experimental Verification of Designer Surface Plasmons**

Alastair P. Hibbins, Benjamin R. Evans, J. Roy Sambles, SCIENCE,308,670,2005

**Nanofabricated media with negative permeability at visible frequencies**

A. N. Grigorenko and A. K. Geim el al, NATURE|Vol 438|335| 2005

## Progresses:

### Magnifying Superlens in the Visible Frequency Range (using metamaterial)

Igor I. Smolyaninov, Yu-Ju Hung, Christopher C. Davis, science, 315, 1699, 2007

### Transmission resonances through aperiodic arrays of subwavelength apertures

Tatsunosuke Matsui, NATURE| Vol 446|517| 2007

### Ultrasmooth Patterned Metals for Plasmonics and Metamaterials

Prashant Nagpal et al, SCIENCE, 325, 594, 2009

### Wave–particle duality of single surface plasmon polaritons

Roman Kolesov et al, NATURE PHYSICS, VOL 5, 470, 2009

## Applications:

**Controlling anisotropic nanoparticle growth through plasmon excitation**

Rongchao Jin et al, NATURE | VOL 425 | 487 | 2003

**Negative Refraction at Visible Frequencies**

Henri J. Lezec, Jennifer A. Dionne, Harry A. Atwater, science, 316, 430, 2007

**Slow guided surface plasmons at telecom frequencies**

M. SANDTKE AND L. KUIPERS, nature photonics, 1, 2007

**Measurement of the Distribution of Site Enhancements in Surface-Enhanced Raman Scattering**

Ying Fang et al, SCIENCE, 321, 388, 2008

## Applications:

**High-Q surface-plasmon-polariton whispering-gallery microcavity**

Bumki Min et al, NATURE| Vol 457|455| 2009

**Nanoplasmonic Probes of Catalytic Reactions**

Elin M. Larsson et al, SCIENCE VOL 326,1091, 2009

## 本章结束语：

以 **Nature** 和 **Science** 上进展、应用和综述文章为主要线索，总结了**plasmonics** 发展过程中的重要事件，对**plasmonics** 有全局的了解。**Plasmonics** 是 **nanooptics** 的重要组成部分，目前在应用领域和交叉学科方面有重要发展。