## **QCD** and Its Success



# Rutherford Scattering

Rutherford taught us the most important lesson: use a scattering process to learn about the structure of matter





H. Geiger and E. Marsden observed that  $\alpha$ -particles were sometimes scattered through very large angles.

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Rutherford interpreted these results as due to the coulomb scattering of the  $\alpha$ -particles with the atomic nucleus:

$$\sigma(\theta) = \frac{z^2 Z^2 e^4}{16E^2} \frac{1}{\sin^4 \frac{1}{2}\theta}$$



# Rutherford Scattering

In a subsequent paper Geiger/Marsden precisely verified Rutherford theory



# Developments...

-Quantum mechanics rapidly developed in the years 1924-27

-The nucleus composition remained a mistery (e.g.  $N_7^{14}$ ) till...



Main information concerning geometric detailes of nuclear structure (mirror nuclei, fast neutron capture, binding energies etc) could be summed up in:  $R=r_0 \times A^{1/3} \text{ fm} \quad \text{with } r_0=1.45 \text{ fm}$  $\rho_m=0.08 \text{ nucl/fm}^3 \quad \text{and} \quad \rho_c=(Z/A) \times 0.08 \text{ (prot. charges)/fm}^3$ 

# The nucleus form factor

Stimulated by accelerators technology advances and fully muture QED various theoreticians (Rose (48), Elton(50)) started to calculate cross sections for <u>elastic electron-Nucleus scattering</u>

The transformation of the terms of the second states and the second states and the second states are stated as the second states are states are stated as the second states are states are

$$\sigma_{M}(\theta) = \left(\frac{Ze^{2}}{2E}\right)^{2} \frac{\cos^{2}\frac{1}{2}\theta}{\sin^{4}\frac{1}{2}\theta} \quad \text{Mott}$$

$$\sigma_{s}(\theta) = \left(\frac{Ze^{2}}{2E}\right)^{2} \frac{\cos^{2}\frac{1}{2}\theta}{\sin^{4}\frac{1}{2}\theta} \int_{\substack{\text{nuclear}\\\text{volume}}} \rho(r)e^{iq\cdot r}d\tau \Big|^{2}$$

$$\sigma_{s}(\theta) = \left(\frac{Ze^{2}}{2E}\right)^{2} \frac{\cos^{2}\frac{1}{2}\theta}{\sin^{4}\frac{1}{2}\theta} \int_{0}^{\infty} \rho(r)\frac{\sin qr}{qr}4\pi r^{2}dr \Big|^{2}.$$

$$F = \frac{4\pi}{q} \int_{0}^{\infty} \rho(r)\sin(qr)rdr$$
Nucleus form factor
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Nucleus form factor
$$F = \frac{4\pi}{q} \int_{0}^{\infty} \rho(r)\sin(qr)rdr$$





# The SLAC-MIT Experiment

Under the leadership of Taylor, Friedman, Kendall

~ 1969

1990 Nobel Prize









# First SLAC-MIT results

Two unexpected results...



# First SLAC-MIT results

Two unexpected results...

Deep-inelastic scattering (DIS)

Scaling behavior



# Deep inelastic scattering (DIS) and structure Fs



#### **Kinematic variables**

$$\nu = \frac{P \cdot q}{\sqrt{P \cdot P}} = E_1 - E_2$$
$$x = \frac{-q^2}{2P \cdot q} = \frac{Q^2}{2M\nu}$$

 $Q^2 = 2E_1E_2(1-\cos\theta)$ 

$$\frac{d^2\sigma}{d\Omega \ dE'} (E,E',\theta) = \sigma_{\rm M} \left[ W_2(\nu,q^2) + 2W_1 (\nu,q^2) \tan^2(\theta/2) \right]$$

$$2MW_1 (v, q^2) = F_1 (\omega)$$
  
 $vW_2 (v, q^2) = F_2 (\omega)$ 

Bjorken scaling (1969) (Predicted prior to data)

#### Quantum Chromodynamics

Fields: Quarks  $\psi_{flavor}^{color}$  and Gluon  $G^{color}(A \cdot T, g)$ . Basic Lagrangian:

$$\mathcal{L} = \bar{\psi}(i \not\partial - g \notA \cdot t - m)\psi - \frac{1}{4}G(A \cdot T, g) \cdot G(A \cdot T, g)$$

- g: gauge Coupling Strength
- *m<sub>i</sub>*: quark masses
- t & T: color SU(3) matrices in the fundamental and adjoint representations.

Group factors:  $C_F = \frac{4}{3}$ ;  $T_F = \frac{1}{2}$ ;  $C_A = 3$ 

Interaction Vertices:



Why does QCD play such a crucial role in High Energy Phenomenology?

- The parton picture language provides the foundation on which all modern particle theories are formulated, and all experimental results are interpreted.
- The validity of the parton picture is based empirically on an overwhelming amount of experimental evidence collected in the last 30-40 years, and theoretically on the Factorization Theorems of PQCD.

How could the *simple* (almost non-interacting) *parton picture* **possibly hold in** QCD — a strongly interacting quantum gauge field theory? Answer: 3 unique features of QCD:

- 1. Asymptotic Freedom:
  - A strongly interacting theory at long-distance can become weakly interacting at short-distance.
- 2. Infra-red Safety:
  - There are classes of *infra-red safe* quantities which are independent of long-distance physics, hence are calculable in PQCD.
- 3. Factorization:
  - There are an even wider class of physical quantities which can be *factorized* into a long-distance piece (not calculable, but *universal*) and short-distance piece (process-dependent, but infra-red safe, hence *calculable*).

#### Key concepts: Ultra-violet divergences, bare Green fns, renormalization, RGE, anomalous dimensions, renormalized G.Fs

Universal (running) coupling:

$$\alpha_s(Q) = \frac{g^2}{4\pi} = \frac{b}{\ln(Q/\Lambda)}(1+\ldots)$$





#### The importance of Scales -- Renormalization and Factorization



# What to do with the long-distance physics associated with colinear/soft singularities in PQCD?

lst strategy: Identify physical observables which are insensitive to the singularities! (Infra-red-safe (IRS) quantities)



Infra-Red-Safe observables:

Total hadronic Cross-section  $\sigma_{tot}^{\prime}/\sigma_{\mu+\mu-}^{\prime}$ 

Sterman-Weinberg jet cross-sections and their modern variations (*Jade-, Durham-, ... algorithms*); Jet shape observables: Thrust, ... ; energy-energy correlation ; ....

Essential feature of a general IRS physical quantity:

the observable must be such that it is insensitive to whether n or n+1 particles contributed -if the n+1 particles has n-particle kinematics





Figure 40.6: World data on the total cross section of  $e^+e^- \rightarrow hadrons$  and the ratio  $R(s) = \sigma(e^+e^- \rightarrow hadrons, s)/\sigma(e^+e^- \rightarrow \mu^+\mu^-, s)$ .  $\sigma(e^+e^- \rightarrow hadrons, s)$  is the experimental cross section corrected for initial state radiation and electron-positron vertex loops,  $\sigma(e^+e^- \rightarrow \mu^+\mu^-, s) = 4\pi\alpha^2(s)/3s$ . Data errors are total below 2 GeV and statistical above 2 GeV. The curves are an educative guide: the broken one (green) is a naive quark-parton model prediction and the solid one (red) is 3-loop pQCD prediction (see "Quantum Chromodynamics" section of this *Review*, Eq. (9.12) or, for more details, K. G. Chetyrkin *et al.*, Nucl. Phys. B586, 56 (2000) (Erratum *ibid*. B634, 413 (2002)). Breit-Wigner parameterizations of  $J/\psi$ ,  $\psi(2S)$ , and T(nS), n = 1, 2, 3, 4 are also shown. The full list of references to the original data and the details of the *R* ratio extraction from them can be found in [arXiv:hep-ph/0312114]. Corresponding computer-readable data files are available at http://pdg.ihep.su/xssct/contents.html. (Courtesy of the COMPAS(Protvino) and HEPDATA(Durham) Groups, August 2005. Corrections by P. Janot (CERN) and M. Schmitt (Northwestern U.)) See full-color version on color pages at end of book.





Example: One particle inclusive cross-section



### "Renormalization" and "Factorization"

	UV renormalization		Collinear/soft factorization	
A:	Bare Green Func.	$G_0(lpha_0,m_0,)$	Partonic X-sect	$F_a$
B:	Ren. constants	$Z_i(\mu)$	Pert. parton dist.	$f^b_a(\mu)$
C:	Ren. Green Fun.	$G_R = G_0/Z$	Hard X-sect	$\widehat{F} = F  /  f$
D:	Anomalous dim.	$\gamma = \frac{\mu}{Z} \frac{d}{d\mu} Z$	Splitting fun.	$P = \frac{\mu}{f} \frac{d}{d\mu} f$
E:	Phys. para. $lpha,m$	$lpha_0 Z_i \dots$	Had. parton dist. $f_A$	resummed
F:	Phys sc. amp.	$lpha(\mu)G_R(m,\mu)$	Hadronic S.F.'s $F_A$	$f_A(\mu) imes \widehat{F}(\mu)$

Some common features:

- A : divergent; but, independent of "scheme" and scale  $\mu$ ;
- B : divergent; scale and scheme dependent; universal; absorbs all ultra-violet/soft/collinear divergences;
- C & D : finite; scheme-dependent; D controls the  $\mu$  dependence of E & F;
- E : physical parameters to be obtained from experiment;
- F : Theoretical "prediction";  $\mu$ -indep. to all orders, but  $\mu$ -dep. at finite order n;  $\mu \frac{d}{d\mu} \sim \mathcal{O}(\alpha^{n+1})$
- Note: "Renormalization" is factorization (of UV divergences); "factorization" is renormalization (of soft/collinear div.)

Lepton-hadron Sc.



## Hadron Collider Physics



Deep Inelastic Scattering (DIS) in Lepton-Hadron Collisions

Probing the Parton Structure of the Nucleon with Leptons

Deep Inelastic Scattering in Lepton-Hadron Collisions —Probing the Parton Structure of the Nucleon with Leptons

- Basic Formalism (indep. of strong dynamics and parton picture)
- Experimental Development
  - Fixed target experiments
  - HERA experiments
- Parton Model and QCD
  - Parton Picture of Feynman-Bjorken
  - Asymptotic freedom, factorization and QCD
- Phenomenology
  - QCD parameters
  - Parton distribution functions
  - Other interesting topics

Basic Formalism (leading order in EW coupling)

Lepton-hadron scattering process

 $\ell_1(\ell_1) + N(P) \longrightarrow \ell_2(\ell_2) + X(P_X)$ 

Effective fermion-boson electroweak interaction Lagrangian:



(B = g, W, Z)



### **Basic Formalism: Scattering Amplitudes**



### **Basic Formalism: Cross section**

Cross section

(amplitude)<sup>2</sup> phase space / flux

$$d\sigma = \frac{G_1 G_2}{2\Delta(s, m_{\ell_1}^2, M^2)} \ 4\pi Q^2 L_{\nu}^{\mu} W_{\mu}^{\nu} d\Gamma$$

$$G_i = g_{B_i}^2 / (Q^2 + M_{B_i}^2)$$

р

Lepton tensor (known):

$$L^{\mu}{}_{\nu} = \frac{1}{Q^2} \overline{\sum_{\text{spin}}} \langle \ell_1 | j^{\dagger}_{\nu} | \ell_2 \rangle \langle \ell_2 | j^{\mu} | \ell_1 \rangle$$

Hadron tensor (unknown):

$$W^{\mu}{}_{\nu} = \frac{1}{4\pi} \sum_{\text{spin}} (2\pi)^4 \delta^4 (P + q - P_X) \langle P | J^{\mu} | P_X \rangle \langle P_X | J^{\dagger}_{\nu} | P \rangle$$

Object of study:

- \* Parton structure of the nucleon;
- \* QCD dynamics at the confinement scale

Expansion of  $W^{\mu}_{\nu}$  in terms of <u>independent</u> components

$$W^{\mu}{}_{\nu} = -g^{\mu}{}_{\nu}W_{1} + \frac{P^{\mu}P_{\nu}}{M^{2}}W_{2} - i\frac{\epsilon^{Pq\mu}{}_{\nu}}{2M^{2}}W_{3} + \frac{q^{\mu}q_{\nu}}{M^{2}}W_{4} + \frac{P^{\mu}q_{\nu} + q^{\mu}P_{\nu}}{2M^{2}}W_{5} + \frac{P^{\mu}q_{\nu} - q^{\mu}P_{\nu}}{2M^{2}}W_{6}$$

Cross section in terms of the structure functions  $\frac{d\sigma}{dE_2 d\cos\theta} = \frac{2E_2^2}{\pi M} \frac{G_1 G_2}{n_\ell} \left\{ g_{+\ell}^2 \left[ 2W_1 \sin^2 \frac{\theta}{2} + W_2 \cos^2 \frac{\theta}{2} \right] \pm g_{-\ell}^2 \left[ \frac{E_1 + E_2}{M} W_3 \sin^2 \frac{\theta}{2} \right] \right\}$ 

Charged Current (CC) processes (neutrino beams): W-exchange (diagonal); left-handed coupling only; ....

Neutral Current (NC) processes (e,μ scat.)---low energy: (fixed tgt): γ-exchange (diagonal); vector coupling only; ...

Neutral Current (NC) processes (e, $\mu$  scat.)---high energy (hera):  $\gamma$  & Z exchanges: G<sub>1</sub><sup>2</sup>, G<sub>1</sub>G<sub>2</sub>, G<sub>2</sub><sup>2</sup> terms; ....

#### **Basic Formalism: Scaling structure functions**



 $n_{\ell}$  is the number of polarization states of the incoming lepton.

The highest energy (anti-) neutrino DIS experiment

# The NuTeV experiment at FNAL





- Isoscalar v-Fe F<sub>2</sub>
- **NuTeV** F<sub>2</sub> is compared with CCFR and CDHSW results
  - the line is a fit to NuTeV data
- All systematic uncertainties are included
- All data sets agree for *x*<0.4.
- At *x*>0.4 **NuTeV** agrees with **CDHSW**
- At *x*>0.4 **NuTeV** is systematically above **CCFR**

 $F_2(x, Q^2)$ 

# The HERA Collider

### The first and only ep collider in the world



Equivalent to fixed target experiment with 50 TeV  $e^{\pm}$ 

# The Collider Experiments

# H1 Detector

#### Complete $4\pi$ detector with

Tracking Si-µVTX Central drift chamber

Liquid Ar calorimeter → îE=E = 12%=<sup>™</sup> E[GeV](e:m:)  $\hat{I} = E = 50\% = E[GeV]$  (had) **Rear Pb-scintillator calorimeter** → î E=E = 7:5%=<sup>∽</sup> E[GeV](e:m:)







# NC and CC incl. Processes measured at HERA NC: $e^{\pm} + p \rightarrow e^{\pm} + X$ , CC: $e^{\pm} + p \rightarrow \overline{v}_{e}(v_{e}) + X$













#### Measurement of $F_{2}^{\gamma}(x,Q^{2})$ $\mathbf{F}_{2}^{em}+\mathbf{c}_{i}(\mathbf{x})$ ZEUS 96/97 x=6.3E-05 x=0.000102 H1 96/97 🔺 H1 94/97 x = 0.00016NMC, BCDMS, E665 14 x=0.000253 **ZEUS NLO QCD Fit** (prel. 2001) =0.0004• For $Q^2 \ll M_7^2 \rightarrow xF_3$ negligible; H1 NLO QCD Fit =0.0005 12 • $F_1$ only important at high y; $c_1(x) = 0.6(i(x) - 0.4)$ • Both $F_1$ and $xF_3 \sim$ calculable in 10 QCD Correct for higher order QED radiation • Extract $F_2(x,Q^2)$ from measurement of dxdQ<sup>2</sup>

These are difficult measurements: <sup>0</sup><sup>1</sup> <sup>10</sup> <sup>10<sup>2</sup></sup> <sup>10<sup>3</sup></sup> <sup>10<sup>4</sup></sup> nevertheless precision level has reached: errors of 2-3%

 $\begin{array}{c} 10 \\ Q^2 (\text{GeV}^2) \end{array}$ 

Physical Interpretations of DIS Structure Function measurements

- The Parton Model (Feynman-Bjorken)
- Theoretical basis of the parton picture and the QCD improved parton model

High energy (Bjorken) limit: (large Q<sup>2</sup> and v, for a fixed x value)



## QCD and DIS



Parton Model results on Structure Functions

$$F_{\lambda}(x,Q^2) \sim \int_0^1 \frac{d\xi}{\xi} \sum_a f_A^a(\xi) \ \widehat{F}_{\lambda}^a(x/\xi,Q^2) + \mathcal{O}(\frac{m}{Q}).$$

where  $\hat{F}_{\lambda}^{a}(z,Q^{2})$  is the "partonic structure function" for DIS on the parton target a.

The Feynman diagram contributing to this elementary quantity and the result of a straightforward calculation are (for electro-magnetic coupling case):



⇒ the simple scaling parton model results:

 $\begin{array}{rcl} F_T(x,Q^2) &=& \sum_a \ Q_a^2 \ f_A^a \left( x \right) & (\text{Bj.} \Leftrightarrow \text{Feynman}) \\ F_L(x,Q^2) &=& 0 & (\text{Callan} \Leftrightarrow \text{Gross}) \end{array}$ 

Structure functions: Quark Parton Model

Quark parton model (QPM) NC SFs for proton target:

$$[F_2^{\gamma}, F_2^{\gamma Z}, F_2^{Z}] = x \sum_{q} [e_q^2, 2e_q v_q, v_q^2 + a_q^2] \{q + \overline{q}\}$$

$$[xF_{3}^{\gamma Z}, xF_{3}^{Z}] = 2x \sum_{q} [e_{q}a_{q}, v_{q}a_{q}] \{q - \overline{q}\} = 2x \sum_{q=u,d} [e_{q}a_{q}, v_{q}a_{q}]q_{v}$$

QPM CC SFs for proton targets:

$$xF_{2,W_{+}}^{CC} = x\{\overline{u} + \overline{c} + d + s\}, \qquad xF_{3,W_{+}}^{CC} = x\{d + s - (\overline{u} + \overline{c})\}$$
$$xF_{2,W_{-}}^{CC} = x\{u + c + (\overline{d} + \overline{s})\}, \qquad xF_{3,W_{-}}^{CC} = x\{u + c - (\overline{d} + \overline{s})\}$$

For neutron targets, invoke (flavor) isospin symmetry:

 $u \Leftrightarrow d \text{ and } \overline{u} \Leftrightarrow \overline{d}$ 

#### continued

Consequences on CC Cross sections (parton model level):

These qualitative features were verified in early (bubble chamber) high energy neutrino scattering experiments. Gargamelle (CERN)

Refined measurements reveal QCD corrections to the approximate naïve parton model results. These are embodies in all modern "QCD fits" and "global analyses".

### F<sub>2</sub>: "Scaling violation" — Q-dependence inherent in QCD



ZEUS

# QCD evolution

Evolution performed in terms of (1/2/3) non-singlet, singlet and gluon densities:

$$\frac{\partial}{\partial \ln \mu_F^2} q_{NS}^{\pm} = P_{NS}^{\pm} \otimes q_{NS}^{\pm}$$
$$\frac{\partial}{\partial \ln \mu_F^2} \left\{ \begin{matrix} \Sigma \\ g \end{matrix} \right\} = \left\{ \begin{matrix} P_{qq} & P_{qg} \\ P_{gq} & P_{gg} \end{matrix} \right\} \otimes \left\{ \begin{matrix} \Sigma \\ g \end{matrix} \right\} = P \otimes q$$
Where
$$P(x) = a_s P^{(0)}(x) + a_s^2 \left[ P^{(1)}(x) - \beta_0 \ln \frac{\mu_F^2}{\mu_R^2} P^{(0)}(x) \right] \qquad \text{with} \qquad a_s = \frac{\alpha_s(\mu_R^2)}{4\pi}$$
$$\frac{da_s}{d \ln \mu_R^2} = \beta(a_s) = \sum_{l=0}^{\infty} a_s^{l+2} \beta_l \cong a_s^2 \beta_0 + a_s^3 \beta_1 \qquad \text{where} \qquad \beta_0 = 11 - \frac{2}{3} N_F$$
$$\text{and} \qquad \beta_1 = 102 - \frac{38}{3} N_F$$

Parton Distribution Functions (PDF): most significant physical results derived from DIS (with help from other hard scattering processes)



### Parton Distributions: one example





# **QCD** in Hadron Collisions

Jets

### **Inclusive Jet Production**

 Nowhere is the increase in center-of-mass energy more appreciated





CDF Run 2 Preliminary

#### CDF: $k_T$ jet cross section results



 $d_i = (P_{T_i})^2$ 



#### CDF Jet Energy Scale: from Run-1 to Run-2



physics effects to obtain "true" jet energy

### **Jet Fragmentation**

