# 粒子物理

18. 量子色动力学(II) (Quantum Chromodynamics)



## From QED to QCD

- **★** Suppose there is another fundamental symmetry of the universe, say "invariance under SU(3) local phase transformations"
  - i.e. require invariance under  $\psi \to \psi' = \psi e^{ig\vec{\lambda}.\vec{\theta}(x)}$ where
    - $\vec{\lambda}$  are the eight 3x3 Gell-Mann matrices introduced in handout 7
    - $\vec{\theta}(x)$  are 8 functions taking different values at each point in space-time 8 spin-1 gauge bosons

 $\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \end{pmatrix}$  wave function is now a vector in COLOUR SPACE  $\square$ 

**★** QCD is fully specified by require invariance under SU(3) local phase transformations

> **Corresponds to rotating states in colour space about an axis** whose direction is different at every space-time point

$$\Rightarrow$$
 interaction vertex:  $-\frac{1}{2}ig_s\lambda^a\gamma^\mu$ 

 $\star$  Predicts 8 massless gauge bosons – the gluons (one for each  $\lambda$  )

**★** Also predicts exact form for interactions between gluons, i.e. the 3 and 4 gluon vertices – the details are beyond the level of this course

# **Colour in QCD**

- ★ The theory of the strong interaction, Quantum Chromodynamics (QCD), is very similar to QED but with 3 conserved "colour" charges
  - In QED:
    - the electron carries one unit of charge -e
    - the anti-electron carries one unit of anti-charge +e
    - the force is mediated by a massless "gauge boson" – the photon

### In QCD:

- quarks carry colour charge: r, g, b
- anti-quarks carry anti-charge:  $\overline{r}, \overline{g}, \overline{b}$
- The force is mediated by massless gluons

### ★ In QCD, the strong interaction is invariant under rotations in colour space

$$r \leftrightarrow b; \ r \leftrightarrow g; \ b \leftrightarrow g$$

i.e. the same for all three colours









### **The Quark – Gluon Interaction**

Representing the colour part of the fermion wave-functions by:

$$r = c_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$
  $g = c_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$   $b = c_3 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$ 

- Particle wave-functions  $u(p) \longrightarrow c_i u(p)$
- The QCD qqg vertex is written:

$$\overline{u}(p_3)c_j^{\dagger}\left\{-\frac{1}{2}ig_s\lambda^a\gamma^{\mu}\right\}c_iu(p_1)$$

- Only difference w.r.t. QED is the insertion of the 3x3 SU(3) Gell-Mann matrices
- Isolating the colour part:

$$c_{j}^{\dagger}\lambda^{a}c_{i} = c_{j}^{\dagger} \begin{pmatrix} \lambda_{1i}^{a} \\ \lambda_{2i}^{a} \\ \lambda_{3i}^{a} \end{pmatrix} = \lambda_{ji}^{a}$$

Hence the fundamental quark - gluon QCD interaction can be written  $\overline{u}(p_3)c_j^{\dagger}\{-\frac{1}{2}ig_s\lambda^a\gamma^{\mu}\}c_iu(p_1)\equiv\overline{u}(p_3)\{-\frac{1}{2}ig_s\lambda_{ji}^a\gamma^{\mu}\}u(p_1)$ 



# **Feynman Rules for QCD**



Internal Lines (propagators) spin 1 gluon



$$a^{\mu} \bigcirc \bigcirc \bigcirc \lor \\ b^{\nu}$$

a, b = 1,2,...,8 are gluon colour indices

Vertex Factors spin 1/2 quark



i, j = 1,2,3 are quark colours,

 $\lambda^{a}$  a = 1,2,...8 are the Gell-Mann SU(3) matrices

+ 3 gluon and 4 gluon interaction vertices
Matrix Element -iM = product of all factors

### **Matrix Element for quark-quark scattering**

**★** Consider QCD scattering of an up and a down quark



- •The incoming and out-going quark colours are labelled by  $i, j, k, l = \{1, 2, 3\}$  (or  $\{r, g, b\}$ )
- In terms of colour this scattering is  $ik \rightarrow jl$
- The 8 different gluons are accounted for by the colour indices a, b = 1, 2, ..., 8
- •NOTE: the  $\delta$ -function in the propagator ensures a = b, i.e. the gluon "emitted" at a is the same as that "absorbed" at b

**★** Applying the Feynman rules:

$$-iM = \left[\overline{u}_u(p_3)\left\{-\frac{1}{2}ig_s\lambda_{ji}^a\gamma^\mu\right\}u_u(p_1)\right]\frac{-ig_{\mu\nu}}{q^2}\delta^{ab}\left[\overline{u}_d(p_4)\left\{-\frac{1}{2}ig_s\lambda_{lk}^b\gamma^\nu\right\}u_d(p_2)\right]$$

where summation over *a* and *b* (and  $\mu$  and  $\nu$ ) is implied.

**\star** Summing over *a* and *b* using the  $\delta$ -function gives:

$$M = -\frac{g_s^2}{4} \lambda_{ji}^a \lambda_{lk}^a \frac{1}{q^2} g_{\mu\nu} [\overline{u}_u(p_3) \gamma^{\mu} u_u(p_1)] [\overline{u}_d(p_4) \gamma^{\nu} u_d(p_2)]$$
  
Sum over all 8 gluons (repeated indices)

# QCD vs QED

QED  

$$-iM = [\overline{u}(p_3)ie\gamma^{\mu}u(p_1)]\frac{-ig_{\mu\nu}}{q^2}[\overline{u}(p_4)ie\gamma^{\nu}u(p_2)]$$

$$M = -e^2\frac{1}{q^2}g_{\mu\nu}[\overline{u}(p_3)\gamma^{\mu}u(p_1)][\overline{u}(p_4)\gamma^{\nu}u(p_2)]$$



$$\mathbf{QCD}$$
$$M = -\frac{g_s^2}{4} \lambda_{ji}^a \lambda_{lk}^a \frac{1}{q^2} g_{\mu\nu} [\overline{u}_u(p_3) \gamma^{\mu} u_u(p_1)] [\overline{u}_d(p_4) \gamma^{\nu} u_d(p_2)]$$

#### **CD** Matrix Element = QED Matrix Element with:

$$e^2 
ightarrow g_s^2$$
 or equivalently

$$lpha=rac{e^2}{4\pi}
ightarrow lpha_s=rac{g_s^2}{4\pi}$$



+ QCD Matrix Element includes an additional "colour factor"

$$C(ik \rightarrow jl) \equiv \frac{1}{4} \sum_{a=1}^{8} \lambda_{ji}^{a} \lambda_{lk}^{a}$$

## **Evaluation of QCD Colour Factors**

•QCD colour factors reflect the gluon states that are involved



### **O** Configurations involving a single colour

Only matrices with non-zero entries in 11 position are involved  $C(rr \rightarrow rr) = \frac{1}{4} \sum_{a=1}^{8} \lambda_{11}^{a} \lambda_{11}^{a} = \frac{1}{4} (\lambda_{11}^{3} \lambda_{11}^{3} + \lambda_{11}^{8} \lambda_{11}^{8})$   $= \frac{1}{4} \left( 1 + \frac{1}{3} \right) = \frac{1}{3}$ Similarly find  $C(rr \rightarrow rr) = C(gg \rightarrow gg) = C(bb \rightarrow bb) = \frac{1}{3}$  2 <u>Other configurations where quarks don't change colour</u> e.g.  $rb \rightarrow rb$ Only matrices with non-zero entries in 11 and 33 position j=1are involved  $C(rb \rightarrow rb) = \frac{1}{4} \sum_{i=1}^{8} \lambda_{11}^{a} \lambda_{33}^{a} = \frac{1}{4} (\lambda_{11}^{8} \lambda_{33}^{8})$ i = 1 $= \frac{1}{4}\left(\frac{1}{\sqrt{3}},\frac{-2}{\sqrt{3}}\right) = -\frac{1}{6}$ b a = b $C(rb \to rb) = C(rg \to rg) = C(gr \to gr) = C(gb \to gb) = C(br \to br) = C(bg \to bg) = -\frac{1}{6}$ Similarly Configurations where quarks swap colours e.g.  $rg \rightarrow gr$ 8 Only matrices with non-zero entries in 12 and 21 position are involved j=2 $C(rg \to gr) = \frac{1}{4} \sum_{a=1}^{8} \lambda_{21}^{a} \lambda_{12}^{a} = \frac{1}{4} (\lambda_{21}^{1} \lambda_{12}^{1} + \lambda_{21}^{2} \lambda_{12}^{2})$ i = 1Gluons  $r\overline{g}, g\overline{r}$  $= \frac{1}{4}(i(-i)+1) = \frac{1}{2} \qquad \hat{T}_{+}^{(ij)}\hat{T}_{-}^{(kl)}$  $k = 2^{a} l - 1^{r}$ g  $C(rb \to br) = C(rg \to gr) = C(gr \to rg) = C(gb \to bg) = C(br \to rb) = C(bg \to gb) = \frac{1}{2}$ 4 <u>Configurations involving 3 colours</u> e.g.  $rb \rightarrow bg$ Only matrices with non-zero entries in the 13 and 32 position i=1 j=3But none of the  $\lambda$  matrices have non-zero entries in the 13 and 32 positions. Hence the colour factor is zero

★ colour is conserved

### Colour Factors : Quarks vs Anti-Quarks

- Recall the colour part of wave-function:
- The QCD qqq vertex was written:

$$\overline{u}(p_3)c_j^{\dagger}\left\{-\frac{1}{2}ig_s\lambda^a\gamma^{\mu}\right\}c_iu(p_1)$$

 $\star$ Now consider the anti-quark vertex The QCD  $q\bar{q}\bar{g}$  vertex is:

$$\bar{v}(p_1)c_i^{\dagger}\{-\frac{1}{2}ig_s\lambda^a\gamma^{\mu}\}c_jv(p_3)$$

$$r = c_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \quad g = c_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \quad b = c_3 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$
$$\overline{\mathbf{q}} \qquad \begin{array}{c} p_1 \\ \mu, a \\ \overline{i} \\ 0 \end{array} \quad \overline{j}$$

Note that the incoming anti-particle now enters on the LHS of the expression

• For which the colour part is  $\lambda_{ij}^{\dagger} \lambda^{a} c_{j} = c_{i}^{\dagger} \begin{pmatrix} \lambda_{1j}^{a} \\ \lambda_{2j}^{a} \\ \lambda_{2j}^{a} \end{pmatrix} = \lambda_{ij}^{a}$  i.e indices *ij* are swapped with respect to the quark case

Hence

$$\overline{v}(p_1)c_i^{\dagger}\left\{-\frac{1}{2}ig_s\lambda^a\gamma^{\mu}\right\}c_jv(p_3)\equiv\overline{v}(p_1)\left\{-\frac{1}{2}ig_s\lambda_{ij}^a\gamma^{\mu}\right\}v(p_3)$$

c.f. the quark - gluon QCD interaction  $\overline{u}(p_3)c_i^{\dagger}\left\{-\frac{1}{2}ig_s\lambda^a\gamma^{\mu}\right\}c_iu(p_1)\equiv\overline{u}(p_3)\left\{-\frac{1}{2}ig_s\lambda^a_{ji}\gamma^{\mu}\right\}u(p_1)$  **★** Finally we can consider the quark – anti-quark annihilation

with 
$$c_k^{\dagger} \lambda^a c_i = \lambda_{ki}^a$$

$$\overline{\nu}(p_2)c_k^{\dagger}\{-\frac{1}{2}ig_s\lambda^a\gamma^{\mu}\}c_iu(p_1) \equiv \overline{\nu}(p_2)\{-\frac{1}{2}ig_s\lambda_{ki}^a\gamma^{\mu}\}u(p_1)$$

• Consequently the colour factors for the different diagrams are:



Colour index of adjoint spinor comes first

### **Quark-Quark Scattering**

- Consider the process  $u + d \rightarrow u + d$  which can occur in the high energy proton-proton scattering
- There are nine possible colour configurations of the colliding quarks which are all equally likely.
- Need to determine the average matrix element which is the sum over all possible colours divided by the number of possible initial colour states

$$\langle |M_{fi}|^2 \rangle = \frac{1}{3} \cdot \frac{1}{3} \sum_{i,j,k,l=1}^3 |M_{fi}(ij \to kl)|^2$$

• The colour average matrix element contains the average colour factor

$$\langle |C|^2 \rangle = \frac{1}{9} \sum_{i,j,k,l=1}^3 |C(ij \to kl)|^2$$

For 
$$qq \to qq$$
  
 $\langle |C|^2 \rangle = \frac{1}{9} \left[ 3 \times \left(\frac{1}{3}\right)^2 + 6 \times \left(-\frac{1}{6}\right)^2 + 6 \times \left(\frac{1}{2}\right)^2 \right] = \frac{2}{9}$ 

jet

Previously derived the Lorentz Invariant cross section for  $e^-\mu^- \rightarrow e^-\mu^$ elastic scattering in the ultra-relativistic limit

**QED** 
$$\frac{\mathrm{d}\sigma}{\mathrm{d}q^2} = \frac{2\pi\alpha^2}{q^4} \left[ 1 + \left(1 + \frac{q^2}{s}\right)^2 \right]$$

• For ud  $\rightarrow$  ud in QCD replace  $\alpha \rightarrow \alpha_s$  and multiply by  $\langle |C|^2 \rangle$ 

QCD	$\frac{\mathrm{d}\sigma}{\mathrm{d}q^2} =$	$=\frac{2}{9}\frac{2\pi\alpha_S^2}{q^4}\left[1\right]$	$1 + \left(1 + \frac{q}{s}\right)$	$\left[\frac{2}{5}\right]^2$	Never see colour, but enters through colour factors. Can tell QCD is SU(3)
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Here  $\hat{s}$  is the centre-of-mass energy of the quark-quark collision

• The calculation of hadron-hadron scattering is very involved, need to include parton structure functions and include all possible interactions

e.g. two jet production in proton-antiproton collisions



### **Alternative evaluation of colour factors**

**★** The colour factors can be obtained (more intuitively) as follows :

Write 
$$C(ik \rightarrow jl) = \frac{1}{2}c_1c_2$$



Where the colour coefficients at the two vertices depend on the quark and gluon colours



Sum over all possible exchanged gluons conserving colour at both vertices

### **①** Configurations involving a single colour

e.g.  $rr \rightarrow rr$ : two possible exchanged gluons



e.g.  $bb \rightarrow bb$ : only one possible exchanged gluon



#### Other configurations where quarks don't change colour



### **<u>S</u>** Configurations where quarks swap colours

(2)



### e.g. pp collisions at the Tevatron

**Tevatron collider at Fermi National Laboratory (FNAL)** 

- located ~40 miles from Chigaco, US
- started operation in 1987 (will run until 2009/2010)

★  $p\overline{p}$  collisions at  $\sqrt{s}$  = 1.8 TeV



Two main accelerators:

★Main Injector

c.f. 14 TeV at the LHC

- Accelerates 8 GeV P
   to 120 GeV
- also  $\overline{p}$  to 120 GeV
- Protons sent to Tevatron & MINOS
- $\overline{p}$  all go to Tevatron

### **★**Tevatron

- 4 mile circumference
- accelerates  $P/\overline{P}$  from 120 GeV to 900 GeV

# **Hadron Collider**

In the simplest (leading-order) interpretation, the PDF  $f_{a/p}(x,Q)$  is a probability for finding a parton a with 4-momentum  $xp^{\alpha}$  in a proton with 4-momentum  $p^{\alpha}$ 



 $f_{a/p}(x,Q)$  depends on **nonperturbative** QCD interactions

### **Drell-Yan Process at Hadron Colliders**

Suggested by Sidney Drell and Tung-Mow Yan in 1970 颜东茂

Observed by Christenson et al in 1970. PRL 25 (21), 1523



$$+ (\chi_1 \leftrightarrow \chi_2)$$
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# **PDFs and QCD factorization**

According to QCD factorization theorems, typical cross sections (e.g., for vector boson production  $p(k_1)p(k_2) \rightarrow [V(q) \rightarrow \ell(k_3)\overline{\ell}(k_4)] X$ ) take the form

$$\sigma_{pp \to \ell \bar{\ell} X} = \sum_{a,b=q,\bar{q},g} \int_0^1 d\xi_1 \int_0^1 d\xi_2 \widehat{\sigma}_{ab \to V \to \ell \bar{\ell}} \left(\frac{x_1}{\xi_1}, \frac{x_2}{\xi_2}; \frac{Q}{\mu}\right) f_{a/p}(\xi_1, \mu) f_{b/p}(\xi_2, \mu) + \mathcal{O}\left(\Lambda_{QCD}^2/Q^2\right)$$

 $\blacksquare \widehat{\sigma}_{ab \to V \to \ell \bar{\ell}}$  is the hard-scattering cross section

•  $f_{a/p}(\xi,\mu)$  are the **PDFs** 

$$Q^2 = (k_3 + k_4)^2, \ x_{1,2} = (Q/\sqrt{s}) \ e^{\pm y_V} -$$

measurable quantities

- $\xi_1, \xi_2$  are partonic momentum fractions (integrated over)
- $\blacksquare$   $\mu$  is a factorization scale (=renormalization scale from now on)

Factorization holds up to terms of order  $\Lambda^2_{QCD}/Q^2$ 

**★** Test QCD predictions by looking at production of pairs of high energy jets

pp →jet jet + X







★ Measure cross-section in terms of

- "transverse energy"  $E_T = E_{\text{jet}} \sin \theta$
- "pseudorapidity"
- $E_T = E_{jet} \sin \theta$  $\eta = \ln \left[ \cot \left( \frac{\theta}{2} \right) \right]$

...don't worry too much about the details here, what matters is that...

QCD predictions provide an excellent description of the data

#### ★<u>NOTE:</u>

• at low *E<sub>T</sub>* cross-section is

dominated by low *x* partons i.e. gluon-gluon scattering

• at high  $E_T$  cross-section is

dominated by high *x* partons i.e. quark-antiquark scattering

# **Running Coupling Constants**



**★** Same final state so add matrix element amplitudes:  $M = M_1 + M_2 + M_3 + ...$ 

★ Giving an infinite series which can be summed and is equivalent to a single diagram with "running" coupling constant

 $\sqrt{\alpha}(q^2)$ 





★ Might worry that coupling becomes infinite at

$$\ln\left(\frac{Q^2}{Q_0^2}\right) = \frac{3\pi}{1/137}$$

i.e. at

 $Q \sim 10^{26} \, {\rm GeV}$ 

 But quantum gravity effects would come in way below this energy and it is highly unlikely that QED "as is" would be valid in this regime

- ★ In QED, running coupling increases very slowly
  - •Atomic physics:  $Q^2 \sim 0$  $1/\alpha = 137.03599976(50)$
  - •High energy physics:

 $1/\alpha(193 \,\text{GeV}) = 127.4 \pm 2.1$ 

# Running of $\alpha_{\rm s}$



★ Remembering adding amplitudes, so can get negative interference and the sum can be smaller than the original diagram alone

★ Bosonic loops "interfere negatively"  $\alpha_{S}(Q^{2}) = \alpha_{S}(Q_{0}^{2}) / \left[1 + B\alpha_{S}(Q_{0}^{2}) \ln\left(\frac{Q^{2}}{Q_{0}^{2}}\right)\right]$ with  $B = \frac{11N_{c} - 2N_{f}}{12\pi}$   $\begin{cases} N_{c} = \text{no. of colours} \\ N_{f} = \text{no. of quark flavours} \end{cases}$   $N_{c} = 3; N_{f} = 6 \implies B > 0$   $\implies \alpha_{S} \text{ decreases with } Q^{2}$ Nobel Prize for Physics, 2004 (Gross, Politzer, Wilczek)



★ At low  $Q^2$ :  $\alpha_s$  is large, e.g. at  $Q^2 = 1 \,\text{GeV}^2$  find  $\alpha_s \sim 1$ 

Can't use perturbation theory ! This is the reason why QCD calculations at low energies are so difficult, e.g. properties hadrons, hadronization of quarks to jets,...

★ At high  $Q^2$ :  $\alpha_s$  is rather small, e.g. at  $Q^2 = M_Z^2$  find  $\alpha_s \sim 0.12$ 

Asymptotic Freedom

One can use perturbation theory and this is the reason that in DIS at high  $Q^2$  quarks behave as if they are quasi-free (i.e. only weakly bound within hadrons)

### **Colour Potentials**



### **Colour Potentials**

•Previously argued that gluon self-interactions lead to a  $+\lambda r$  long-range potential and that this is likely to explain colour confinement

•Have yet to consider the short range potential – i.e. for quarks in mesons and baryons does QCD lead to an attractive potential?

•Analogy with QED: (NOTE this is very far from a formal proof)



**★** Whether it is a attractive or repulsive potential depends on sign of colour factor

 $\star$  Consider the colour factor for a qq system in the colour singlet state:

$$\begin{split} \psi &= \frac{1}{\sqrt{3}} (r\bar{r} + g\bar{g} + b\bar{b}) \\ \text{with colour potential} \quad \langle V_{q\bar{q}} \rangle &= \langle \psi | V_{\text{QCD}} | \psi \rangle \\ & \implies \quad \langle V_{q\bar{q}} \rangle = \frac{1}{3} \left( \langle r\bar{r} | V_{\text{QCD}} | r\bar{r} \rangle + ... + \langle r\bar{r} | V_{\text{QCD}} | b\bar{b} \rangle + ... \right) \\ \text{Following the QED analogy:} \\ \quad \langle r\bar{r} | V_{\text{QCD}} | r\bar{r} \rangle &= -C(r\bar{r} \to r\bar{r}) \frac{\alpha_S}{r} \\ \text{which is the term arising from} \quad r\bar{r} \to r\bar{r} \\ \text{Have 3 terms like} \quad r\bar{r} \to r\bar{r}, \ b\bar{b} \to b\bar{b}, ... \text{ and 6 like } r\bar{r} \to g\bar{g}, \ r\bar{r} \to b\bar{b}, ... \\ \langle V_{q\bar{q}} \rangle &= -\frac{1}{3} \frac{\alpha_S}{r} \left[ 3 \times C(r\bar{r} \to r\bar{r}) + 6 \times C(r\bar{r} \to g\bar{g}) \right] = -\frac{1}{3} \frac{\alpha_S}{r} \left[ 3 \times \frac{1}{3} + 6 \times \frac{1}{2} \right] \\ & \implies \quad \langle V_{q\bar{q}} \rangle = -\frac{4}{3} \frac{\alpha_S}{r} \\ \hline \end{array}$$

• The same calculation for a  $q\bar{q}$  colour octet state, e.g.  $r\bar{g}$  gives a positive repulsive potential:  $C(r\bar{g} \rightarrow r\bar{g}) = -\frac{1}{6}$ 

**★** Whilst not a formal proof, it is comforting to see that in the colour singlet  $q\overline{q}$  state the QCD potential is indeed attractive.

★ Combining the short-range QCD potential with the linear long-range term discussed previously:

$$V_{\rm QCD} = -\frac{4}{3}\frac{\alpha_s}{r} + \lambda r$$

★ This potential is found to give a good description of the observed charmonium (cc) and bottomonium (bb) bound states.





### NOTE:

- c, b are heavy quarks
- approx. non-relativistic
- orbit close together
- probe 1/r part of V<sub>QCD</sub>

Agreement of data with prediction provides strong evidence that  $V_{\rm QCD}$  has the Expected form

## **Summary**

- ★ Superficially QCD very similar to QED
- **★** But gluon self-interactions are believed to result in colour confinement
- **★** All hadrons are colour singlets which explains why only observe



**Asymptotic Freedom** 

★ Where calculations can be performed, QCD provides a good description of relevant experimental data